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(54) Title: IDENTIFICATION, MONITORING AND TREATMENT OF DISEASE AND CHARACTERIZATION OF BIOLOGICAL CONDITION USING GENE EXPRESSION PROFILES

(57) Abstract: A method provides an index that is indicative of the state of a subject, as to a biological condition, based on a sample from the subject. An embodiment of this method includes: deriving from the sample a profile data set, the profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables evaluation of the biological condition; and in deriving the profile data set, achieving such measure for each constituent under measurement conditions that are substantially repeatable; and applying values from the profile data set to an index function that provides a mapping from an instance of a profile data set into a single-valued measure of biological condition, so as to produce an index pertinent to the biological condition of the subject.



**WO 03/040404 A1**

**Identification, Monitoring and Treatment of Disease  
And Characterization of Biological Condition  
Using Gene Expression Profiles**

5

**Technical Field and Background Art**

The present invention relates to use of gene expression data, and in particular to use of gene expression data in identification, monitoring and treatment of disease and in characterization of biological condition of a subject.

10 The prior art has utilized gene expression data to determine the presence or absence of particular markers as diagnostic of a particular condition, and in some circumstances have described the cumulative addition of scores for over expression of particular disease markers to achieve increased accuracy or sensitivity of diagnosis. Information on any condition of a particular patient and a patient's response to types and dosages of therapeutic or nutritional agents has become an important issue in clinical  
15 medicine today not only from the aspect of efficiency of medical practice for the health care industry but for improved outcomes and benefits for the patients.

**Summary of the Invention**

In a first embodiment, there is provided a method, for evaluating a biological condition of a subject, based on a sample from the subject. The method includes:  
20 deriving from the sample a profile data set, the profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables evaluation of the biological condition; and  
in deriving the profile data set, achieving such measure for each constituent under  
25 measurement conditions that are substantially repeatable.

There is a related embodiment for providing an index that is indicative of the state of a subject, as to a biological condition, based on a sample from the subject. This embodiment includes:

deriving from the sample a profile data set, the profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables evaluation of the biological condition; and

5 in deriving the profile data set, achieving such measure for each constituent under measurement conditions that are substantially repeatable; and

applying values from the profile data set to an index function that provides a mapping from an instance of a profile data set into a single-valued measure of biological condition, so as to produce an index pertinent to the biological condition of the subject.

10 In further embodiments related to the foregoing, there is also included, in deriving the profile data set, achieving such measure for each constituent under measurement conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar. Similarly further embodiments include alternatively or in addition, in deriving the profile data set, achieving such measure for each constituent under  
15 measurement conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar.

In embodiments relating to providing the index a further embodiment also includes providing with the index a normative value of the index function, determined with respect to a relevant population, so that the index may be interpreted in relation to  
20 the normative value. Optionally providing the normative value includes constructing the index function so that the normative value is approximately 1. Also optionally, the relevant population has in common a property that is at least one of age group, gender, ethnicity, geographic location, diet, medical disorder, clinical indicator, medication, physical activity, body mass, and environmental exposure.

25 In another related embodiment, efficiencies of amplification, expressed as a percent, for all constituents lie within a range of approximately 2 percent, and optionally, approximately 1 percent.

In another related embodiment, measurement conditions are repeatable so that such measure for each constituent has a coefficient of variation, on repeated derivation of  
30 such measure from the sample, that is less than approximately 3 percent. In further embodiments, the panel includes at least three constituents and optionally fewer than approximately 500 constituents.

In another embodiment, the biological condition being evaluated is with respect to a localized tissue of the subject and the sample is derived from tissue or fluid of a type distinct from that of the localized tissue.

In related embodiments, the biological condition may be any of the conditions identified  
5 in Tables 1 through 12 herein, in which case there are measurements conducted corresponding to constituents of the corresponding Gene Expression Panel. The panel in each case includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the corresponding Gene Expression Panel.

In another embodiment, there is provided a method of providing an index that is  
10 indicative of the inflammatory state of a subject based on a sample from the subject that includes: deriving from the sample a first profile data set, the first profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents, the panel including at least two of the constituents of the Inflammation Gene Expression Panel of  
15 Table 1; (although in other embodiments, at least three, four, five, six or ten constituents of the panel of Table 1 may be used in a panel) wherein, in deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions; and applying values from the first profile  
20 data set to an index function that provides a mapping from an instance of a profile data set into a single-valued measure of biological condition (in an embodiment, this may be an inflammatory condition), so as to produce an index pertinent to the biological condition of the sample or the subject. The biological condition may be any condition that is assessable using an appropriate Gene Expression Panel; the measurement of the extent  
25 of inflammation using the Inflammation Gene Expression Panel is merely an example.

In additional embodiments, the mapping by the index function may be further based on an instance of a relevant baseline profile data set and values may be applied from a corresponding baseline profile data set from the same subject or from a population of subjects or samples with a similar or different biological condition. Additionally, the  
30 index function may be constructed to deviate from a normative value generally upwardly in an instance of an increase in expression of a constituent whose increase is associated with an increase of inflammation and also in an instance of a decrease in expression of a constituent whose decrease is associated with an increase of inflammation. The index function alternatively be constructed to weigh the expression value of a constituent in the



panel generally in accordance with the extent to which its expression level is determined to be correlated with extent of inflammation. The index function may be alternatively constructed to take into account clinical insight into inflammation biology or to take into account experimentally derived data or to take into account relationships derived from computer analysis of profile data sets in a data base associating profile data sets with clinical and demographic data. In this connection, the construction of the index function may be achieved using statistical methods, which evaluate such data, to establish a model of constituent expression values that is an optimized predictor of extent of inflammation.

In another embodiment, the panel includes at least one constituent that is associated with a specific inflammatory disease.

The methods described above may further utilize the step wherein (i) the mapping by the index function is also based on an instance of at least one of demographic data and clinical data and (ii) values are applied from the first profile data set including applying a set of values associated with at least one of demographic data and clinical data.

In another embodiment of the above methods, a portion of deriving the first profile data set is performed at a first location and applying the values from the first profile data set is performed at a second location, and data associated with performing the portion of deriving the first profile data set are communicated to the second location over a network to enable, at the second location, applying the values from the first profile data set.

In an embodiment of the methods, the index function is a linear sum of terms, each term being a contribution function of a member of the profile data set. Moreover, the contribution function may be a weighted sum of powers of one of the member or its reciprocal, and the powers may be integral, so that the contribution function is a polynomial of one of the member or its reciprocal. Optionally, the polynomial is a linear polynomial. The profile data set may include at least three, four or all members corresponding to constituents selected from the group consisting of IL1A, IL1B, TNF, IFNG and IL10. The index function may be proportional to  $1/4\{IL1A\} + 1/4\{IL1B\} + 1/4\{TNF\} + 1/4\{IFNG\} - 1/4\{IL10\}$  and braces around a constituent designate measurement of such constituent.

In an additional embodiment, a method is provided of analyzing complex data associated with a sample from a subject for information pertinent to inflammation, the method that includes: deriving a Gene Expression Profile for the sample, the Gene Expression Profile being based on a Signature Panel for Inflammation; and using the

Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index for the sample.

In an additional embodiment, a method is provided of monitoring the biological condition of a subject, that includes deriving a Gene Expression Profile for each of a series of samples over time from the subject, the Gene Expression Profile being based on a Signature Panel for Inflammation; and for each of the series of samples, using the corresponding Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index.

In an additional embodiment, there is provided a method of determining at least one of (i) an effective dose of an agent to be administered to a subject and (ii) a schedule for administration of an agent to a subject, the method including: deriving a Gene Expression Profile for a sample from the subject, the Gene Expression Profile being based on a Signature Panel for Inflammation; using the Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index for the sample; and using the Gene Expression Profile Inflammatory Index as an indicator in establishing at least one of the effective dose and the schedule.

In an additional embodiment, a method of guiding a decision to continue or modify therapy for a biological condition of a subject, is provided that includes: deriving a Gene Expression Profile for a sample from the subject, the Gene Expression Profile being based on a Signature Panel for Inflammation; and using the Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index for the sample.

A method of predicting change in biological condition of a subject as a result of exposure to an agent, is provided that includes: deriving a first Gene Expression Profile for a first sample from the subject in the absence of the agent, the first Gene Expression Profile being based on a Signature Panel for Inflammation; deriving a second Gene Expression Profile for a second sample from the subject in the presence of the agent, the second Gene Expression Profile being based on the same Signature Panel; and using the first and second Gene Expression Profiles to determine correspondingly a first Gene Expression Profile Inflammatory Index and a second Gene Expression Profile Inflammatory Index. Accordingly, the agent may be a compound and the compound may be therapeutic.

In an additional embodiment, a method of evaluating a property of an agent is provided where the property is at least one of purity, potency, quality, efficacy or safety, the method including: deriving a first Gene Expression Profile from a sample reflecting

exposure to the agent of (i) the sample, or (ii) a population of cells from which the sample is derived, or (iii) a subject from which the sample is derived; using the Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index; and using the Gene Expression Profile Inflammatory Index in determining the property.

5           In accordance with another embodiment there is provided a method of providing an index that is indicative of the biological state of a subject based on a sample from the subject. The method of this embodiment includes:

                  deriving from the sample a first profile data set, the first profile data set including a plurality of members, each member being a quantitative measure of the amount of a  
10       distinct RNA or protein constituent in a panel of constituents, the panel including at least two of the constituents of the Inflammation Gene Expression Panel of Table 1; and

                  applying values from the first profile data set to an index function that provides a mapping from an instance of a profile data set into a single-valued measure of biological condition, so as to produce an index pertinent to the biological condition of the sample or  
15       the subject.

                  In carrying out this method the index function also uses data from a baseline profile data set for the panel. Each member of the baseline data set is a normative measure, determined with respect to a relevant population of subjects, of the amount of one of the constituents in the panel. In addition, in deriving the first profile data set and  
20       the baseline data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions.

                  In another type of embodiment, there is provided a method, for evaluating a biological condition of a subject, based on a sample from the subject. In this embodiment,  
25       the method includes:

                  deriving from the sample a first profile data set, the first profile dataset including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables measurement of the biological condition; and

30           producing a calibrated profile data set for the panel, wherein each member of the calibrated profile data set is a function of a corresponding member of the first profile data set and a corresponding member of a baseline profile data set for the panel.

                  In this embodiment, each member of the baseline data set is a normative measure, determined with respect to a relevant population of subjects, of the amount of one of the

constituents in the panel, and the calibrated profile data set provides a measure of the biological condition of the subject.

In a similar type of embodiment, there is provided a method, for evaluating a biological condition of a subject, based on a sample from the subject, and the method of this embodiment includes::

applying the first sample or a portion thereof to a defined population of indicator cells;

obtaining from the indicator cells a second sample containing at least one of RNAs or proteins;

deriving from the second sample a first profile data set, the first profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables measurement of the biological condition; and

producing a calibrated profile data set for the panel, wherein each member of the calibrated profile data set is a function of a corresponding member of the first profile data set and a corresponding member of a baseline profile data set for the panel, , wherein each member of the baseline data set is a normative measure, determined with respect to a relevant population of subjects, of the amount of one of the constituents in the panel, the calibrated profile data set providing a measure of the biological condition of the subject.

Furthermore, another and similar, type of embodiment provides a method, for evaluating a biological condition affected by an agent. The method of this embodiment includes:

obtaining, from a target population of cells to which the agent has been administered, a sample having at least one of RNAs and proteins;

deriving from the sample a first profile data set, the first profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables measurement of the biological condition; and

producing a calibrated profile data set for the panel, wherein each member of the calibrated profile data set is a function of a corresponding member of the first profile data set and a corresponding member of a baseline profile data set for the panel, wherein each member of the baseline data set is a normative measure, determined with respect to a relevant population of subjects, of the amount of one of the constituents in the panel, the calibrated profile data set providing a measure of the biological condition as affected by

the agent.

In further embodiments based on these last three embodiments, the relevant population may be a population of healthy subjects. Alternatively, or in addition, the relevant population is has in common a property that is at least one of age group, gender, ethnicity, geographic location, diet, medical disorder, clinical indicator, medication,  
5 physical activity, body mass, and environmental exposure.

Alternatively or in addition, the panel includes at least two of the constituents of the Inflammation Gene Expression Panel of Table 1. (Other embodiments employ at least three, four, five, six, or ten of such constituents.) Also alternatively or in addition, in  
10 deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions. Also alternatively, when such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar  
15 and under substantially repeatable conditions, optionally one need not produce a calibrated profile data set, but may instead work directly with the first data set.

In another embodiment, there is provided a method, for evaluating the effect on a biological condition by a first agent in relation to the effect by a second agent. The method of this embodiment includes:

20 obtaining, from first and second target populations of cells to which the first and second agents have been respectively administered, first and second samples respectively, each sample having at least one of RNAs and proteins;

deriving from the first sample a first profile data set and from the second sample a second profile data set, the profile data sets each including a plurality of members, each  
25 member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables measurement of the biological condition; and

producing for the panel a first calibrated profile data set and a second profile data set, wherein (i) each member of the first calibrated profile data set is a function of a  
30 corresponding member of the first profile data set and a corresponding member of a baseline profile data set for the panel, wherein each member of the baseline data set is a normative measure, determined with respect to a relevant population of subjects, of the amount of one of the constituents in the panel, and (ii) each member of the second calibrated profile data set is a function of a corresponding member of the second profile

data set and a corresponding member of the baseline profile data set, the calibrated profile data sets providing a measure of the effect by the first agent on the biological condition in relation to the effect by the second agent.

In this embodiment, in deriving the first and second profile data sets, such  
5 measure is performed for each constituent both under conditions wherein  
specificity and efficiencies of amplification for all constituents are substantially  
similar and under substantially repeatable conditions. In a further related  
embodiment, the first agent is a first drug and the second agent is a second drug.  
In another related embodiment, the first agent is a drug and the second agent is a  
10 complex mixture. In yet another related embodiment, the first agent is a drug  
and the second agent is a nutraceutical.

### **Brief Description of the Drawings**

The foregoing features of the invention will be more readily understood by  
reference to the following detailed description, taken with reference to the accompanying  
15 drawings, in which:

Fig. 1A shows the results of assaying 24 genes from the Source Inflammation  
Gene Panel (shown in Table 1) on eight separate days during the course of optic neuritis  
in a single male subject.

1B illustrates use of an inflammation index in relation to the data of Fig. 1A, in  
20 accordance with an embodiment of the present invention.

Fig. 2 is a graphical illustration of the same inflammation index calculated at 9  
different, significant clinical milestones.

Fig. 3 shows the effects of single dose treatment with 800 mg of ibuprofen in a  
single donor as characterized by the index.

25 Fig. 4 shows the calculated acute inflammation index displayed graphically for  
five different conditions.

Fig. 5 shows a Viral Response Index for monitoring the progress of an upper  
respiratory infection (URI).

Figs. 6 and 7 compare two different populations using Gene Expression Profiles  
30 (with respect to the 48 loci of the Inflammation Gene Expression Panel of Table 1).

Fig. 8 compares a normal population with a rheumatoid arthritis population  
derived from a longitudinal study.

Fig. 9 compares two normal populations, one longitudinal and the other cross sectional.

Fig. 10 shows the shows gene expression values for various individuals of a normal population.

5        Fig. 11 shows the expression levels for each of four genes (of the Inflammation Gene Expression Panel of Table 1), of a single subject, assayed monthly over a period of eight months.

10        Figs. 12 and 13 similarly show in each case the expression levels for each of 48 genes (of the Inflammation Gene Expression Panel of Table 1), of distinct single subjects (selected in each case on the basis of feeling well and not taking drugs), assayed, in the case of Fig. 12 weekly over a period of four weeks, and in the case of Fig. 13 monthly over a period of six months.

15        Fig. 14 shows the effect over time, on inflammatory gene expression in a single human subject., of the administration of an anti-inflammatory steroid, as assayed using the Inflammation Gene Expression Panel of Table 1.

Fig. 15, in a manner analogous to Fig. 14, shows the effect over time, via whole blood samples obtained from a human subject, administered a single dose of prednisone, on expression of 5 genes (of the Inflammation Gene Expression Panel of Table 1).

20        Fig. 16 also shows the effect over time, on inflammatory gene expression in a single human subject suffering from rheumatoid arthritis, of the administration of a TNF-inhibiting compound, but here the expression is shown in comparison to the cognate locus average previously determined (in connection with Figs. 6 and 7) for the normal (i.e., undiagnosed, healthy) population.

25        Fig. 17A further illustrates the consistency of inflammatory gene expression in a population.

Fig. 17B shows the normal distribution of index values obtained from an undiagnosed population.

30        Fig. 17C illustrates the use of the same index as Fig. 17B, where the inflammation median for a normal population has been set to zero and both normal and diseased subjects are plotted in standard deviation units relative to that median.

Fig. 18 plots, in a fashion similar to that of Fig. 17A, Gene Expression Profiles, for the same 7 loci as in Fig. 17A, two different (responder v. non-responder) 6-subject populations of rheumatoid arthritis patients.

Fig. 19 thus illustrates use of the inflammation index for assessment of a single subject suffering from rheumatoid arthritis, who has not responded well to traditional therapy with methotrexate.

Fig. 20 similarly illustrates use of the inflammation index for assessment of three  
5 subjects suffering from rheumatoid arthritis, who have not responded well to traditional therapy with methotrexate.

Each of Figs. 21-23 shows the inflammation index for an international group of subjects, suffering from rheumatoid arthritis, undergoing three separate treatment regimens.

10 Fig. 24 illustrates use of the inflammation index for assessment of a single subject suffering from inflammatory bowel disease.

Fig. 25 shows Gene Expression Profiles with respect to 24 loci (of the Inflammation Gene Expression Panel of Table 1) for whole blood treated with Ibuprofen in vitro in relation to other non-steroidal anti-inflammatory drugs (NSAIDs).

15 Fig. 26 illustrates how the effects of two competing anti-inflammatory compounds can be compared objectively, quantitatively, precisely, and reproducibly.

Figs. 27 through 41 illustrate the use of gene expression panels in early identification and monitoring of infectious disease.

20 Fig. 27 uses a novel bacterial Gene Expression Panel of 24 genes, developed to discriminate various bacterial conditions in a host biological system.

Fig. 28 shows differential expression for a single locus, IFNG, to LTA derived from three distinct sources: *S. pyogenes*, *B. subtilis*, and *S. aureus*.

25 Figs. 29 and 30 show the response after two hours of the Inflammation 48A and 48B loci respectively (discussed above in connection with Figs. 6 and 7 respectively) in whole blood to administration of a Gram-positive and a Gram-negative organism.

Figs. 31 and 32 correspond to Figs. 29 and 30 respectively and are similar to them, with the exception that the monitoring here occurs 6 hours after administration.

Fig. 33 compares the gene expression response induced by *E. coli* and by an organism-free *E. coli* filtrate.

30 Fig. 34 is similar to Fig. 33, but here the compared responses are to stimuli from *E. coli* filtrate alone and from *E. coli* filtrate to which has been added polymyxin B.

Fig. 35 illustrates the gene expression responses induced by *S. aureus* at 2, 6, and 24 hours after administration.



Figs. 36 through 41 compare the gene expression induced by *E. coli* and *S. aureus* under various concentrations and times.

### **Detailed Description of Specific Embodiments**

#### *Definitions*

5       The following terms shall have the meanings indicated unless the context otherwise requires:

      “*Algorithm*” is a set of rules for describing a biological condition. The rule set may be defined exclusively algebraically but may also include alternative or multiple decision points requiring domain-specific knowledge, expert interpretation or other  
10      clinical indicators.

      An “*agent*” is a “*composition*” or a “*stimulus*”, as those terms are defined herein, or a combination of a *composition* and a *stimulus*.

      “*Amplification*” in the context of a quantitative RT-PCR assay is a function of the number of DNA replications that are tracked to provide a quantitative determination of its  
15      concentration. “*Amplification*” here refers to a degree of sensitivity and specificity of a quantitative assay technique. Accordingly, amplification provides a measurement of concentrations of constituents that is evaluated under conditions wherein the efficiency of amplification and therefore the degree of sensitivity and reproducibility for measuring all constituents is substantially similar.

20       A “*baseline profile data set*” is a set of values associated with constituents of a *Gene Expression Panel* resulting from evaluation of a biological sample (or population of samples) under a desired *biological condition* that is used for mathematically normative purposes. The desired biological condition may be, for example, the condition of a subject (or population of subjects) before exposure to an agent or in the presence of an  
25      untreated disease or in the absence of a disease. Alternatively, or in addition, the desired biological condition may be health of a subject or a population of subjects. Alternatively, or in addition, the desired biological condition may be that associated with a population subjects selected on the basis of at least one of age group, gender, ethnicity, geographic location, diet, medical disorder, clinical indicator, medication, physical activity, body  
30      mass, and environmental exposure.

      A “*biological condition*” of a subject is the condition of the subject in a pertinent realm that is under observation, and such realm may include any aspect of the subject capable of being monitored for change in condition, such as health, disease including

cancer; trauma; aging; infection; tissue degeneration; developmental steps; physical fitness; obesity, and mood. As can be seen, a condition in this context may be chronic or acute or simply transient. Moreover, a targeted biological condition may be manifest throughout the organism or population of cells or may be restricted to a specific organ (such as skin, heart, eye or blood), but in either case, the condition may be monitored directly by a sample of the affected population of cells or indirectly by a sample derived elsewhere from the subject. The term “*biological condition*” includes a “*physiological condition*”.

“*Body fluid*” of a subject includes blood, urine, spinal fluid, lymph, mucosal secretions, prostatic fluid, semen, haemolymph or any other body fluid known in the art for a subject.

“*Calibrated profile data set*” is a function of a member of a first *profile data set* and a corresponding member of a *baseline profile data set* for a given constituent in a panel.

A “*clinical indicator*” is any physiological datum used alone or in conjunction with other data in evaluating the *physiological condition* of a collection of cells or of an organism. This term includes pre-clinical indicators.

A “*composition*” includes a chemical compound, a nutraceutical, a pharmaceutical, a homeopathic formulation, an allopathic formulation, a naturopathic formulation, a combination of compounds, a toxin, a food, a food supplement, a mineral, and a complex mixture of substances, in any physical state or in a combination of physical states.

To “*derive*” a profile data set from a *sample* includes determining a set of values associated with constituents of a *Gene Expression Panel* either (i) by direct measurement of such constituents in a biological *sample* or (ii) by measurement of such constituents in a second biological *sample* that has been exposed to the original sample or to matter derived from the original sample.

“*Distinct RNA or protein constituent*” in a panel of constituents is a distinct expressed product of a gene, whether RNA or protein. An “*expression*” product of a gene includes the gene product whether RNA or protein resulting from translation of the messenger RNA.

A “*Gene Expression Panel*” is an experimentally verified set of constituents, each constituent being a distinct expressed product of a gene, whether RNA or protein,

wherein constituents of the set are selected so that their measurement provides a measurement of a targeted *biological condition*.

5 A “*Gene Expression Profile*” is a set of values associated with constituents of a *Gene Expression Panel* resulting from evaluation of a biological sample (or population of samples).

A “*Gene Expression Profile Inflammatory Index*” is the value of an index function that provides a mapping from an instance of a *Gene Expression Profile* into a single-valued measure of inflammatory condition.

10 The “*health*” of a subject includes mental, emotional, physical, spiritual, allopathic, naturopathic and homeopathic condition of the subject.

“*Index*” is an arithmetically or mathematically derived numerical characteristic developed for aid in simplifying or disclosing or informing the analysis of more complex quantitative information. A disease or population index may be determined by the application of a specific algorithm to a plurality of subjects or samples with a common  
15 biological condition.

“*Inflammation*” is used herein in the general medical sense of the word and may be an acute or chronic; simple or suppurative; localized or disseminated; cellular and tissue response, initiated or sustained by any number of chemical, physical or biological agents or combination of agents.

20 “*Inflammatory state*” is used to indicate the relative biological condition of a subject resulting from inflammation, or characterizing the degree of inflammation

A “*large number*” of data sets based on a common panel of genes is a number of data sets sufficiently large to permit a statistically significant conclusion to be drawn with respect to an instance of a data set based on the same panel.

25 A “*normative*” condition of a subject to whom a composition is to be administered means the condition of a subject before administration, even if the subject happens to be suffering from a disease.

A “*panel*” of genes is a set of genes including at least two constituents.

30 A “*sample*” from a subject may include a single cell or multiple cells or fragments of cells or an aliquot of body fluid, taken from the subject, by means including venipuncture, excretion, ejaculation, massage, biopsy, needle aspirate, lavage sample, scraping, surgical incision or intervention or other means known in the art.

A “*Signature Profile*” is an experimentally verified subset of a *Gene Expression Profile* selected to discriminate a biological condition, agent or physiological mechanism of action.

5 A “*Signature Panel*” is a subset of a *Gene Expression Panel*, the constituents of which are selected to permit discrimination of a *biological condition, agent* or physiological mechanism of action.

A “*subject*” is a cell, tissue, or organism, human or non-human, whether in vivo, ex vivo or in vitro, under observation. When we refer to evaluating the biological condition of a subject based on a sample from the subject, we include using blood or  
10 other tissue sample from a human subject to evaluate the human subject’s condition; but we also include, for example, using a blood sample itself as the subject to evaluate, for example, the effect of therapy or an agent upon the sample.

A “*stimulus*” includes (i) a monitored physical interaction with a subject, for example ultraviolet A or B, or light therapy for seasonal affective disorder, or treatment  
15 of psoriasis with psoralen or treatment of melanoma with embedded radioactive seeds, other radiation exposure, and (ii) any monitored physical, mental, emotional, or spiritual activity or inactivity of a subject.

“*Therapy*” includes all interventions whether biological, chemical, physical, metaphysical, or combination of the foregoing, intended to sustain or alter the monitored  
20 biological condition of a subject.

The PCT patent application publication number WO 01/25473, published April 12, 2001, entitled “Systems and Methods for Characterizing a Biological Condition or Agent Using Calibrated Gene Expression Profiles,” filed for an invention by inventors herein, and which is herein incorporated by reference, discloses the use of Gene  
25 Expression Panels for the evaluation of (i) biological condition (including with respect to health and disease) and (ii) the effect of one or more agents on biological condition (including with respect to health, toxicity, therapeutic treatment and drug interaction).

In particular, Gene Expression Panels may be used for measurement of therapeutic efficacy of natural or synthetic compositions or stimuli that may be  
30 formulated individually or in combinations or mixtures for a range of targeted physiological conditions; prediction of toxicological effects and dose effectiveness of a composition or mixture of compositions for an individual or in a population; determination of how two or more different agents administered in a single treatment

might interact so as to detect any of synergistic, additive, negative, neutral or toxic activity; performing pre-clinical and clinical trials by providing new criteria for pre-selecting subjects according to informative profile data sets for revealing disease status; and conducting preliminary dosage studies for these patients prior to conducting phase 1  
5 or 2 trials. These Gene Expression Panels may be employed with respect to samples derived from subjects in order to evaluate their biological condition.

A Gene Expression Panel is selected in a manner so that quantitative measurement of RNA or protein constituents in the Panel constitutes a measurement of a biological condition of a subject. In one kind of arrangement, a calibrated profile data set is  
10 employed. Each member of the calibrated profile data set is a function of (i) a measure of a distinct constituent of a Gene Expression Panel and (ii) a baseline quantity.

We have found that valuable and unexpected results may be achieved when the quantitative measurement of constituents is performed under repeatable conditions (within a degree of repeatability of measurement of better than twenty percent, and  
15 preferably five percent or better, and more preferably three percent or better). For the purposes of this description and the following claims, we regard a degree of repeatability of measurement of better than twenty percent as providing measurement conditions that are "substantially repeatable". In particular, it is desirable that, each time a measurement is obtained corresponding to the level of expression of a constituent in a particular  
20 sample, substantially the same measurement should result for the substantially the same level of expression. In this manner, expression levels for a constituent in a Gene Expression Panel may be meaningfully compared from sample to sample. Even if the expression level measurements for a particular constituent are inaccurate (for example, say, 30% too low), the criterion of repeatability means that all measurements for this  
25 constituent, if skewed, will nevertheless be skewed systematically, and therefore measurements of expression level of the constituent may be compared meaningfully. In this fashion valuable information may be obtained and compared concerning expression of the constituent under varied circumstances.

In addition to the criterion of repeatability, it is desirable that a second criterion  
30 also be satisfied, namely that quantitative measurement of constituents is performed under conditions wherein efficiencies of amplification for all constituents are substantially similar (within one to two percent and typically one percent or less). When both of these criteria are satisfied, then measurement of the expression level of one

constituent may be meaningfully compared with measurement of the expression level of another constituent in a given sample and from sample to sample..

Present embodiments relate to the use of an index or algorithm resulting from quantitative measurement of constituents, and optionally in addition, derived from either expert analysis or computational biology (a) in the analysis of complex data sets; (b) to control or normalize the influence of uninformative or otherwise minor variances in gene expression values between samples or subjects; (c) to simplify the characterization of a complex data set for comparison to other complex data sets, databases or indices or algorithms derived from complex data sets; (d) to monitor a biological condition of a subject; (e) for measurement of therapeutic efficacy of natural or synthetic compositions or stimuli that may be formulated individually or in combinations or mixtures for a range of targeted physiological conditions; (f) for predictions of toxicological effects and dose effectiveness of a composition or mixture of compositions for an individual or in a population; (g) for determination of how two or more different agents administered in a single treatment might interact so as to detect any of synergistic, additive, negative, neutral or toxic activity (h) for performing pre-clinical and clinical trials by providing new criteria for pre-selecting subjects according to informative profile data sets for revealing disease status and conducting preliminary dosage studies for these patients prior to conducting phase 1 or 2 trials.

Gene expression profiling and the use of index characterization for a particular condition or agent or both may be used to reduce the cost of phase 3 clinical trials and may be used beyond phase 3 trials; labeling for approved drugs; selection of suitable medication in a class of medications for a particular patient that is directed to their unique physiology; diagnosing or determining a prognosis of a medical condition or an infection which may precede onset of symptoms or alternatively diagnosing adverse side effects associated with administration of a therapeutic agent; managing the health care of a patient; and quality control for different batches of an agent or a mixture of agents.

#### The subject

The methods disclosed here may be applied to cells of humans, mammals or other organisms without the need for undue experimentation by one of ordinary skill in the art because all cells transcribe RNA and it is known in the art how to extract RNA from all types of cells.

### Selecting constituents of a Gene Expression Panel

The general approach to selecting constituents of a Gene Expression Panel has been described in PCT application publication number WO 01/ 25473. We have designed and experimentally verified a wide range of Gene Expression Panels, each panel  
5 providing a quantitative measure, of biological condition, that is derived from a sample of blood or other tissue. For each panel, experiments have verified that a Gene Expression Profile using the panel's constituents is informative of a biological condition. (We show elsewhere that in being informative of biological condition, the Gene Expression Profile can be used to used, among other things, to measure the effectiveness of therapy, as well  
10 as to provide a target for therapeutic intervention.) Examples of Gene Expression Panels, along with a brief description of each panel constituent, are provided in tables attached hereto as follows:

Table 1. Inflammation Gene Expression Panel

Table 2. Diabetes Gene Expression Panel

15 Table 3. Prostate Gene Expression Panel

Table 4. Skin Response Gene Expression Panel

Table 5. Liver Metabolism and Disease Gene Expression Panel

Table 6. Endothelial Gene Expression Panel

Table 7. Cell Health and Apoptosis Gene Expression Panel

20 Table 8. Cytokine Gene Expression Panel

Table 9. TNF/IL1 Inhibition Gene Expression Panel

Table 10. Chemokine Gene Expression Panel

Table 11. Breast Cancer Gene Expression Panel

Table 12. Infectious Disease Gene Expression Panel

25 Other panels may be constructed and experimentally verified by one of ordinary skill in the art in accordance with the principles articulated in the present application.

### Design of assays

We commonly run a sample through a panel in quadruplicate; that is, a sample is divided into aliquots and for each aliquot we measure concentrations of each constituent  
30 in a Gene Expression Panel. Over a total of 900 constituent assays, with each assay conducted in quadruplicate, we found an average coefficient of variation, (standard deviation/average)\*100, of less than 2 percent, typically less than 1 percent, among results for each assay. This figure is a measure of what we call "intra-assay variability". We have also conducted assays on different occasions using the same sample material.

With 72 assays, resulting from concentration measurements of constituents in a panel of 24 members, and such concentration measurements determined on three different occasions over time, we found an average coefficient of variation of less than 5 percent, typically less than 2 percent. We regard this as a measure of what we call “inter-assay variability”.

We have found it valuable in using the quadruplicate test results to identify and eliminate data points that are statistical “outliers”; such data points are those that differ by a percentage greater, for example, than 3% of the average of all four values and that do not result from any systematic skew that is greater, for example, than 1%. Moreover, if more than one data point in a set of four is excluded by this procedure, then all data for the relevant constituent is discarded.

#### Measurement of Gene Expression for a constituent in the Panel

For measuring the amount of a particular RNA in a sample, we have used methods known to one of ordinary skill in the art to extract and quantify transcribed RNA from a sample with respect to a constituent of a Gene Expression Panel. (See detailed protocols below. Also see PCT application publication number WO 98/24935 herein incorporated by reference for RNA analysis protocols). Briefly, RNA is extracted from a sample such as a tissue, body fluid, or culture medium in which a population of a subject might be growing. For example, cells may be lysed and RNA eluted in a suitable solution in which to conduct a DNase reaction. First strand synthesis may be performed using a reverse transcriptase. Gene amplification, more specifically quantitative PCR assays, can then be conducted and the gene of interest size calibrated against a marker such as 18S rRNA (Hirayama et al., Blood 92, 1998: 46-52). Samples are measured in multiple duplicates, for example, 4 replicates. Relative quantitation of the mRNA is determined by the difference in threshold cycles between the internal control and the gene of interest. In an embodiment of the invention, quantitative PCR is performed using amplification, reporting agents and instruments such as those supplied commercially by Applied Biosystems (Foster City, CA). Given a defined efficiency of amplification of target transcripts, the point (e.g., cycle number) that signal from amplified target template is detectable may be directly related to the amount of specific message transcript in the measured sample. Similarly, other quantifiable signals such as fluorescence, enzyme activity, disintegrations per minute, absorbance, etc., when correlated to a known concentration of target templates (e.g., a reference standard curve) or normalized to a



standard with limited variability can be used to quantify the number of target templates in an unknown sample.

Although not limited to amplification methods, quantitative gene expression techniques may utilize amplification of the target transcript. Alternatively or in  
5 combination with amplification of the target transcript, amplification of the reporter signal may also be used. Amplification of the target template may be accomplished by isothermic gene amplification strategies, or by gene amplification by thermal cycling such as PCR.

It is desirable to obtain a definable and reproducible correlation between the  
10 amplified target or reporter and the concentration of starting templates. We have discovered that this objective can be achieved by careful attention to, for example, consistent primer-template ratios and a strict adherence to a narrow permissible level of experimental amplification efficiencies (for example 99.0 to 100% relative efficiency, typically 99.8 to 100% relative efficiency). For example, in determining gene expression  
15 levels with regard to a single Gene Expression Profile, it is necessary that all constituents of the panels maintain a similar and limited range of primer template ratios (for example, within a 10-fold range) and amplification efficiencies (within, for example, less than 1%) to permit accurate and precise relative measurements for each constituent. We regard amplification efficiencies as being "substantially similar", for the purposes of this  
20 description and the following claims, if they differ by no more than approximately 10%. Preferably they should differ by less than approximately 2% and more preferably by less than approximately 1%. These constraints should be observed over the entire range of concentration levels to be measured associated with the relevant biological condition. While it is thus necessary for various embodiments herein to satisfy criteria that  
25 measurements are achieved under measurement conditions that are substantially repeatable and wherein specificity and efficiencies of amplification for all constituents are substantially similar, nevertheless, it is within the scope of the present invention as claimed herein to achieve such measurement conditions by adjusting assay results that do not satisfy these criteria directly, in such a manner as to compensate for errors, so that the  
30 criteria are satisfied after suitable adjustment of assay results.

In practice, we run tests to assure that these conditions are satisfied. For example, we typically design and manufacture a number of primer-probe sets, and determine experimentally which set gives the best performance. Even though primer-probe design and manufacture can be enhanced using computer techniques known in the art, and

notwithstanding common practice, we still find that experimental validation is useful. Moreover, in the course of experimental validation, we associate with the selected primer-probe combination a set of features:

5 The reverse primer should be complementary to the coding DNA strand. In one embodiment, the primer should be located across an intron-exon junction, with not more than three bases of the three-prime end of the reverse primer complementary to the proximal exon. (If more than three bases are complementary, then it would tend to competitively amplify genomic DNA.)

10 In an embodiment of the invention, the primer probe should amplify cDNA of less than 110 bases in length and should not amplify genomic DNA or transcripts or cDNA from related but biologically irrelevant loci.

A suitable target of the selected primer probe is first strand cDNA, which may be prepared, in one embodiment, is described as follows:

15 (a) Use of whole blood for *ex vivo* assessment of a biological condition affected by an agent.

Human blood is obtained by venipuncture and prepared for assay by separating samples for baseline, no stimulus, and stimulus with sufficient volume for at least three time points. Typical stimuli include lipopolysaccharide (LPS), phytohemagglutinin (PHA) and heat-killed staphylococci (HKS) or carrageenan and may be used individually 20 (typically) or in combination. The aliquots of heparinized, whole blood are mixed without stimulus and held at 37°C in an atmosphere of 5% CO<sub>2</sub> for 30 minutes. Stimulus is added at varying concentrations, mixed and held loosely capped at 37°C for 30 min. Additional test compounds may be added at this point and held for varying times depending on the expected pharmacokinetics of the test compound. At defined times, cells are collected by 25 centrifugation, the plasma removed and RNA extracted by various standard means.

Nucleic acids, RNA and or DNA are purified from cells, tissues or fluids of the test population or indicator cell lines. RNA is preferentially obtained from the nucleic acid mix using a variety of standard procedures (or RNA Isolation Strategies, pp. 55-104, in RNA Methodologies, A laboratory guide for isolation and characterization, 2nd 30 edition, 1998, Robert E. Farrell, Jr., Ed., Academic Press), in the present using a filter-based RNA isolation system from Ambion (RNAqueous<sup>TM</sup>, Phenol-free Total RNA Isolation Kit, Catalog #1912, version 9908; Austin, Texas).

In accordance with one procedure, the whole blood assay for Gene Expression Profiles determination was carried out as follows: Human whole blood was drawn into 10

mL Vacutainer tubes with Sodium Heparin. Blood samples were mixed by gently inverting tubes 4-5 times. The blood was used within 10-15 minutes of draw. In the experiments, blood was diluted 2-fold, i.e. per sample per time point, 0.6 mL whole blood + 0.6 mL stimulus. The assay medium was prepared and the stimulus added as

5 appropriate.

A quantity (0.6 mL) of whole blood was then added into each 12 x 75 mm polypropylene tube. 0.6 mL of 2X LPS (from *E. coli* serotype 0127:B8, Sigma#L3880 or serotype 055, Sigma #L4005, 10ng/mL, subject to change in different lots) into LPS tubes was added. Next, 0.6 mL assay medium was added to the "control" tubes with duplicate  
10 tubes for each condition. The caps were closed tightly. The tubes were inverted 2-3 times to mix samples. Caps were loosened to first stop and the tubes incubated @ 37°C, 5% CO<sub>2</sub> for 6 hours. At 6 hours, samples were gently mixed to resuspend blood cells, and 1 mL was removed from each tube (using a micropipettor with barrier tip), and transferred to a 2 mL "dolphin" microfuge tube (Costar #3213).

15 The samples were then centrifuged for 5 min at 500 x g, ambient temperature (IEC centrifuge or equivalent, in microfuge tube adapters in swinging bucket), and as much serum from each tube was removed as possible and discarded. Cell pellets were placed on ice; and RNA extracted as soon as possible using an Ambion RNAqueous kit.

(b) Amplification strategies.

20 Specific RNAs are amplified using message specific primers or random primers. The specific primers are synthesized from data obtained from public databases (e.g., Unigene, National Center for Biotechnology Information, National Library of Medicine, Bethesda, MD), including information from genomic and cDNA libraries obtained from humans and other animals. Primers are chosen to preferentially amplify from specific  
25 RNAs obtained from the test or indicator samples, see, for example, RT PCR, Chapter 15 in RNA Methodologies, A laboratory guide for isolation and characterization, 2nd edition, 1998, Robert E. Farrell, Jr., Ed., Academic Press; or Chapter 22 pp.143-151, RNA isolation and characterization protocols, Methods in molecular biology, Volume 86, 1998, R. Rapley and D. L. Manning Eds., Human Press, or 14 in Statistical refinement of  
30 primer design parameters, Chapter 5, pp.55-72, PCR applications: protocols for functional genomics, M.A. Innis, D.H. Gelfand and J.J. Sninsky, Eds., 1999, Academic Press). Amplifications are carried out in either isothermic conditions or using a thermal cycler (for example, a ABI 9600 or 9700 or 7700 obtained from Applied Biosystems, Foster City, CA; see Nucleic acid detection methods, pp. 1-24, in Molecular methods for

virus detection, D.L. Wiedbrauk and D.H., Farkas, Eds., 1995, Academic Press).

Amplified nucleic acids are detected using fluorescent-tagged detection primers (see, for example, Taqman<sup>TM</sup> PCR Reagent Kit, Protocol, part number 402823 revision A, 1996, Applied Biosystems, Foster City CA.) that are identified and synthesized from publicly  
 5 known databases as described for the amplification primers. In the present case, amplified DNA is detected and quantified using the ABI Prism 7700 Sequence Detection System obtained from Applied Biosystems (Foster City, CA). Amounts of specific RNAs contained in the test sample or obtained from the indicator cell lines can be related to the relative quantity of fluorescence observed (see for example, Advances in quantitative  
 10 PCR technology: 5' nuclease assays, Y.S. Lie and C.J. Petropoulos, Current Opinion in Biotechnology, 1998, 9:43-48, or Rapid thermal cycling and PCR kinetics, pp. 211-229, chapter 14 in PCR applications: protocols for functional genomics, M.A. Innis, D.H. Gelfand and J.J. Sninsky, Eds., 1999, Academic Press).

As a particular implementation of the approach described here, we describe in  
 15 detail a procedure for synthesis of first strand cDNA for use in PCR. This procedure can be used for both whole blood RNA and RNA extracted from cultured cells (i.e. THP-1 cells).

#### Materials

1. Applied Biosystems TAQMAN Reverse Transcription Reagents Kit (P/N  
 20 808-0234). Kit Components: 10X TaqMan RT Buffer, 25 mM Magnesium chloride, deoxyNTPs mixture, Random Hexamers, RNase Inhibitor, MultiScribe Reverse Transcriptase (50 U/mL) (2) RNase / DNase free water (DEPC Treated Water from Ambion (P/N 9915G), or equivalent)

#### Methods

25 1. Place RNase Inhibitor and MultiScribe Reverse Transcriptase on ice immediately. All other reagents can be thawed at room temperature and then placed on ice.

2. Remove RNA samples from -80°C freezer and thaw at room temperature and then place immediately on ice.

30 3. Prepare the following cocktail of Reverse Transcriptase Reagents for each 100 mL RT reaction (for multiple samples, prepare extra cocktail to allow for pipetting error):

1 reaction (mL)	11X, e.g. 10 samples (mL)
10X RT Buffer	10.0                  110.0

	25 mM MgCl <sub>2</sub>	22.0	242.0
	dNTPs	20.0	220.0
	Random Hexamers	5.0	55.0
	RNAse Inhibitor	2.0	22.0
5	Reverse Transcriptase	2.5	27.5
	Water	18.5	203.5
	Total:	80.0	880.0 (80 mL per sample)

4. Bring each RNA sample to a total volume of 20 mL in a 1.5 mL microcentrifuge tube (for example, for THP-1 RNA, remove 10 mL RNA and dilute to 20 mL with RNase / DNase free water, for whole blood RNA use 20 mL total RNA) and add 80 mL RT reaction mix from step 5,2,3. Mix by pipetting up and down.

5. Incubate sample at room temperature for 10 minutes.
6. Incubate sample at 37°C for 1 hour.
7. Incubate sample at 90°C for 10 minutes.
- 15 8. Quick spin samples in microcentrifuge.
9. Place sample on ice if doing PCR immediately, otherwise store sample at -20°C for future use.
10. PCR QC should be run on all RT samples using 18S and b-actin (see SOP 200-020).

20

The use of the primer probe with the first strand cDNA as described above to permit measurement of constituents of a Gene Expression Panel is as follows:

Set up of a 24-gene Human Gene Expression Panel for Inflammation.

#### Materials

- 25 1. 20X Primer/Probe Mix for each gene of interest.
2. 20X Primer/Probe Mix for 18S endogenous control.
3. 2X Taqman Universal PCR Master Mix.
4. cDNA transcribed from RNA extracted from cells.
5. Applied Biosystems 96-Well Optical Reaction Plates.
- 30 6. Applied Biosystems Optical Caps, or optical-clear film.
7. Applied Biosystem Prism 7700 Sequence Detector.

#### Methods

1. Make stocks of each Primer/Probe mix containing the Primer/Probe for the gene of interest, Primer/Probe for 18S endogenous control, and 2X PCR Master Mix as

follows. Make sufficient excess to allow for pipetting error e.g. approximately 10% excess. The following example illustrates a typical set up for one gene with quadruplicate samples testing two conditions (2 plates).

		1X(1 well)	9X (2 plates worth)
5	2X Master Mix	12.50	112.50
	20X 18S Primer/Probe Mix	1.25	11.25
	20X Gene of interest Primer/Probe Mix	1.25	11.25
	Total	15.00	135.00
10	2. Make stocks of cDNA targets by diluting 95µl of cDNA into 2000µl of water. The amount of cDNA is adjusted to give Ct values between 10 and 18, typically between 12 and 13.		
	3. Pipette 15µl of Primer/Probe mix into the appropriate wells of an Applied Biosystems 96-Well Optical Reaction Plate.		
15	4. Pipette 10µl of cDNA stock solution into each well of the Applied Biosystems 96-Well Optical Reaction Plate.		
	5. Seal the plate with Applied Biosystems Optical Caps, or optical-clear film.		
	6. Analyze the plate on the AB Prism 7700 Sequence Detector.		

20 Methods herein may also be applied using proteins where sensitive quantitative techniques, such as an Enzyme Linked ImmunoSorbent Assay (ELISA) or mass spectroscopy, are available and well-known in the art for measuring the amount of a protein constituent. (see WO 98/24935 herein incorporated by reference).

#### Baseline profile data sets

25 The analyses of samples from single individuals and from large groups of individuals provide a library of profile data sets relating to a particular panel or series of panels. These profile data sets may be stored as records in a library for use as baseline profile data sets. As the term "baseline" suggests, the stored baseline profile data sets serve as comparators for providing a calibrated profile data set that is informative about a

30 biological condition or agent. Baseline profile data sets may be stored in libraries and classified in a number of cross-referential ways. One form of classification may rely on the characteristics of the panels from which the data sets are derived. Another form of classification may be by particular biological condition. The concept of biological condition encompasses any state in which a cell or population of cells may be found at

any one time. This state may reflect geography of samples, sex of subjects or any other discriminator. Some of the discriminators may overlap. The libraries may also be accessed for records associated with a single subject or particular clinical trial. The classification of baseline profile data sets may further be annotated with medical  
5 information about a particular subject, a medical condition, a particular agent etc.

The choice of a baseline profile data set for creating a calibrated profile data set is related to the biological condition to be evaluated, monitored, or predicted, as well as, the intended use of the calibrated panel, e.g., as to monitor drug development, quality control or other uses. It may be desirable to access baseline profile data sets from the same  
10 subject for whom a first profile data set is obtained or from different subject at varying times, exposures to stimuli, drugs or complex compounds; or may be derived from like or dissimilar populations.

The profile data set may arise from the same subject for which the first data set is obtained, where the sample is taken at a separate or similar time, a different or similar site  
15 or in a different or similar physiological condition. For example, Fig. 5 provides a protocol in which the sample is taken before stimulation or after stimulation. The profile data set obtained from the unstimulated sample may serve as a baseline profile data set for the sample taken after stimulation. The baseline data set may also be derived from a library containing profile data sets of a population of subjects having some defining  
20 characteristic or biological condition. The baseline profile data set may also correspond to some *ex vivo* or *in vitro* properties associated with an *in vitro* cell culture. The resultant calibrated profile data sets may then be stored as a record in a database or library (Fig. 6) along with or separate from the baseline profile data base and optionally the first profile data set although the first profile data set would normally become incorporated into a  
25 baseline profile data set under suitable classification criteria. The remarkable consistency of Gene Expression Profiles associated with a given biological condition makes it valuable to store profile data, which can be used, among other things for normative reference purposes. The normative reference can serve to indicate the degree to which a subject conforms to a given biological condition (healthy or diseased) and, alternatively  
30 or in addition, to provide a target for clinical intervention.

Selected baseline profile data sets may be also be used as a standard by which to judge manufacturing lots in terms of efficacy, toxicity, etc. Where the effect of a therapeutic agent is being measured, the baseline data set may correspond to Gene Expression Profiles taken before administration of the agent. Where quality control for a

newly manufactured product is being determined, the baseline data set may correspond with a gold standard for that product. However, any suitable normalization techniques may be employed. For example, an average baseline profile data set is obtained from authentic material of a naturally grown herbal nutraceutical and compared over time and over different lots in order to demonstrate consistency, or lack of consistency, in lots of compounds prepared for release.

#### Calibrated data

Given the repeatability we have achieved in measurement of gene expression, described above in connection with “Gene Expression Panels” and “gene amplification”, we conclude that where differences occur in measurement under such conditions, the differences are attributable to differences in biological condition. Thus we have found that calibrated profile data sets are highly reproducible in samples taken from the same individual under the same conditions. We have similarly found that calibrated profile data sets are reproducible in samples that are repeatedly tested. We have also found repeated instances wherein calibrated profile data sets obtained when samples from a subject are exposed *ex vivo* to a compound are comparable to calibrated profile data from a sample that has been exposed to a sample *in vivo*. We have also found, importantly, that an indicator cell line treated with an agent can in many cases provide calibrated profile data sets comparable to those obtained from *in vivo* or *ex vivo* populations of cells. Moreover, we have found that administering a sample from a subject onto indicator cells can provide informative calibrated profile data sets with respect to the biological condition of the subject including the health, disease states, therapeutic interventions, aging or exposure to environmental stimuli or toxins of the subject.

#### Calculation of calibrated profile data sets and computational aids

The calibrated profile data set may be expressed in a spreadsheet or represented graphically for example, in a bar chart or tabular form but may also be expressed in a three dimensional representation. The function relating the baseline and profile data may be a ratio expressed as a logarithm. The constituent may be itemized on the x-axis and the logarithmic scale may be on the y-axis. Members of a calibrated data set may be expressed as a positive value representing a relative enhancement of gene expression or as a negative value representing a relative reduction in gene expression with respect to the baseline.

Each member of the calibrated profile data set should be reproducible within a range with respect to similar samples taken from the subject under similar conditions. For



example, the calibrated profile data sets may be reproducible within one order of magnitude with respect to similar samples taken from the subject under similar conditions. More particularly, the members may be reproducible within 50%, more particularly reproducible within 20%, and typically within 10%. In accordance with  
5 embodiments of the invention, a pattern of increasing, decreasing and no change in relative gene expression from each of a plurality of gene loci examined in the Gene Expression Panel may be used to prepare a calibrated profile set that is informative with regards to a biological condition, biological efficacy of an agent treatment conditions or for comparison to populations. Patterns of this nature may be used to identify likely  
10 candidates for a drug trial, used alone or in combination with other clinical indicators to be diagnostic or prognostic with respect to a biological condition or may be used to guide the development of a pharmaceutical or nutraceutical through manufacture, testing and marketing.

The numerical data obtained from quantitative gene expression and numerical  
15 data from calibrated gene expression relative to a baseline profile data set may be stored in databases or digital storage mediums and may retrieved for purposes including managing patient health care or for conducting clinical trials or for characterizing a drug. The data may be transferred in physical or wireless networks via the World Wide Web, email, or internet access site for example or by hard copy so as to be collected and pooled  
20 from distant geographic sites (Fig. 8).

In an embodiment of the present invention, a descriptive record is stored in a single database or multiple databases where the stored data includes the raw gene expression data (first profile data set) prior to transformation by use of a baseline profile data set, as well as a record of the baseline profile data set used to generate the calibrated  
25 profile data set including for example, annotations regarding whether the baseline profile data set is derived from a particular Signature Panel and any other annotation that facilitates interpretation and use of the data.

Because the data is in a universal format, data handling may readily be done with a computer. The data is organized so as to provide an output optionally corresponding to  
30 a graphical representation of a calibrated data set.

For example, a distinct sample derived from a subject being at least one of RNA or protein may be denoted as  $P_i$ . The first profile data set derived from sample  $P_i$  is denoted  $M_j$ , where  $M_j$  is a quantitative measure of a distinct RNA or protein constituent of  $P_i$ . The record  $R_i$  is a ratio of  $M$  and  $P$  and may be annotated with additional data on

the subject relating to, for example, age, diet, ethnicity, gender, geographic location, medical disorder, mental disorder, medication, physical activity, body mass and environmental exposure. Moreover, data handling may further include accessing data from a second condition database which may contain additional medical data not  
5 presently held with the calibrated profile data sets. In this context, data access may be via a computer network.

The above described data storage on a computer may provide the information in a form that can be accessed by a user. Accordingly, the user may load the information onto a second access site including downloading the information. However, access may be  
10 restricted to users having a password or other security device so as to protect the medical records contained within. A feature of this embodiment of the invention is the ability of a user to add new or annotated records to the data set so the records become part of the biological information.

The graphical representation of calibrated profile data sets pertaining to a product  
15 such as a drug provides an opportunity for standardizing a product by means of the calibrated profile, more particularly a signature profile. The profile may be used as a feature with which to demonstrate relative efficacy, differences in mechanisms of actions, etc. compared to other drugs approved for similar or different uses.

The various embodiments of the invention may be also implemented as a  
20 computer program product for use with a computer system. The product may include program code for deriving a first profile data set and for producing calibrated profiles. Such implementation may include a series of computer instructions fixed either on a tangible medium, such as a computer readable medium (for example, a diskette, CD-ROM, ROM, or fixed disk), or transmittable to a computer system via a modem or other  
25 interface device, such as a communications adapter coupled to a network. The network coupling may be for example, over optical or wired communications lines or via wireless techniques (for example, microwave, infrared or other transmission techniques) or some combination of these. The series of computer instructions preferably embodies all or part of the functionality previously described herein with respect to the system. Those skilled  
30 in the art should appreciate that such computer instructions can be written in a number of programming languages for use with many computer architectures or operating systems. Furthermore, such instructions may be stored in any memory device, such as semiconductor, magnetic, optical or other memory devices, and may be transmitted using any communications technology, such as optical, infrared, microwave, or other

transmission technologies. It is expected that such a computer program product may be distributed as a removable medium with accompanying printed or electronic documentation (for example, shrink wrapped software), preloaded with a computer system (for example, on system ROM or fixed disk), or distributed from a server or electronic bulletin board over a network (for example, the Internet or World Wide Web). In addition, a computer system is further provided including derivative modules for deriving a first data set and a calibration profile data set.

The calibration profile data sets in graphical or tabular form, the associated databases, and the calculated index or derived algorithm, together with information extracted from the panels, the databases, the data sets or the indices or algorithms are commodities that can be sold together or separately for a variety of purposes as described in WO 01/25473.

#### Index construction

In combination, (i) the remarkable consistency of Gene Expression Profiles with respect to a biological condition across a population and (ii) the use of procedures that provide substantially reproducible measurement of constituents in a Gene Expression Panel giving rise to a Gene Expression Profile, under measurement conditions wherein specificity and efficiencies of amplification for all constituents of the panel are substantially similar, make possible the use of an index that characterizes a Gene Expression Profile, and which therefore provides a measurement of a biological condition.

An index may be constructed using an index function that maps values in a Gene Expression Profile into a single value that is pertinent to the biological condition at hand. The values in a Gene Expression Profile are the amounts of each constituent of the Gene Expression Panel that corresponds to the Gene Expression Profile. These constituent amounts form a profile data set, and the index function generates a single value—the index—from the members of the profile data set.

The index function may conveniently be constructed as a linear sum of terms, each term being what we call a “contribution function” of a member of the profile data set. For example, the contribution function may be a constant times a power of a member of the profile data set. So the index function would have the form

$$I = \sum C_i M_i^{P(i)} ,$$

where  $I$  is the index,  $M_i$  is the value of the member  $i$  of the profile data set,  $C_i$  is a constant, and  $P(i)$  is a power to which  $M_i$  is raised, the sum being formed for all integral values of  $i$  up to the number of members in the data set. We thus have a linear polynomial expression.

5           The values  $C_i$  and  $P(i)$  may be determined in a number of ways, so that the index  $I$  is informative of the pertinent biological condition. One way is to apply statistical techniques, such as latent class modeling, to the profile data sets to correlate clinical data or experimentally derived data, or other data pertinent to the biological condition. In this connection, for example, may be employed the software from Statistical Innovations,  
10   Belmont, Massachusetts, called Latent Gold<sup>®</sup>. See the web pages at [www.statisticalinnovations.com/lg/](http://www.statisticalinnovations.com/lg/), which are hereby incorporated herein by reference.

          Alternatively, other simpler modeling techniques may be employed in a manner known in the art. The index function for inflammation may be constructed, for example, in a manner that a greater degree of inflammation (as determined by the a profile data set  
15   for the Inflammation Gene Expression Profile) correlates with a large value of the index function. In a simple embodiment, therefore, each  $P(i)$  may be +1 or -1, depending on whether the constituent increases or decreases with increasing inflammation. As discussed in further detail below, we have constructed a meaningful inflammation index that is proportional to the expression

20            $1/4\{IL1A\} + 1/4\{IL1B\} + 1/4\{TNF\} + 1/4\{INFG\} - 1/4\{IL10\},$

where the braces around a constituent designate measurement of such constituent and the constituents are a subset of the Inflammation Gene Expression Panel of Table 1.

          Just as a baseline profile data set, discussed above, can be used to provide an appropriate normative reference, and can even be used to create a Calibrated profile data  
25   set, as discussed above, based on the normative reference, an index that characterizes a Gene Expression Profile can also be provided with a normative value of the index function used to create the index. This normative value can be determined with respect to a relevant population, so that the index may be interpreted in relation to the normative value. The relevant population may have in common a property that is at least one of age  
30   group, gender, ethnicity, geographic location, diet, medical disorder, clinical indicator, medication, physical activity, body mass, and environmental exposure.

          As an example, the index can be constructed, in relation to a normative Gene Expression Profile for a population of healthy subjects, in such a way that a reading of approximately 1 characterizes normative Gene Expression Profiles of healthy subjects.

Let us further assume that the biological condition that is the subject of the index is inflammation; a reading of 1 in this example thus corresponds to a Gene Expression Profile that matches the norm for healthy subjects. A substantially higher reading then may identify a subject experiencing an inflammatory condition. The use of 1 as  
5 identifying a normative value, however, is only one possible choice; another logical choice is to use 0 as identifying the normative value. With this choice, deviations in the index from zero can be indicated in standard deviation units (so that values lying between -1 and +1 encompass 90% of a normally distributed reference population. Since we have found that Gene Expression Profile values (and accordingly constructed indices based on  
10 them) tend to be normally distributed, the 0-centered index constructed in this manner is highly informative. It therefore facilitates use of the index in diagnosis of disease and setting objectives for treatment. The choice of 0 for the normative value, and the use of standard deviation units, for example, are illustrated in Fig. 17B, discussed below.

## 15 EXAMPLES

Example 1: Acute Inflammatory Index to Assist in Analysis of Large, Complex Data Sets. In one embodiment of the invention the index value or algorithm can be used to reduce a complex data set to a single index value that is informative with respect to the  
20 inflammatory state of a subject. This is illustrated in Figs. 1A and 1B.

Fig. 1A is entitled Source Precision Inflammation Profile Tracking of A Subject Results in a Large, Complex Data Set. The figure shows the results of assaying 24 genes from the Inflammation Gene Expression Panel (shown in Table 1) on eight separate days during the course of optic neuritis in a single male subject.

25 Fig. 1B shows use of an Acute Inflammation Index. The data displayed in Fig. 1A above is shown in this figure after calculation using an index function proportional to the following mathematical expression:  $(1/4\{IL1A\} + 1/4\{IL1B\} + 1/4\{TNF\} + 1/4\{INFG\} - 1/\{IL10\})$ .

Example 2: Use of acute inflammation index or algorithm to monitor a biological  
30 condition of a sample or a subject. The inflammatory state of a subject reveals information about the past progress of the biological condition, future progress, response to treatment, etc. The Acute Inflammation Index may be used to reveal such information about the biological condition of a subject. This is illustrated in Fig. 2.

The results of the assay for inflammatory gene expression for each day (shown for 24 genes in each row of Fig. 1A) is displayed as an individual histogram after calculation. The index reveals clear trends in inflammatory status that may correlated with therapeutic intervention (Fig. 2).

5            Fig. 2 is a graphical illustration of the acute inflammation index calculated at 9 different, significant clinical milestones from blood obtained from a single patient treated medically with for optic neuritis. Changes in the index values for the Acute Inflammation Index correlate strongly with the expected effects of therapeutic intervention. Four clinical milestones have been identified on top of the Acute Inflammation Index in this  
10            figure including (1) prior to treatment with steroids, (2) treatment with IV solumedrol at 1 gram per day, (3) post-treatment with oral prednisone at 60 mg per day tapered to 10 mg per day and (4) post treatment. The data set is the same as for Fig. 1. The index is proportional to  $1/4\{\text{IL1A}\} + 1/4\{\text{IL1B}\} + 1/4\{\text{TNF}\} + 1/4\{\text{INFG}\} - 1/\{\text{IL10}\}$ . As expected, the acute inflammation index falls rapidly with treatment with IV steroid, goes  
15            up during less efficacious treatment with oral prednisone and returns to the pre-treatment level after the steroids have been discontinued and metabolized completely.

Example 3: Use of the acute inflammatory index to set dose, including concentrations and timing, for compounds in development or for compounds to be tested in human and non-human subjects as shown in Fig. 3. The acute inflammation index may  
20            be used as a common reference value for therapeutic compounds or interventions without common mechanisms of action. The compound that induces a gene response to a compound as indicated by the index, but fails to ameliorate a known biological conditions may be compared to a different compounds with varying effectiveness in treating the biological condition.

25            Fig. 3 shows the effects of single dose treatment with 800 mg of ibuprofen in a single donor as characterized by the Acute Inflammation Index. 800 mg of over-the-counter ibuprofen were taken by a single subject at Time=0 and Time=48 hr. Gene expression values for the indicated five inflammation-related gene loci were determined as described below at times=2, 4, 6, 48, 50, 56 and 96 hours. As expected the acute  
30            inflammation index falls immediately after taking the non-steroidal anti-inflammatory ibuprofen and returns to baseline after 48 hours. A second dose at T=48 follows the same kinetics at the first dose and returns to baseline at the end of the experiment at T=96.

Example 4: Use of the acute inflammation index to characterize efficacy, safety, and mode of physiological action for an agent, which may be in development and/or may be complex in nature. This is illustrated in Fig. 4.

Fig. 4 shows that the calculated acute inflammation index displayed graphically for five different conditions including (A) untreated whole blood; (B) whole blood treated in vitro with DMSO, an non-active carrier compound; (C) otherwise unstimulated whole blood treated in vitro with dexamethasone (0.08 ug/ml); (D) whole blood stimulated in vitro with lipopolysaccharide, a known pro-inflammatory compound, (LPS, 1 ng/ml) and (E) whole blood treated in vitro with LPS (1 ng/ml) and dexamethasone (0.08 ug/ml). Dexamethasone is used as a prescription compound that is commonly used medically as an anti-inflammatory steroid compound. The acute inflammation index is calculated from the experimentally determined gene expression levels of inflammation-related genes expressed in human whole blood obtained from a single patient. Results of mRNA expression are expressed as Ct's in this example, but may be expressed as, e.g., relative fluorescence units, copy number or any other quantifiable, precise and calibrated form, for the genes IL1A, IL1B, TNF, IFNG and IL10. From the gene expression values, the acute inflammation values were determined algebraically according in proportion to the expression  $1/4\{IL1A\} + 1/4\{IL1B\} + 1/4\{TNF\} + 1/4\{IFNG\} - 1/4\{IL10\}$ .

Example 5: Development and use of population normative values for Gene Expression Profiles. Figs. 6 and 7 show the arithmetic mean values for gene expression profiles (using the 48 loci of the Inflammation Gene Expression Panel of Table 1) obtained from whole blood of two distinct patient populations. These populations are both normal or undiagnosed. The first population, which is identified as Bonfils (the plot points for which are represented by diamonds), is composed of 17 subjects accepted as blood donors at the Bonfils Blood Center in Denver, Colorado. The second population is 9 donors, for which Gene Expression Profiles were obtained from assays conducted four times over a four-week period. Subjects in this second population (plot points for which are represented by squares) were recruited from employees of Source Precision Medicine, Inc., the assignee herein. Gene expression averages for each population were calculated for each of 48 gene loci of the Gene Expression Inflammation Panel. The results for loci 1-24 (sometimes referred to below as the Inflammation 48A loci) are shown in Fig. 6 and for loci 25-48 (sometimes referred to below as the Inflammation 48B loci) are shown in Fig. 7.

The consistency between gene expression levels of the two distinct populations is dramatic. Both populations show gene expressions for each of the 48 loci that are not significantly different from each other. This observation suggests that there is a “normal” expression pattern for human inflammatory genes, that a Gene Expression Profile, using the Inflammation Gene Expression Panel of Table 1 (or a subset thereof) characterizes that expression pattern, and that a population-normal expression pattern can be used, for example, to guide medical intervention for any biological condition that results in a change from the normal expression pattern.

In a similar vein, Fig. 8 shows arithmetic mean values for gene expression profiles (again using the 48 loci of the Inflammation Gene Expression Panel of Table 1) also obtained from whole blood of two distinct patient populations. One population, expression values for which are represented by triangular data points, is 24 normal, undiagnosed subjects (who therefore have no known inflammatory disease). The other population, the expression values for which are represented by diamond-shaped data points, is four patients with rheumatoid arthritis and who have failed therapy (who therefore have unstable rheumatoid arthritis).

As remarkable as the consistency of data from the two distinct normal populations shown in Figs. 6 and 7 is the systematic divergence of data from the normal and diseased populations shown in Fig. 8. In 45 of the shown 48 inflammatory gene loci, subjects with unstable rheumatoid arthritis showed, on average, increased inflammatory gene expression (lower cycle threshold values; Ct), than subjects without disease. The data thus further demonstrate that it is possible to identify groups with specific biological conditions using gene expression if the precision and calibration of the underlying assay are carefully designed and controlled according to the teachings herein.

Fig. 9, in a manner analogous to Fig. 8, shows the arithmetic mean values for gene expression profiles using 24 loci of the Inflammation Gene Expression Panel of Table 1) also obtained from whole blood of two distinct patient populations. One population, expression values for which are represented by diamond-shaped data points, is 17 normal, undiagnosed subjects (who therefore have no known inflammatory disease) who are blood donors. The other population, the expression values for which are represented by square-shaped data points, is 16 subjects, also normal and undiagnosed, who have been monitored over six months, and the averages of these expression values are represented by the square-shaped data points. Thus the cross-sectional gene expression-value averages of a first healthy population match closely the longitudinal



gene expression-value averages of a second healthy population., with approximately 7% or less variation in measured expression value on a gene-to-gene basis.

Fig. 10 shows the shows gene expression values (using 14 loci of the Inflammation Gene Expression Panel of Table 1) obtained from whole blood of 44 normal undiagnosed blood donors (data for 10 subjects of which is shown). Again, the gene expression values for each member of the population are closely matched to those for the population, represented visually by the consistent peak heights for each of the gene loci. Other subjects of the population and other gene loci than those depicted here display results that are consistent with those shown here.

In consequence of these principles, and in various embodiments of the present invention, population normative values for a Gene Expression Profile can be used in comparative assessment of individual subjects as to biological condition, including both for purposes of health and/or disease. In one embodiment the normative values for a Gene Expression Profile may be used as a baseline in computing a “calibrated profile data set” (as defined at the beginning of this section) for a subject that reveals the deviation of such subject’s gene expression from population normative values. Population normative values for a Gene Expression Profile can also be used as baseline values in constructing index functions in accordance with embodiments of the present invention. As a result, for example, an index function can be constructed to reveal not only the extent of an individual’s inflammation expression generally but also in relation to normative values.

Example 6: Consistency of expression values, of constituents in Gene Expression Panels, over time as reliable indicators of biological condition. Fig. 11 shows the expression levels for each of four genes (of the Inflammation Gene Expression Panel of Table 1), of a single subject, assayed monthly over a period of eight months. It can be seen that the expression levels are remarkably consistent over time.

Figs. 12 and 13 similarly show in each case the expression levels for each of 48 genes (of the Inflammation Gene Expression Panel of Table 1), of distinct single subjects (selected in each case on the basis of feeling well and not taking drugs), assayed, in the case of Fig. 12 weekly over a period of four weeks, and in the case of Fig. 13 monthly over a period of six months. In each case, again the expression levels are remarkably consistent over time, and also similar across individuals.

Fig. 14 also shows the effect over time, on inflammatory gene expression in a single human subject, of the administration of an anti-inflammatory steroid, as assayed using the Inflammation Gene Expression Panel of Table 1. In this case, 24 of 48 loci are

displayed. The subject had a baseline blood sample drawn in a PAX RNA isolation tube and then took a single 60 mg dose of prednisone, an anti-inflammatory, prescription steroid. Additional blood samples were drawn at 2 hr and 24 hr post the single oral dose. Results for gene expression are displayed for all three time points, wherein values for the baseline sample are shown as unity on the x-axis. As expected, oral treatment with prednisone resulted in the decreased expression of most of inflammation-related gene loci, as shown by the 2-hour post-administration bar graphs. However, the 24-hour post-administration bar graphs show that, for most of the gene loci having reduced gene expression at 2 hours, there were elevated gene expression levels at 24 hr.

Although the baseline in Fig. 14 is based on the gene expression values before drug intervention associated with the single individual tested, we know from the previous example, that healthy individuals tend toward population normative values in a Gene Expression Profile using the Inflammation Gene Expression Panel of Table 1 (or a subset of it). We conclude from Fig. 14 that in an attempt to return the inflammatory gene expression levels to those demonstrated in Figs. 6 and 7 (normal or set levels), interference with the normal expression induced a compensatory gene expression response that over-compensated for the drug-induced response, perhaps because the prednisone had been significantly metabolized to inactive forms or eliminated from the subject.

Fig. 15, in a manner analogous to Fig. 14, shows the effect over time, via whole blood samples obtained from a human subject, administered a single dose of prednisone, on expression of 5 genes (of the Inflammation Gene Expression Panel of Table 1). The samples were taken at the time of administration ( $t = 0$ ) of the prednisone, then at two and 24 hours after such administration. Each whole blood sample was challenged by the addition of 0.1 ng/ml of lipopolysaccharide (a Gram-negative endotoxin) and a gene expression profile of the sample, post-challenge, was determined. It can be seen that the two-hour sample shows dramatically reduced gene expression of the 5 loci of the Inflammation Gene Expression Panel, in relation to the expression levels at the time of administration ( $t = 0$ ). At 24 hours post administration, the inhibitory effect of the prednisone is no longer apparent, and at 3 of the 5 loci, gene expression is in fact higher than at  $t = 0$ , illustrating quantitatively at the molecular level the well-known rebound effect.

Fig. 16 also shows the effect over time, on inflammatory gene expression in a single human subject suffering from rheumatoid arthritis, of the administration of a TNF-

inhibiting compound, but here the expression is shown in comparison to the cognate locus average previously determined (in connection with Figs. 6 and 7) for the normal (i.e., undiagnosed, healthy) population. As part of a larger international study involving patients with rheumatoid arthritis, the subject was followed over a twelve-week period.

5 The subject was enrolled in the study because of a failure to respond to conservative drug therapy for rheumatoid arthritis and a plan to change therapy and begin immediate treatment with a TNF-inhibiting compound. Blood was drawn from the subject prior to initiation of new therapy (visit 1). After initiation of new therapy, blood was drawn at 4 weeks post change in therapy (visit 2), 8 weeks (visit 3), and 12 weeks (visit 4) following  
10 the start of new therapy. Blood was collected in PAX RNA isolation tubes, held at room temperature for two hours and then frozen at  $-30^{\circ}\text{C}$ .

Frozen samples were shipped to the central laboratory at Source Precision Medicine, the assignee herein, in Boulder, Colorado for determination of expression levels of genes in the 48-gene Inflammation Gene Expression Panel of Table 1. The  
15 blood samples were thawed and RNA extracted according to the manufacturer's recommended procedure. RNA was converted to cDNA and the level of expression of the 48 inflammatory genes was determined. Expression results are shown for 11 of the 48 loci in Fig. 16. When the expression results for the 11 loci are compared from visit one to a population average of normal blood donors from the United States, the subject shows  
20 considerable difference. Similarly, gene expression levels at each of the subsequent physician visits for each locus are compared to the same normal average value. Data from visits 2, 3 and 4 document the effect of the change in therapy. In each visit following the change in the therapy, the level of inflammatory gene expression for 10 of the 11 loci is closer to the cognate locus average previously determined for the normal (i.e.,  
25 undiagnosed, healthy) population.

Fig. 17A further illustrates the consistency of inflammatory gene expression, illustrated here with respect to 7 loci of (of the Inflammation Gene Expression Panel of Table 1), in a population of 44 normal, undiagnosed blood donors. For each individual locus is shown the range of values lying within  $\pm 2$  standard deviations of the mean  
30 expression value, which corresponds to 95% of a normally distributed population. Notwithstanding the great width of the confidence interval (95%), the measured gene expression value ( $\Delta\text{CT}$ )—remarkably—still lies within 10% of the mean, regardless of the expression level involved. As described in further detail below, for a given biological condition an index can be constructed to provide a measurement of the condition. This is

possible as a result of the conjunction of two circumstances: (i) there is a remarkable consistency of Gene Expression Profiles with respect to a biological condition across a population and (ii) there can be employed procedures that provide substantially reproducible measurement of constituents in a Gene Expression Panel giving rise to a Gene Expression Profile, under measurement conditions wherein specificity and efficiencies of amplification for all constituents of the panel are substantially similar and which therefore provides a measurement of a biological condition. Accordingly, a function of the expression values of representative constituent loci of Fig. 17A is here used to generate an inflammation index value, which is normalized so that a reading of 1 corresponds to constituent expression values of healthy subjects, as shown in the right-hand portion of Fig. 17A.

In Fig. 17B, an inflammation index value was determined for each member of a population of 42 normal undiagnosed blood donors, and the resulting distribution of index values, shown in the figure, can be seen to approximate closely a normal distribution, notwithstanding the relatively small population size. The values of the index are shown relative to a 0-based median, with deviations from the median calibrated in standard deviation units. Thus 90% of the population lies within +1 and -1 of a 0 value. We have constructed various indices, which exhibit similar behavior.

Fig. 17C illustrates the use of the same index as Fig. 17B, where the inflammation median for a normal population has been set to zero and both normal and diseased subjects are plotted in standard deviation units relative to that median. An inflammation index value was determined for each member of a normal, undiagnosed population of 70 individuals (black bars). The resulting distribution of index values, shown in Fig. 17C, can be seen to approximate closely a normal distribution. Similarly, index values were calculated for individuals from two diseased population groups, (1) rheumatoid arthritis patients treated with methotrexate (MTX) who are about to change therapy to more efficacious drugs (e.g., TNF inhibitors)(hatched bars), and (2) rheumatoid arthritis patients treated with disease modifying anti-rheumatoid drugs (DMARDS) other than MTX, who are about to change therapy to more efficacious drugs (e.g., MTX). Both populations present index values that are skewed upward (demonstrating increased inflammation) in comparison to the normal distribution. This figure thus illustrates the utility of an index to derived from Gene Expression Profile data to evaluate disease status and to provide an objective and quantifiable treatment objective. When these two

populations were treated appropriately, index values from both populations returned to a more normal distribution (data not shown here).

Fig. 18 plots, in a fashion similar to that of Fig. 17A, Gene Expression Profiles, for the same 7 loci as in Fig. 17A, two different 6-subject populations of rheumatoid arthritis patients. One population (called "stable" in the figure) is of patients who have responded well to treatment and the other population (called "unstable" in the figure) is of patients who have not responded well to treatment and whose therapy is scheduled for change. It can be seen that the expression values for the stable population, lie within the range of the 95% confidence interval, whereas the expression values for the unstable population for 5 of the 7 loci are outside and above this range. The right-hand portion of the figure shows an average inflammation index of 9.3 for the unstable population and an average inflammation index of 1.8 for the stable population, compared to 1 for a normal undiagnosed population. The index thus provides a measure of the extent of the underlying inflammatory condition, in this case, rheumatoid arthritis. Hence the index, besides providing a measure of biological condition, can be used to measure the effectiveness of therapy as well as to provide a target for therapeutic intervention.

Fig. 19 thus illustrates use of the inflammation index for assessment of a single subject suffering from rheumatoid arthritis, who has not responded well to traditional therapy with methotrexate. The inflammation index for this subject is shown on the far right at start of a new therapy (a TNF inhibitor), and then, moving leftward, successively, 2 weeks, 6 weeks, and 12 weeks thereafter. The index can be seen moving towards normal, consistent with physician observation of the patient as responding to the new treatment.

Fig. 20 similarly illustrates use of the inflammation index for assessment of three subjects suffering from rheumatoid arthritis, who have not responded well to traditional therapy with methotrexate, at the beginning of new treatment (also with a TNF inhibitor), and 2 weeks and 6 weeks thereafter. The index in each case can again be seen moving generally towards normal, consistent with physician observation of the patients as responding to the new treatment.

Each of Figs. 21-23 shows the inflammation index for an international group of subjects, suffering from rheumatoid arthritis, each of whom has been characterized as stable (that is, not anticipated to be subjected to a change in therapy) by the subject's treating physician. Fig. 21 shows the index for each of 10 patients in the group being treated with methotrexate, which known to alleviate symptoms without addressing the

underlying disease. Fig. 22 shows the index for each of 10 patients in the group being treated with Enbrel (an TNF inhibitor), and Fig. 23 shows the index for each 10 patients being treated with Remicade (another TNF inhibitor). It can be seen that the inflammation index for each of the patients in Fig. 21 is elevated compared to normal, whereas in Fig. 22, the patients being treated with Enbrel as a class have an inflammation index that comes much closer to normal (80% in the normal range). In Fig. 23, it can be seen that, while all but one of the patients being treated with Remicade have an inflammation index at or below normal, two of the patients have an abnormally low inflammation index, suggesting an immunosuppressive response to this drug. (Indeed, studies have shown that Remicade has been associated with serious infections in some subjects, and here the immunosuppressive effect is quantified.) Also in Fig. 23, one subject has an inflammation index that is significantly above the normal range. This subject in fact was also on a regimen of an anti-inflammation steroid (prednisone) that was being tapered; within approximately one week after the inflammation index was sampled, the subject experienced a significant flare of clinical symptoms.

Remarkably, these examples show a measurement, derived from the assay of blood taken from a subject, pertinent to the subject's arthritic condition. Given that the measurement pertains to the extent of inflammation, it can be expected that other inflammation-based conditions, including, for example, cardiovascular disease, may be monitored in a similar fashion.

Fig. 24 illustrates use of the inflammation index for assessment of a single subject suffering from inflammatory bowel disease, for whom treatment with Remicade was initiated in three doses. The graphs show the inflammation index just prior to first treatment, and then 24 hours after the first treatment; the index has returned to the normal range. The index was elevated just prior to the second dose, but in the normal range prior to the third dose. Again, the index, besides providing a measure of biological condition, is here used to measure the effectiveness of therapy (Remicade), as well as to provide a target for therapeutic intervention in terms of both dose and schedule.

Fig. 25 shows Gene Expression Profiles with respect to 24 loci (of the Inflammation Gene Expression Panel of Table 1) for whole blood treated with Ibuprofen in vitro in relation to other non-steroidal anti-inflammatory drugs (NSAIDs). The profile for Ibuprofen is in front. It can be seen that all of the NSAIDs, including Ibuprofen share a substantially similar profile, in that the patterns of gene expression across the loci are

similar. Notwithstanding these similarities, each individual drug has its own distinctive signature.

Fig. 26 illustrates how the effects of two competing anti-inflammatory compounds can be compared objectively, quantitatively, precisely, and reproducibly. In this example, expression of each of a panel of two genes (of the Inflammation Gene Expression Panel of Table 1) is measured for varying doses (0.08 – 250 µg/ml) of each drug in vitro in whole blood. The market leader drug shows a complex relationship between dose and inflammatory gene response. Paradoxically, as the dose is increased, gene expression for both loci initially drops and then increases in the case of the market leader. For the other compound, a more consistent response results, so that as the dose is increased, the gene expression for both loci decreases more consistently.

Figs. 27 through 41 illustrate the use of gene expression panels in early identification and monitoring of infectious disease. These figures plot the response, in expression products of the genes indicated, in whole blood, to the administration of various infectious agents or products associated with infectious agents. In each figure, the gene expression levels are “calibrated”, as that term is defined herein, in relation to baseline expression levels determined with respect to the whole blood prior to administration of the relevant infectious agent. In this respect the figures are similar in nature to various figures of our below-referenced patent application WO 01/25473 (for example, Fig. 15 therein). The concentration change is shown ratiometrically, and the baseline level of 1 for a particular gene locus corresponds to an expression level for such locus that is the same, monitored at the relevant time after addition of the infectious agent or other stimulus, as the expression level before addition of the stimulus. Ratiometric changes in concentration are plotted on a logarithmic scale. Bars below the unity line represent decreases in concentration and bars above the unity line represent increases in concentration, the magnitude of each bar indicating the magnitude of the ratio of the change. We have shown in WO 01/25473 and other experiments that, under appropriate conditions, Gene Expression Profiles derived in vitro by exposing whole blood to a stimulus can be representative of Gene Expression Profiles derived in vivo with exposure to a corresponding stimulus.

Fig. 27 uses a novel bacterial Gene Expression Panel of 24 genes, developed to discriminate various bacterial conditions in a host biological system. Two different stimuli are employed: lipotechoic acid (LTA), a gram positive cell wall constituent, and lipopolysaccharide (LPS), a gram negative cell wall constituent. The final concentration

immediately after administration of the stimulus was 100 ng/mL, and the ratiometric changes in expression, in relation to pre-administration levels, were monitored for each stimulus 2 and 6 hours after administration. It can be seen that differential expression can be observed as early as two hours after administration, for example, in the IFNA2 locus, as well as others, permitting discrimination in response between gram positive and gram negative bacteria.

Fig. 28 shows differential expression for a single locus, IFNG, to LTA derived from three distinct sources: *S. pyogenes*, *B. subtilis*, and *S. aureus*. Each stimulus was administered to achieve a concentration of 100 ng/mL, and the response was monitored at 1, 2, 4, 6, and 24 hours after administration. The results suggest that Gene Expression Profiles can be used to distinguish among different infectious agents, here different species of gram positive bacteria.

Figs. 29 and 30 show the response of the Inflammation 48A and 48B loci respectively (discussed above in connection with Figs. 6 and 7 respectively) in whole blood to administration of a stimulus of *S. aureus* and of a stimulus of *E. coli* (in the indicated concentrations, just after administration, of  $10^7$  and  $10^6$  CFU/mL respectively), monitored 2 hours after administration in relation to the pre-administration baseline. The figures show that many of the loci respond to the presence of the bacterial infection within two hours after infection.

Figs. 31 and 32 correspond to Figs. 29 and 30 respectively and are similar to them, with the exception that the monitoring here occurs 6 hours after administration. More of the loci are responsive to the presence of infection. Various loci, such as IL2, show expression levels that discriminate between the two infectious agents.

Fig. 33 shows the response of the Inflammation 48A loci to the administration of a stimulus of *E. coli* (again in the concentration just after administration of  $10^6$  CFU/mL) and to the administration of a stimulus of an *E. coli* filtrate containing *E. coli* bacteria by products but lacking *E. coli* bacteria. The responses were monitored at 2, 6, and 24 hours after administration. It can be seen, for example, that the responses over time of loci IL1B, IL18 and CSF3 to *E. coli* and to *E. coli* filtrate are different.

Fig. 34 is similar to Fig. 33, but here the compared responses are to stimuli from *E. coli* filtrate alone and from *E. coli* filtrate to which has been added polymyxin B, an antibiotic known to bind to lipopolysaccharide (LPS). An examination of the response of IL1B, for example, shows that presence of polymyxin B did not affect the response of the



locus to *E. coli* filtrate, thereby indicating that LPS does not appear to be a factor in the response of IL1B to *E. coli* filtrate.

Fig. 35 illustrates the responses of the Inflammation 48A loci over time of whole blood to a stimulus of *S. aureus* (with a concentration just after administration of  $10^7$  CFU/mL) monitored at 2, 6, and 24 hours after administration. It can be seen that response over time can involve both direction and magnitude of change in expression. (See for example, IL5 and IL18.)

Figs. 36 and 37 show the responses, of the Inflammation 48A and 48B loci respectively, monitored at 6 hours to stimuli from *E. coli* (at concentrations of  $10^6$  and  $10^2$  CFU/mL immediately after administration) and from *S. aureus* (at concentrations of  $10^7$  and  $10^2$  CFU/mL immediately after administration). It can be seen, among other things, that in various loci, such as B7 (Fig. 36), TACI, PLA2G7, and C1QA (Fig. 37), *E. coli* produces a much more pronounced response than *S. aureus*. The data suggest strongly that Gene Expression Profiles can be used to identify with high sensitivity the presence of gram negative bacteria and to discriminate against gram positive bacteria.

Figs. 38 and 39 show the responses, of the Inflammation 48B and 48A loci respectively, monitored 2, 6, and 24 hours after administration, to stimuli of high concentrations of *S. aureus* and *E. coli* respectively (at respective concentrations of  $10^7$  and  $10^6$  CFU/mL immediately after administration). The responses over time at many loci involve changes in magnitude and direction. Fig. 40 is similar to Fig. 39, but shows the responses of the Inflammation 48B loci.

Fig. 41 similarly shows the responses of the Inflammation 48A loci monitored at 24 hours after administration to stimuli high concentrations of *S. aureus* and *E. coli* respectively (at respective concentrations of  $10^7$  and  $10^6$  CFU/mL immediately after administration). As in the case of Figs. 20 and 21, responses at some loci, such as GRO1 and GRO2, discriminate between type of infection.

These data support our conclusion that Gene Expression Profiles with sufficient precision and calibration as described herein (1) can determine subpopulations of individuals with a known biological condition; (2) may be used to monitor the response of patients to therapy; (3) may be used to assess the efficacy and safety of therapy; and (4) may be used to guide the medical management of a patient by adjusting therapy to bring one or more relevant Gene Expression Profiles closer to a target set of values, which may be normative values or other desired or achievable values. We have shown that Gene Expression Profiles may provide meaningful information even when derived from ex

vivo treatment of blood or other tissue. We have also shown that Gene Expression Profiles derived from peripheral whole blood are informative of a wide range of conditions neither directly nor typically associated with blood.

Furthermore, in embodiments of the present invention, Gene Expression Profiles  
5 can also be used for characterization and early identification (including pre-symptomatic states) of infectious disease, such as sepsis. This characterization includes discriminating between infected and uninfected individuals, bacterial and viral infections, specific subtypes of pathogenic agents, stages of the natural history of infection (e.g., early or late), and prognosis. Use of the algorithmic and statistical approaches discussed above to  
10 achieve such identification and to discriminate in such fashion is within the scope of various embodiments herein.

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Table 1. Inflammation Gene Expression Panel

Symbol	Name	Classification	Description
<u>IL1A</u>	Interleukin 1, alpha	cytokines-chemokines-growth factors	Proinflammatory; constitutively and inducibly expressed in variety of cells. Generally cytosolic and released only during severe inflammatory disease
<u>IL1B</u>	Interleukin 1, beta	cytokines-chemokines-growth factors	Proinflammatory; constitutively and inducibly expressed by many cell types, secreted
<u>TNFA</u>	Tumor necrosis factor, alpha	cytokines-chemokines-growth factors	Proinflammatory, TH1, mediates host response to bacterial stimulus, regulates cell growth & differentiation
<u>IL6</u>	Interleukin 6 (interferon, beta 2)	cytokines-chemokines-growth factors	Pro- and antiinflammatory activity, TH2 cytokine, regulates hemopoietic system and activation of innate response
<u>IL8</u>	Interleukin 8	cytokines-chemokines-growth factors	Proinflammatory, major secondary inflammatory mediator, cell adhesion, signal transduction, cell-cell signaling, angiogenesis, synthesized by a wide variety of cell types
<u>IFNG</u>	Interferon gamma	cytokines-chemokines-growth factors	Pro- and antiinflammatory activity, TH1 cytokine, nonspecific inflammatory mediator, produced by activated T-cells
<u>IL2</u>	Interleukin 2	cytokines-chemokines-growth factors	T-cell growth factor, expressed by activated T-cells, regulates lymphocyte activation and differentiation; inhibits apoptosis, TH1 cytokine
<u>IL12B</u>	Interleukin 12 p40	cytokines-chemokines-growth factors	Proinflammatory; mediator of innate immunity, TH1 cytokine, requires co-stimulation with IL-18 to induce IFN-g

<u>IL15</u>	Interleukin 15	cytokines-chemokines-growth factors	Proinflammatory; mediates T-cell activation, inhibits apoptosis, synergizes with IL-2 to induce IFN-g and TNF-a
<u>IL18</u>	Interleukin 18	cytokines-chemokines-growth factors	Proinflammatory, TH1, innate and acquired immunity, promotes apoptosis, requires co-stimulation with IL-1 or IL-2 to induce TH1 cytokines in T- and NK-cells
<u>IL4</u>	Interleukin 4	cytokines-chemokines-growth factors	Antiinflammatory; TH2; suppresses proinflammatory cytokines, increases expression of IL-1RN, regulates lymphocyte activation
<u>IL5</u>	Interleukin 5	cytokines-chemokines-growth factors	Eosinophil stimulatory factor; stimulates late B cell differentiation to secretion of Ig
<u>IL10</u>	Interleukin 10	cytokines-chemokines-growth factors	Antiinflammatory; TH2; suppresses production of proinflammatory cytokines
<u>IL13</u>	Interleukin 13	cytokines-chemokines-growth factors	Inhibits inflammatory cytokine production
<u>IL1RN</u>	Interleukin 1 receptor antagonist	cytokines-chemokines-growth factors	IL1 receptor antagonist; Antiinflammatory; inhibits binding of IL-1 to IL-1 receptor by binding to receptor without stimulating IL-1-like activity
<u>IL18BP</u>	IL-18 Binding Protein	cytokines-chemokines-growth factors	Implicated in inhibition of early TH1 cytokine responses
<u>TGFB1</u>	Transforming growth factor, beta 1	cytokines-chemokines-growth factors	Pro- and antiinflammatory activity, anti-apoptotic; cell-cell signaling, can either inhibit or stimulate cell growth
<u>IFNA2</u>	Interferon, alpha 2	cytokines-chemokines-growth factors	interferon produced by macrophages with antiviral effects

<u>GRO1</u>	GRO1 oncogene (melanoma growth stimulating activity, alpha)	cytokines-chemokines-growth factors	AKA SCYB1; chemotactic for neutrophils
<u>GRO2</u>	GRO2 oncogene	cytokines-chemokines-growth factors	AKA MIP2, SCYB2; Macrophage inflammatory protein produced by monocytes and neutrophils
<u>TNFSF5</u>	Tumor necrosis factor (ligand) superfamily, member 5	cytokines-chemokines-growth factors	ligand for CD40; expressed on the surface of T cells. It regulates B cell function by engaging CD40 on the B cell surface
<u>TNFSF6</u>	Tumor necrosis factor (ligand) superfamily, member 6	cytokines-chemokines-growth factors	AKA FasL; Ligand for FAS antigen; transduces apoptotic signals into cells
<u>CSF3</u>	Colony stimulating factor 3 (granulocyte)	cytokines-chemokines-growth factors	AKA GCSF; cytokine that stimulates granulocyte development
<u>B7</u>	B7 protein	cell signaling and activation	Regulatory protein that may be associated with lupus

<u>CSF2</u>	Granulocyte-monocyte colony stimulating factor	cytokines-chemokines-growth factors	AKA GM-CSF; Hematopoietic growth factor; stimulates growth and differentiation of hematopoietic precursor cells from various lineages, including granulocytes, macrophages, eosinophils, and erythrocytes
<u>TNFSF13B</u>	Tumor necrosis factor (ligand) superfamily, member 13b	cytokines-chemokines-growth factors	B cell activating factor, TNF family
<u>TACI</u>	Transmembrane activator and CAML interactor	cytokines-chemokines-growth factors	T cell activating factor and calcium cyclophilin modulator
<u>VEGF</u>	vascular endothelial growth factor	cytokines-chemokines-growth factors	Produced by monocytes
<u>ICAM1</u>	Intercellular adhesion molecule 1	Cell Adhesion / Matrix Protein	Endothelial cell surface molecule; regulates cell adhesion and trafficking, upregulated during cytokine stimulation
<u>PTGS2</u>	Prostaglandin-endoperoxide synthase 2	Enzyme / Redox	AKA COX2; Proinflammatory, member of arachidonic acid to prostanoid conversion pathway; induced by proinflammatory cytokines

<u>NOS2A</u>	Nitric oxide synthase 2A	Enzyme / Redox	AKA iNOS; produces NO which is bacteriocidal/tumoricidal
<u>PLA2G7</u>	Phospholipase A2, group VII (platelet activating factor acetylhydrolase, plasma)	Enzyme / Redox	Platelet activating factor
<u>HMOX1</u>	Heme oxygenase (decycling) 1	Enzyme / Redox	Endotoxin inducible
<u>F3</u>	F3	Enzyme / Redox	AKA thromboplastin, Coagulation Factor 3; cell surface glycoprotein responsible for coagulation catalysis
<u>CD3Z</u>	CD3 antigen, zeta polypeptide	Cell Marker	T-cell surface glycoprotein
<u>PTPRC</u>	protein tyrosine phosphatase, receptor type, C	Cell Marker	AKA CD45; mediates T-cell activation
<u>CD14</u>	CD14 antigen	Cell Marker	LPS receptor used as marker for monocytes
<u>CD4</u>	CD4 antigen (p55)	Cell Marker	Helper T-cell marker

<u>CD8A</u>	CD8 antigen, alpha polypeptide	Cell Marker	Suppressor T cell marker
<u>CD19</u>	CD19 antigen	Cell Marker	AKA Leu 12; B cell growth factor
<u>HSPA1A</u>	Heat shock protein 70	Cell Signaling and activation	heat shock protein 70 kDa
<u>MMP3</u>	Matrix metalloproteinase 3	Proteinase / Proteinase Inhibitor	AKA stromelysin; degrades fibronectin, laminin and gelatin
<u>MMP9</u>	Matrix metalloproteinase 9	Proteinase / Proteinase Inhibitor	AKA gelatinase B; degrades extracellular matrix molecules, secreted by IL-8-stimulated neutrophils
<u>PLAU</u>	Plasminogen activator, urokinase	Proteinase / Proteinase Inhibitor	AKA uPA; cleaves plasminogen to plasmin (a protease responsible for nonspecific extracellular matrix degradation)
<u>SERPINE1</u>	Serine (or cysteine) protease inhibitor, clade B (ovalbumin), member 1	Proteinase / Proteinase Inhibitor	Plasminogen activator inhibitor-1 / PAI-1
<u>TIMP1</u>	tissue inhibitor of metalloproteinase 1	Proteinase / Proteinase Inhibitor	Irreversibly binds and inhibits metalloproteinases, such as collagenase



<u>C1QA</u>	Complement component 1, q subcomponent, alpha polypeptide	Proteinase / Proteinase Inhibitor	Serum complement system; forms C1 complex with the proenzymes c1r and c1s
<u>HLA-DRB1</u>	Major histocompatibility complex, class II, DR beta 1	Histocompatibility	Binds antigen for presentation to CD4+ cells

Table 2. Diabetes Gene Expression Panel

Symbol	Name	Classification	Description
G6PC	glucose-6-phosphatase, catalytic	Glucose-6-phosphatase/Glycogen metabolism	Catalyzes the final step in the gluconeogenic and glycogenolytic pathways. Stimulated by glucocorticoids and strongly inhibited by insulin. Overexpression (in conjunction with PCK1 overexpression) leads to increased hepatic glucose production.
<u>GCG</u>	glucagon	pancreatic/peptide hormone	Pancreatic hormone which counteracts the glucose-lowering action of insulin by stimulating glycogenolysis and gluconeogenesis. Underexpression of glucagon is preferred. Glucagon peptide (GLP-1) proposed for type 2 diabetes treatment inhibits glucagon.
<u>GCGR</u>	glucagon receptor	glucagon receptor	Expression of GCGR is strongly upregulated by glucose. Deficiency of GCGR could play a role in NIDDM. Has been looked as a potential for therapy.
<u>GFPT1</u>	glutamine-fructose-6-phosphate transaminase 1	Glutamine amidotransferase	The rate limiting enzyme for glucose entry into the hexosamine biosynthetic pathway (HBP). Overexpression of GFA in muscle and adipose tissue increases products of the HBP which is thought to cause insulin resistance (possibly through defects to glucose transport).
<u>GYS1</u>	glycogen synthase 1 (muscle)	Transferase/Glycogen metabolism	A key enzyme in the regulation of glycogen synthesis in the skeletal muscles of humans. Typically stimulated by insulin, but in NIDDM individuals GS is shown to be completely resistant to insulin stimulation (decreased activity and activation in muscle)
<u>HK2</u>	hexokinase 2	hexokinase	Phosphorylates glucose into glucose-6-phosphate. NIDDM patients have decreased HK2 activity which may contribute to insulin resistance. Similar action to GSK.

<u>INS</u>	insulin	Insulin receptor ligand	Decreases blood glucose concentration and accelerates glycogen synthesis in the liver. Not as critical in NIDDM as in IDDM.
<u>IRS1</u>	insulin receptor substrate 1	signal transduction/transmembrane receptor protein	Positive regulation of insulin action. Protein is activated when insulin binds to insulin receptor - binds 85-kD subunit of PI 3-K. decreased in skeletal muscle of obese humans.
<u>PCK1</u>	phosphoenolpyruvate carboxykinase 1	rate-limiting gluconeogenic enzyme	Rate limiting enzyme for gluconeogenesis - plays a key role in regulation of hepatic glucose output by insulin and glucagon. Overexpression in the liver results in increased hepatic glucose production and hepatic insulin resistance to glycogen synthesis.
<u>PIK3R1</u>	phosphoinositide-3-kinase, regulatory subunit, polypeptide 1 (p85 alpha)	regulatory enzyme	Positive regulation of insulin action. Docks in IRS proteins and Gab1. Activity is required for insulin stimulated translocation of glucose transporter to the plasma membrane and activates glucose uptake.
<u>PPARG</u>	peroxisome proliferator-activated receptor, gamma	transcription factor/Ligand-dependent nuclear receptor	The primary pharmacological target for the treatment of insulin resistance in NIDDM. Involved in glucose and lipid metabolism in skeletal muscle.
<u>PRKCB1</u>	protein kinase C, beta 1	protein kinase C/protein phosphorylation	Negative regulation of insulin action. Activated by hyperglycemia - inhibits phosphorylation of IRS-1 and reduces insulin receptor kinase activity. Increased PKC activation may lead to oxidative stress causing overexpression of TGF-beta and fibronectin.
<u>SLC2A2</u>	solute carrier family 2 (facilitated glucose transporter), member 2	glucose transporter	Glucose transporters expressed in b-cells and liver. Transport glucose into the b-cell. Typically underexpressed in pancreatic islets of individuals with NIDDM.
<u>SLC2A4</u>	solute carrier family 2 (facilitated glucose transporter), member 4	glucose transporter	Glucose transporter protein that acts as a mediator in insulin-stimulated glucose uptake (rate limiting for glucose uptake). Underexpression not important, overexpression in muscle and adipose tissue consistently shown to increase glucose transport.
<u>TGFB1</u>	transforming growth factor, beta 1	Transforming growth factor beta receptor ligand	Regulated by glucose - in NIDDM individuals, overexpression (due to oxidative stress - see PKC) promotes renal cell hypertrophy leading to diabetic nephropathy.

<u>TNF</u>	tumor necrosis factor	cytokine/tumor necrosis factor receptor ligand	Negative regulation of insulin ac Produced in excess by adipose ti obese individuals - increases IRS phosphorylation and decreases in receptor kinase activity.
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Table 3. Prostate Gene Expression Panel

Symbol	Name	Classification	Description
<u>ABCC1</u>	ATP-binding cassette, sub-family C, member 1	membrane transporter	AKA MRP1, ABC29: Multispecific organic anion membrane transporter; overexpression confers tissue protection against a wide variety of xenobiotics due to their removal from the cell.
<u>ACPP</u>	Acid phosphatase, prostate	phosphatase	AKA PAP: Major phosphatase of the prostate; synthesized under androgen regulation; secreted by the epithelial cells of the prostate
<u>BCL2</u>	B-cell CLL / lymphoma 2	apoptosis Inhibitor - cell cycle control - oncogenesis	Blocks apoptosis by interfering with the activation of caspases
<u>BIRC5</u>	Baculoviral IAP repeat-containing 5	apoptosis Inhibitor	AKA Survivin; API4: May counteract a default induction of apoptosis in G2/M phase of cell cycle; associates with microtubules of the mitotic spindle during apoptosis
<u>CDH1</u>	Cadherin 1, type 1, E-cadherin	cell-cell adhesion / interaction	AKA ECAD, UVO: Calcium ion-dependent cell adhesion molecule that mediates cell to cell interactions in epithelial cells
<u>CDH2</u>	Cadherin 2, type 1, N-cadherin	cell-cell adhesion / interaction	AKA NCAD, CDHN: Calcium-dependent glycoprotein that mediates cell-cell interactions; may be involved in neuronal recognition mechanism
<u>CDKN2A</u>	Cyclin-dependent kinase inhibitor 2A	cell cycle control - tumor suppressor	AKA p16, MTS1, INK4: Tumor suppressor gene involved in a variety of malignancies; arrests normal diploid cells in late G1
<u>CTNNA1</u>	Catenin, alpha 1	cell adhesion	Binds cadherins and links them with the actin cytoskeleton

<u>FOLH1</u>	Folate Hydrolase	hydrolase	AKA PSMA, GCP2: Expressed in normal and neoplastic prostate cells; membrane bound glycoprotein; hydrolyzes folate and is an N-acetylated a-linked acidic dipeptidase
<u>GSTT1</u>	Glutathione-S-Transferase, theta 1	metabolism	Catalyzes the conjugation of reduced glutathione to a wide number of exogenous and endogenous hydrophobic electrophiles; has an important role in human carcinogenesis
<u>HMG1Y</u>	High mobility group protein, isoforms I and Y	DNA binding - transcriptional regulation - oncogene	Potential oncogene with MYC binding site at promoter region; involved in the transcription regulation of genes containing, or in close proximity to a+t-rich regions
<u>HSPA1A</u>	Heat shock 70kD protein 1A	cell signalling and activation	AKA HSP-70, HSP70-1: Molecular chaperone, stabilizes AU rich mRNA
<u>IGF1R</u>	Insulin-like growth factor 1 receptor	cytokines - chemokines - growth factors	Mediates insulin stimulated DNA synthesis; mediates IGF1 stimulated cell proliferation and differentiation
<u>IL6</u>	Interleukin 6	cytokines - chemokines - growth factors	Pro- and anti-inflammatory activity, TH2 cytokine, regulates hematopoiesis, activation of innate response, osteoclast development; elevated in sera of patients with metastatic cancer
<u>IL8</u>	Interleukin 8	cytokines - chemokines - growth factors	AKA SCYB8, MDNCF: Proinflammatory chemokine; major secondary inflammatory mediator resulting in cell adhesion, signal transduction, cell-cell signaling; regulates angiogenesis in prostate cancer
<u>KAI1</u>	Kangai 1	tumor suppressor	AKA SAR2, CD82, ST6: suppressor of metastatic ability of prostate cancer cells
<u>KLK2</u>	Kallikrein 2, prostatic	protease - kallikrein	AKA hGK-1: Glandular kallikrein; expression restricted mainly to the prostate.

<u>KLK3</u>	Kallikrein 3	protease - kallikrein	AKA PSA: Kallikrein-like protease which functions normally in liquefaction of seminal fluid. Elevated in prostate cancer.
<u>KRT19</u>	Keratin 19	structural protein - differentiation	AKA K19: Type I epidermal keratin; may form intermediate filaments
<u>KRT5</u>	Keratin 5	structural protein - differentiation	AKA EBS2: 58 kD Type II keratin co-expressed with keratin 14, a 50 kD Type I keratin, in stratified epithelium. KRT5 expression is a hallmark of mitotically active keratinocytes and is the primary structural component of the 10 nm intermediate filaments of the mitotic epidermal basal cells.
<u>KRT8</u>	Keratin 8	structural protein - differentiation	AKA K8, CK8: Type II keratin; coexpressed with Keratin 18; involved in intermediate filament formation
<u>LGALS8</u>	Lectin, Galactoside-binding, soluble 8	cell adhesion - growth and differentiation	AKA PCTA-1: binds to beta galactoside; involved in biological processes such as cell adhesion, cell growth regulation, inflammation, immunomodulation, apoptosis and metastasis
<u>MYC</u>	V-myc avian myelocytomatosis viral oncogene homolog	transcription factor - oncogene	Transcription factor that promotes cell proliferation and transformation by activating growth-promoting genes; may also repress gene expression
<u>NRP1</u>	Neuropilin 1	cell adhesion	AKA NRP, VEGF165R: A novel VEGF receptor that modulates VEGF binding to KDR (VEGF receptor) and subsequent bioactivity and therefore may regulate VEGF-induced angiogenesis; calcium-independent cell adhesion molecule that function during the formation of certain neuronal circuits
<u>PART1</u>	Prostate androgen-regulated transcript 1		Exhibits increased expression in LNCaP cells upon exposure

			to androgens
<u>PCA3</u>	Prostate cancer antigen 3		AKA DD3: prostate specific; highly expressed in prostate tumors
<u>PCANAP7</u>	Prostate cancer associated protein 7		AKA IPCA7: unknown function; co-expressed with known prostate cancer genes
<u>PDEF</u>	Prostate epithelium specific Ets transcription factor	transcription factor	Acts as an androgen-independent transcriptional activator of the PSA promoter; directly interacts with the DNA binding domain of androgen receptor and enhances androgen-mediated activation of the PSA promoter
<u>PLAU</u>	Urokinase-type plasminogen activator	proteinase	AKA UPA, URK: cleaves plasminogen to plasmin
<u>POV1</u>	Prostate cancer overexpressed gene 1		RNA expressed selectively in prostate tumor samples
<u>PSCA</u>	Prostate stem cell antigen	antigen	Prostate-specific cell surface antigen expressed strongly by both androgen-dependent and -independent tumors
<u>PTGS2</u>	Prostaglandin-endoperoxide synthase 2	cytokines - chemokines - growth factors	AKA COX-2: Proinflammatory; member of arachidonic acid to prostanoid conversion pathway
<u>SERPINB5</u>	Serine proteinase inhibitor, clade B, member 5	proteinase inhibitor - tumor suppressor	AKA Maspin, PI5: Protease Inhibitor; Tumor suppressor, especially for metastasis.
<u>SERPINE1</u>	Serine (or cystein) proteinase inhibitor, clade E, member 1	proteinase inhibitor	AKA PAI1: regulates fibrinolysis; inhibits PLAU
<u>STAT3</u>	Signal transduction and activator of transcription 3	transcription factor	AKA APRF: Transcription factor for acute phase response genes; rapidly activated in response to certain cytokines and growth factors; binds to IL6 response elements
<u>TERT</u>	Telomerase reverse transcriptase		AKA TCS1, EST2: Ribonucleoprotein which in vitro recognizes a single-stranded G-rich telomere primer and adds multiple telomeric repeats to its 3-prime end by using an RNA template



<u>TGFB1</u>	Transforming growth factor, beta 1	cytokines - chemokines - growth factors	AKA DPD1, CED: Pro- and antiinflammatory activity; anti-apoptotic; cell-cell signaling, can either inhibit or stimulate cell growth
<u>TNF</u>	Tumor necrosis factor, member 2	cytokines - chemokines - growth factors	AKA TNF alpha: Proinflammatory cytokine that is the primary mediator of immune response and regulation, associated with TH1 responses, mediates host response to bacterial stimuli, regulates cell growth & differentiation
<u>TP53</u>	Tumor protein 53	DNA binding protein - cell cycle - tumor suppressor	AKA P53: Activates expression of genes that inhibit tumor growth and/or invasion; involved in cell cycle regulation (required for growth arrest at G1); inhibits cell growth through activation of cell-cycle arrest and apoptosis
<u>VEGF</u>	Vascular Endothelial Growth Factor	cytokines - chemokines - growth factors	AKA VPF: Induces vascular permeability, endothelial cell proliferation, angiogenesis

Table 4. Skin Response Gene Expression Panel

Symbol	Name	Classification	Description
<u>BAX</u>	BCL2 associated X protein	apoptosis induction-germ cell development	Accelerates programmed cell death by binding to and antagonizing the apoptosis repressor BCL2; may induce caspase activation
<u>BCL2</u>	B-cell CLL/lymphoma 2	apoptosis inhibitor - cell cycle control-oncogenesis	Integral mitochondrial membrane protein that blocks the apoptotic death of some cells such as lymphocytes; constitutive expression of BCL2 thought to be cause of follicular lymphoma
<u>BSG</u>	Basignin	signal transduction-peripheral plasma membrane protein	Member of Ig superfamily; tumor cell-derived collagenase stimulatory factor; stimulates matrix metalloproteinase synthesis in fibroblasts
<u>COL7A1</u>	Type VII collagen, alpha 1	collagen-differentiation-extracellular matrix	alpha 1 subunit of type VII collagen; may link collagen fibrils to the basement membrane
<u>CRABP2</u>	Cellular Retinoic Acid Binding Protein	retinoid binding-signal transduction-transcription regulation	Low molecular weight protein highly expressed in skin; thought to be important in RA-mediated regulation of skin growth & differentiation
<u>CTGF</u>	Connective Tissue Growth Factor	insulin-like growth	Member of family of peptides including serum-induced immediate early gene products expressed after induction by

		factor-differentiation-wounding response	growth factors; overexpressed in fibrotic disorders
<u>DUSP1</u>	Dual Specificity Phosphatase	oxidative stress response -tyrosine phosphatase	Induced in human skin fibroblasts by oxidative/heat stress & growth factors; de-phosphorylates MAP kinase erk2; may play a role in negative regulation of cellular proliferation
<u>FGF7</u>	Fibroblast growth factor 7	growth factor-differentiation-wounding response -signal transduction	aka KGF; Potent mitogen for epithelial cells; induced after skin injury
<u>FN1</u>	Fibronectin	cell adhesion - motility-signal transduction	Major cell surface glycoprotein of many fibroblast cells; thought to have a role in cell adhesion, morphology, wound healing & cell motility
<u>FOS</u>	v-fos FBJ murine osteosarcoma virus oncogene homolog	transcription factor-inflammatory response -cell growth & maintenance	Proto-oncoprotein acting with JUN, stimulates transcription of genes with AP-1 regulatory sites; in some cases FOS expression is associated with apoptotic cell death
<u>GADD45A</u>	Growth Arrest and DNA-damage-inducible alpha	cell cycle-DNA repair-apoptosis	Transcriptionally induced following stressful growth arrest conditions & treatment with DNA damaging agents; binds to PCNA affecting it's interaction with some cell division protein kinase
<u>GRO1</u>	GRO1 oncogene (melanoma growth stimulating activity, alpha)	cytokine s-chemokines-	AKA SCYB1; chemotactic for neutrophils

		growth factors	
<u>HMOX1</u>	Heme Oxygenase 1	metabolism-endoplasmic reticulum	Essential enzyme in heme catabolism; HMOX1 induced by its substrate heme & other substances such as oxidizing agents & UVA
<u>ICAM1</u>	Intercellular adhesion molecule 1	Cell Adhesion / Matrix Protein	Endothelial cell surface molecule; regulates cell adhesion and trafficking, upregulated during cytokine stimulation
<u>IL1A</u>	Interleukin 1, alpha	cytokines-chemokines-growth factors	Proinflammatory; constitutively and inducibly expressed in variety of cells. Generally cytosolic and released only during severe inflammatory disease
<u>IL1B</u>	Interleukin 1, beta	cytokines-chemokines-growth factors	Proinflammatory; constitutively and inducibly expressed by many cell types, secreted
<u>IL8</u>	Interleukin 8	cytokines-chemokines-growth factors	Proinflammatory, major secondary inflammatory mediator, cell adhesion, signal transduction, cell-cell signaling, angiogenesis, synthesized by a wide variety of cell types
<u>IVL</u>	Involucrin	structural protein-peripheral plasma membrane protein	Component of the keratinocyte crosslinked envelope; first appears in the cytosol becoming crosslinked to membrane proteins by transglutaminase
<u>JUN</u>	v-jun avian sarcoma virus 17 oncogene homolog	transcription factor-DNA binding	Proto-oncoprotein; component of transcription factor AP-1 that interacts directly with target DNA sequences to regulate gene expression
<u>KRT14</u>	Keratin 14	structural protein-differentiation-cell	Type I keratin; associates with keratin 5; component of intermediate filaments; several autosomal dominant blistering skin disorders caused by gene defects

		shape	
<u>KRT16</u>	Keratin 16	structural protein-differentiation-cell shape	Type I keratin; component of intermediate filaments; induced in skin conditions favoring enhanced proliferation or abnormal differentiation
<u>KRT5</u>	Keratin 5	structural protein-differentiation-cell shape	Type II intermediate filament chain expressed largely in stratified epithelium; hallmark of mitotically active keratinocytes
<u>MAPK8</u>	Mitogen Activated Protein Kinase 8	kinase-stress response - signal transduction	aka JNK1; mitogen activated protein kinase regulates c-Jun in response to cell stress; UV irradiation of skin activates MAPK8
<u>MMP1</u>	Matrix Metalloproteinase 1	Proteinase / Proteinase Inhibitor	aka Collagenase; cleaves collagens types I-III; plays a key role in remodeling occurring in both normal & diseased conditions; transcriptionally regulated by growth factors, hormones, cytokines & cellular transformation
<u>MMP2</u>	Matrix Metalloproteinase 2	Proteinase / Proteinase Inhibitor	aka Gelatinase; cleaves collagens types IV, V, VII and gelatin type I; produced by normal skin fibroblasts; may play a role in regulation of vascularization & the inflammatory response
<u>MMP3</u>	Matrix Metalloproteinase 3	Proteinase / Proteinase Inhibitor	aka Stromelysin; degrades fibronectin, laminin, collagens III, IV, IX, X, cartilage proteoglycans, thought to be involved in wound repair; progression of atherosclerosis & tumor initiation; produced predominantly by connective tissue cells
<u>MMP9</u>	Matrix metalloproteinase 9	Proteinase / Proteinase Inhibitor	AKA gelatinase B; degrades extracellular matrix molecules, secreted by IL-8-stimulated neutrophils
<u>NR1I2</u>	Nuclear receptor subfamily 1	transcription activation factor-signal transduction	aka PAR2; Member of nuclear hormone receptor family of ligand-activated transcription factors; activates transcription of cytochrome P-450 genes

		ion-xenobiotic metabolism	
<u>PCNA</u>	Proliferating Cell Nuclear Antigen	DNA binding-DNA replication-DNA repair-cell proliferation	Required for both DNA replication & repair; processivity factor for DNA polymerases delta and epsilon
<u>PI3</u>	Proteinase inhibitor 3 skin derived	proteinase inhibitor-protein binding-extracellular matrix	aka SKALP; Proteinase inhibitor found in epidermis of several inflammatory skin diseases; it's expression can be used as a marker of skin irritancy
<u>PLAU</u>	Plasminogen activator, urokinase	Proteinase / Proteinase Inhibitor	AKA uPA; cleaves plasminogen to plasmin (a protease responsible for nonspecific extracellular matrix degradation)
<u>PTGS2</u>	Prostaglandin-endoperoxide synthase 2	Enzyme / Redox	aka COX2; Proinflammatory, member of arachidonic acid to prostanoid conversion pathway; induced by proinflammatory cytokines
<u>S100A7</u>	S100 calcium-binding protein 7	calcium binding-epidermal differentiation	Member of S100 family of calcium binding proteins; localized in the cytoplasm &/or nucleus of a wide range of cells; involved in the regulation of cell cycle progression & differentiation; markedly overexpressed in skin lesions of psoriatic patients
<u>TGFB1</u>	Transforming growth factor, beta	cytokines-chemokines-growth factors	Pro- and antiinflammatory activity, anti-apoptotic; cell-cell signaling, can either inhibit or stimulate cell growth
<u>TIMP1</u>	Tissue Inhibitor of Matrix Metalloproteinase 1	metalloproteinase inhibitor-ECM maintenance-positive	Member of TIMP family; natural inhibitors of matrix metalloproteinases; transcriptionally induced by cytokines & hormones; mediates erythropoiesis in vitro

		control cell proliferat ion	
<u>TNF</u>	Tumor necrosis factor, alpha	cytokine s- chemoki nes- growth factors	Proinflammatory, TH1, mediates host response to bacterial stimulus, regulates cell growth & differentiation
<u>TNFSF6</u>	Tumor necrosis factor (ligand) superfamily, member 6	ligand- apoptosi s inductio n-signal transduct ion	aka FASL; Apoptosis antigen ligand 1 is the ligand for FAS; interaction of FAS with its ligand is critical in triggering apoptosis of some types of cells such as lymphocytes; defects in protein may be related to some cases of SLE
<u>TP53</u>	tumor protein p53	transcrip tion factor- DNA binding- tumor suppress or-DNA recombi nation/re pair	Tumor protein p53, a nuclear protein, plays a role in regulation of cell cycle; binds to DNA p53 binding site and activates expression of downstream genes that inhibit growth and/or invasion of tumor
<u>VEGF</u>	vascular endothelial growth factor	cytokine s- chemoki nes- growth factors	Produced by monocytes

Table 5. Liver Metabolism and Disease Gene Expression Panel

Symbol	Name	Classification	Description
<u>ABCC1</u>	ATP-binding cassette, sub-family C, member 1	Liver Health Indicator	AKA Multidrug resistance protein 1; AKA CFTR/MRP; multispecific organic anion membrane transporter; mediates drug resistance by pumping xenobiotics out of cell
<u>AHR</u>	Aryl hydrocarbon receptor	Metabolism Receptor/Transcription Factor	Increases expression of xenobiotic metabolizing enzymes (ie P450) in response to binding of planar aromatic hydrocarbons
<u>ALB</u>	Albumin	Liver Health Indicator	Carrier protein found in blood serum, synthesized in the liver, downregulation linked to decreased liver function/health
<u>COL1A1</u>	Collagen, type 1, alpha 1	Tissue Remodelling	AKA Procollagen; extracellular matrix protein; implicated in fibrotic processes of damaged liver
<u>CYP1A1</u>	Cytochrome P450 1A1	Metabolism Enzyme	Polycyclic aromatic hydrocarbon metabolism; monooxygenase
<u>CYP1A2</u>	Cytochrome P450 1A2	Metabolism Enzyme	Polycyclic aromatic hydrocarbon metabolism; monooxygenase
<u>CYP2C19</u>	Cytochrome P450 2C19	Metabolism Enzyme	Xenobiotic metabolism; monooxygenase
<u>CYP2D6</u>	Cytochrome P450 2D6	Metabolism Enzyme	Xenobiotic metabolism; monooxygenase
<u>CYP2E</u>	Cytochrome P450 2E1	Metabolism Enzyme	Xenobiotic metabolism; monooxygenase; catalyzes formation of



			reactive intermediates from small organic molecules (i.e. ethanol, acetaminophen, carbon tetrachloride)
<u>CYP3A4</u>	Cytochrome P450 3A4	Metabolism Enzyme	Xenobiotic metabolism; broad catalytic specificity, most abundantly expressed liver P450
<u>EPHX1</u>	Epoxide hydrolase 1, microsomal (xenobiotic)	Metabolism Enzyme	Catalyzes hydrolysis of reactive epoxides to water soluble dihydrodiols
<u>FAP</u>	Fibroblast activation protein, □	Liver Health Indicator	Expressed in cancer stroma and wound healing
<u>GST</u>	Glutathione S-transferase	Metabolism Enzyme	Catalyzes glutathione conjugation to metabolic substrates to form more water-soluble, excretable compounds; primer-probe set nonspecific for all members of GST family
<u>GSTA1 and A2</u>	Glutathione S-transferase 1A1/2	Metabolism Enzyme	Catalyzes glutathione conjugation to metabolic substrates to form more water-soluble, excretable compounds
<u>GSTM1</u>	Glutathione S-transferase M1	Metabolism Enzyme	Catalyzes glutathione conjugation to metabolic substrates to form more water-soluble, excretable compounds
<u>KITLG</u>	KIT ligand	Growth Factor	AKA Stem cell factor (SCF); mast cell growth factor, implicated in fibrosis/cirrhosis due to chronic liver inflammation
<u>LGALS3</u>	Lectin, galactoside-binding, soluble, 3	Liver Health Indicator	AKA galectin 3; Cell growth regulation
<u>NR1I2</u>	Nuclear receptor subfamily 1, group I, family 2	Metabolism Receptor/Transcription Factor	AKA Pregnane X receptor (PXR); heterodimer with retinoid X receptor forms nuclear transcription factor for

			CYP3A4
<u>NR1I3</u>	Nuclear receptor subfamily 1, group I, family 3	Metabolism Receptor/Transcription Factor	AKA Constitutive androstane receptor beta (CAR); heterodimer with retinoid X receptor forms nuclear transcription factor; mediates P450 induction by phenobarbital-like inducers.
<u>ORM1</u>	Orosomucoid 1	Liver Health Indicator	AKA alpha 1 acid glycoprotein (AGP), acute phase inflammation protein
<u>PPARA</u>	Peroxisome proliferator activated receptor □	Metabolism Receptor	Binds peroxisomal proliferators (ie fatty acids, hypolipidemic drugs) & controls pathway for beta-oxidation of fatty acids
<u>SCYA2</u>	Small inducible cytokine A2	Cytokine/Chemokine	AKA Monocyte chemotactic protein 1 (MCP1); recruits monocytes to areas of injury and infection, upregulated in liver inflammation
<u>UCP2</u>	Uncoupling protein 2	Liver Health Indicator	Decouples oxidative phosphorylation from ATP synthesis, linked to diabetes, obesity
<u>UGT</u>	UDP-Glucuronosyltransferase	Metabolism Enzyme	Catalyzes glucuronide conjugation to metabolic substrates, primer-probe set nonspecific for all members of UGT1 family

Table 6. Endothelial Gene Expression Panel

Symbol	Name	Classification	Description
<u>ADAMTS1</u>	Disintegrin-like and metalloprotease (reprolysin type) with thrombospondin type 1 motif, 1	Protease	AKA METH1; Inhibits endothelial cell proliferation; may inhibit angiogenesis; expression may be associated with development of cachexia.
<u>CLDN14</u>	Claudin 14		AKA DFN29; Component of tight junction strands
<u>ECE1</u>	Endothelin converting enzyme 1	Metalloprotease	Cleaves big endothelin 1 to endothelin 1
<u>EDN1</u>	Endothelin 1	Peptide hormone	AKA ET1; Endothelium-derived peptides; potent vasoconstrictor
<u>EGR1</u>	Early growth response 1	Transcription factor	AKA NGF1A; Regulates the transcription of genes involved in mitogenesis and differentiation
<u>FLT1</u>	Fms-related tyrosine kinase 1 (vascular endothelial growth factor/vascular permeability factor receptor)		AKA VEGFR1; FRT; Receptor for VEGF; involved in vascular development and regulation of vascular permeability
<u>GJA1</u>	gap junction protein, alpha 1, 43kD		AKA CX43; Protein component of gap junctions; major component of gap junctions in the heart; may be important in synchronizing heart contractions and in embryonic development
<u>GSR</u>	Glutathione reductase 1	Oxidoreductase	AKA GR; GRASE; Maintains high levels of reduced glutathione in the cytosol
<u>HIF1A</u>	Hypoxia-inducible factor 1, alpha subunit	Transcription factor	AKA MOP1; ARNT interacting protein; mediates the transcription of oxygen regulated genes; induced by hypoxia
<u>HMOX1</u>	Heme oxygenase (decycling) 1	Redox Enzyme	AKA HO1; Essential for heme catabolism, cleaves heme to form biliverdin and CO; endotoxin inducible
<u>ICAM1</u>	Intercellular adhesion molecule 1	Cell Adhesion / Matrix Protein	Endothelial cell surface molecule that regulates cell adhesion and trafficking; upregulated during cytokine stimulation
<u>IGFBP3</u>	Insulin-like growth factor binding protein 3		AKA IBP3; Expressed by vascular endothelial cells; may influence insulin-like growth factor activity

<u>IL15</u>	Interleukin 15	cytokines- chemokines- growth factors	Proinflammatory; mediates T-cell activation, inhibits apoptosis, synergizes with IL-2 to induce IF and TNF-a
<u>IL1B</u>	Interleukin 1, beta	cytokines- chemokines- growth factors	Proinflammatory; constitutively and inducibly expressed by many cell types, secreted
<u>IL8</u>	Interleukin 8	cytokines- chemokines- growth factors	Proinflammatory, major secondary inflammatory mediator, cell adhesion signal transduction, cell-cell signaling, angiogenesis, synthesized by a wide variety of cell types
<u>MAPK1</u>	mitogen-activated protein kinase 1	Transferase	AKA ERK2; May promote entry into the cell cycle, growth factor responsive
<u>NFKB1</u>	Nuclear Factor kappa B	Transcription Factor	AKA KBF1, EBP1 ; Transcription factor that regulates the expression of inflammatory and immune genes; central role in Cytokine induced expression of E-selectin
<u>NOS2A</u>	Nitric oxide synthase 2A	Enzyme / Redox	AKA iNOS; produces NO which is bacteriocidal/tumoricidal
<u>NOS3</u>	Endothelial Nitric Oxide Synthase		AKA eNOS, cNOS; Synthesizes nitric oxide from oxygen and arginine; nitric oxide is implicated in vascular smooth muscle relaxation, vascular endothelial growth factor induced angiogenesis, and blood clotting through the activation of platelets
<u>PLAT</u>	Plasminogen activator, tissue	Protease	AKA tPA; Converts plasminogen to plasmin; involved in fibrinolysis and cell migration
<u>PTGIS</u>	Prostaglandin I2 (prostacyclin) synthase	Isomerase	AKA PGIS; PTGI; CYP8; CYP8 Converts prostaglandin h2 to prostacyclin (vasodilator); cytochrome P450 family; imbalance of prostacyclin may contribute to myocardial infarction, stroke, atherosclerosis
<u>PTGS2</u>	Prostaglandin-endoperoxide synthase 2	Enzyme / Redox	AKA COX2; Proinflammatory, member of arachidonic acid to prostanoid conversion pathway; induced by proinflammatory cytokines
<u>PTX3</u>	pentaxin-related gene, rapidly induced by IL-1 beta		AKA TSG-14; Pentaxin 3; Similar to the pentaxin subclass of inflammatory acute-phase proteins; novel marker for inflammatory reactions
<u>SELE</u>	selectin E (endothelial adhesion molecule 1)	Cell Adhesion	AKA ELAM; Expressed by cytokine stimulated endothelial cells; mediates adhesion of neutrophils to the vessel wall

			lining
<u>SERPINE1</u>	Serine (or cysteine) protease inhibitor, clade B (ovalbumin), member 1	Proteinase Inhibitor	AKA PAI1; Plasminogen activator inhibitor type 1; interacts with tissue plasminogen activator to regulate fibrinolysis
<u>TEK</u>	tyrosine kinase, endothelial	Transferase Receptor	AKA TIE2, VMCM; Receptor for angiopoietin-1; may regulate endothelial cell proliferation and differentiation; involved in vascular morphogenesis; TEK defects are associated with venous malformations
<u>VCAM1</u>	vascular cell adhesion molecule 1	Cell Adhesion / Matrix Protein	AKA L1CAM; CD106; INCAM-190; Cell surface adhesion molecule specific for blood leukocytes and some tumor cells; mediates signal transduction; may be linked to the development of atherosclerosis, rheumatoid arthritis
<u>VEGF</u>	Vascular Endothelial Growth Factor	Growth factor	AKA VPF; Induces vascular permeability and endothelial cell growth; associated with angiogenesis

Table 7. Cell Health and Apoptosis Gene Expression Panel

Symbol	Name	Classification	Description
<u>ABL1</u>	V-abl Abelson murine leukemia viral oncogene homolog 1	oncogene	Cytoplasmic and nuclear protein tyrosine kinase implicated in cell differentiation, division, adhesion and stress response. Alterations of ABL1 lead to malignant transformations.
<u>APAF1</u>	Apoptotic Protease Activating Factor 1	protease activator	Cytochrome c binds to APAF1, triggering activation of CASP3, leading to apoptosis. May also facilitate procaspase 9 autoactivation.
<u>BAD</u>	BCL2 Agonist of Cell Death	membrane protein	Heterodimerizes with BCLX and counters its death repressor activity. This displaces BAX and restores its apoptosis-inducing activity.
<u>BAK1</u>	BCL2-antagonist/killer 1	membrane protein	In the presence of an appropriate stimulus BAK 1 accelerates programmed cell death by binding to, and antagonizing the repressor BCL2 or its adenovirus homolog e1b 19k protein.
<u>BAX</u>	BCL2-associated X protein	membrane protein	Accelerates apoptosis by binding to, and antagonizing BCL2 or its adenovirus homolog e1b 19k protein. It induces the release of cytochrome c and activation of CASP3
<u>BCL2</u>	B-cell CLL/lymphoma 2	membrane protein	Interferes with the activation of caspases by preventing the release of cytochrome c, thus blocking apoptosis.
<u>BCL2L1</u>	BCL2-like 1 (long form)	membrane protein	Dominant regulator of apoptotic cell death. The long form displays cell death repressor activity, whereas the short isoform promotes apoptosis. BCL2L1 promotes cell survival by regulating the

			electrical and osmotic homeostasis of mitochondria.
<u>BID</u>	BH3-Interacting Death Domain Agonist		Induces ice-like proteases and apoptosis. counters the protective effect of bcl-2 (by similarity). Encodes a novel death agonist that heterodimerizes with either agonists (BAX) or antagonists (BCL2).
<u>BIK</u>	BCL2-Interacting Killer		Accelerates apoptosis. Binding to the apoptosis repressors BCL2L1, bhrf1, BCL2 or its adenovirus homolog e1b 19k protein suppresses this death-promoting activity.
<u>BIRC2</u>	Baculoviral IAP Repeat-Containing 2	apoptosis suppressor	May inhibit apoptosis by regulating signals required for activation of ICE-like proteases. Interacts with TRAF1 and TRAF2. Cytoplasmic
<u>BIRC3</u>	Baculoviral IAP Repeat-Containing 3	apoptosis suppressor	Apoptotic suppressor. Interacts with TRAF1 and TRAF2. Cytoplasmic
<u>BIRC5</u>	Survivin	apoptosis suppressor	Inhibits apoptosis. Inhibitor of CASP3 and CASP7. Cytoplasmic
<u>CASP1</u>	Caspase 1	proteinase	Activates IL1B; stimulates apoptosis
<u>CASP3</u>	Caspase 3	proteinase	Involved in activation cascade of caspases responsible for apoptosis - cleaves CASP6, CASP7, CASP9
<u>CASP9</u>	Caspase 9	proteinase	Binds with APAF1 to become activated; cleaves and activates CASP3
<u>CCNA2</u>	Cyclin A2	cyclin	Drives cell cycle at G1/S and G2/M phase; interacts with cdk2 and cdc2
<u>CCNB1</u>	Cyclin B1	cyclin	Drives cell cycle at G2/M phase; complexes with cdc2 to form mitosis promoting factor
<u>CCND1</u>	Cyclin D1	cyclin	Controls cell cycle at G1/S (start) phase; interacts with cdk4 and cdk6; has oncogene function

<u>CCND3</u>	Cyclin D3	cyclin	Drives cell cycle at G1/S phase; expression rises later in G1 and remains elevated in S phase; interacts with cdk4 and cdk6
<u>CCNE1</u>	Cyclin E1	cyclin	Drives cell cycle at G1/S transition; major downstream target of CCND1; cdk2-CCNE1 activity required for centrosome duplication during S phase; interacts with RB
<u>cdk2</u>	Cyclin-dependent kinase 2	kinase	Associated with cyclins A, D and E; activity maximal during S phase and G2; CDK2 activation, through caspase-mediated cleavage of CDK inhibitors, may be instrumental in the execution of apoptosis following caspase activation
<u>cdk4</u>	Cyclin-dependent kinase 4	kinase	cdk4 and cyclin-D type complexes are responsible for cell proliferation during G1; inhibited by CDKN2A (p16)
<u>CDKN1A</u>	Cyclin-Dependent Kinase Inhibitor 1A (p21)	tumor suppressor	May bind to and inhibit cyclin-dependent kinase activity, preventing phosphorylation of critical cyclin-dependent kinase substrates and blocking cell cycle progression; activated by p53; tumor suppressor function
<u>CDKN2B</u>	Cyclin-Dependent Kinase Inhibitor 2B (p15)	tumor suppressor	Interacts strongly with cdk4 and cdk6; role in growth regulation but limited role as tumor suppressor
<u>CHEK1</u>	Checkpoint, S.pombe		Involved in cell cycle arrest when DNA damage has occurred, or unligated DNA is present; prevents activation of the cdc2-cyclin b complex
<u>DAD1</u>	Defender Against Cell Death	membrane protein	Loss of DAD1 protein triggers apoptosis
<u>DFFB</u>	DNA Fragmentation Factor, 40-KD, Beta Subunit	nuclease	Induces DNA fragmentation and chromatin condensation during apoptosis; can be activated by CASP3
<u>FADD</u>	Fas (TNFRSF6)-associated via death domain	co-receptor	Apoptotic adaptor molecule that recruits caspase-8 or caspase-10 to the activated fas



			(cd95) or tnfr-1 receptors; this death-inducing signalling complex performs CASP8 proteolytic activation
<u>GADD45A</u>	Growth arrest and DNA damage inducible, alpha	regulator of DNA repair	Stimulates DNA excision repair in vitro and inhibits entry of cells into S phase; binds PCNA
<u>K-ALPHA-1</u>	Alpha Tubulin, ubiquitous	microtubule peptide	Major constituent of microtubules; binds 2 molecules of GTP
<u>MADD</u>	MAP-kinase activating death domain	co-receptor	Associates with TNFR1 through a death domain-death domain interaction; Overexpression of MADD activates the MAP kinase ERK2, and expression of the MADD death domain stimulates both the ERK2 and JNK1 MAP kinases and induces the phosphorylation of cytosolic phospholipase A2
<u>MAP3K14</u>	Mitogen-activated protein kinase kinase kinase 14	kinase	Activator of NFKB1
<u>MRE11A</u>	Meiotic recombination (S. cerevisiae) 11 homolog A	nuclease	Exonuclease involved in DNA double-strand breaks repair
<u>NFKB1</u>	Nuclear factor of kappa light polypeptide gene enhancer in B-cells 1 (p105)	nuclear translational regulator	p105 is the precursor of the p50 subunit of the nuclear factor NFKB, which binds to the kappa-b consensus sequence located in the enhancer region of genes involved in immune response and acute phase reactions; the precursor does not bind DNA itself
<u>PDCD8</u>	Programmed Cell Death 8 (apoptosis-inducing factor)	enzyme, reductase	The principal mitochondrial factor causing nuclear apoptosis. Independent of caspase apoptosis.
<u>PNKP</u>	Polynucleotide kinase 3'-phosphatase	phosphatase	Catalyzes the 5-prime phosphorylation of nucleic acids and can have associated 3-prime phosphatase activity, predictive of an important function in DNA repair following ionizing radiation or oxidative damage

<u>PTEN</u>	Phosphatase and tensin homolog (mutated in multiple advanced cancers 1)	tumor suppressor	Tumor suppressor that modulates G1 cell cycle progression through negatively regulating the PI3-kinase/Akt signaling pathway; one critical target of this signaling process is the cyclin-dependent kinase inhibitor p27 (CDKN1B).
<u>RAD52</u>	RAD52 ( <i>S. cerevisiae</i> ) homolog	DNA binding proteins	Involved in DNA double-stranded break repair and meiotic / mitotic recombination
<u>RB1</u>	Retinoblastoma 1 (including osteosarcoma)	tumor suppressor	Regulator of cell growth; interacts with E2F-like transcription factor; a nuclear phosphoprotein with DNA binding activity; interacts with histone deacetylase to repress transcription
<u>SMAC</u>	Second mitochondria-derived activator of caspase	mitochondrial peptide	Promotes caspase activation in cytochrome c / APAF-1 / caspase 9 pathway of apoptosis
<u>TERT</u>	Telomerase reverse transcriptase	transcriptase	Ribonucleoprotein which in vitro recognizes a single-stranded G-rich telomere primer and adds multiple telomeric repeats to its 3-prime end by using an RNA template
<u>TNF</u>	Tumor necrosis factor	cytokines-chemokines-growth factors	Proinflammatory, TH1, mediates host response to bacterial stimulus, regulates cell growth & differentiation
<u>TNFRSF11A</u>	Tumor necrosis factor receptor superfamily, member 11a, activator of NFkB	receptor	Activates NFkB1; Important regulator of interactions between T cells and dendritic cells
<u>TNFRSF12</u>	Tumor necrosis factor receptor superfamily, member 12 (translocating chain-association membrane protein)	receptor	Induces apoptosis and activates NF-kappaB; contains a cytoplasmic death domain and transmembrane domains
<u>TOSO</u>	Regulator of Fas-induced apoptosis	receptor	Potent inhibitor of Fas induced apoptosis; expression of TOSO, like that of FAS and FASL, increases after T-cell activation, followed by a decline and susceptibility to apoptosis; hematopoietic cells expressing TOSO resist anti-FAS-, FADD-, and TNF-induced apoptosis without

			increasing expression of the inhibitors of apoptosis BCL2 and BCLXL; cells expressing TOSO and activated by FAS have reduced CASP8 and increased CFLAR expression, which inhibits CASP8 processing
<u>TP53</u>	Tumor Protein 53	DNA binding protein - cell cycle - tumor suppressor	Activates expression of genes that inhibit tumor growth and/or invasion; involved in cell cycle regulation (required for growth arrest at G1); inhibits cell growth through activation of cell-cycle arrest and apoptosis
<u>TRADD</u>	TNFRSF1A-associated via death domain	co-receptor	Overexpression of TRADD leads to 2 major TNF-induced responses, apoptosis and activation of NF-kappa-B
<u>TRAF1</u>	TNF receptor-associated factor 1	co-receptor	Interact with cytoplasmic domain of TNFR2
<u>TRAF2</u>	TNF receptor-associated factor 2	co-receptor	Interact with cytoplasmic domain of TNFR2
<u>VDAC1</u>	Voltage-dependent anion channel 1	membrane protein	Functions as a voltage-gated pore of the outer mitochondrial membrane; proapoptotic proteins BAX and BAK accelerate the opening of VDAC allowing cytochrome c to enter, whereas the antiapoptotic protein BCL2L1 closes VDAC by binding directly to it
<u>XRCC5</u>	X-ray repair complementing defective repair in Chinese hamster cells 5	helicase	Functions together with the DNA ligase IV-XRCC4 complex in the repair of DNA double-strand breaks

Table 8. Cytokine Gene Expression Panel

Symbol	Name	Classification	Description
CSF3	Colony Stimulating Factor 3 (Granulocyte)	Cytokines / Chemokines / Growth Factors	AKA G-CSF; Cytokine that stimulates granulocyte development
IFNG	Interferon, Gamma	Cytokines / Chemokines / Growth Factors	Pro- and antiinflammatory activity; TH1 cytokine; nonspecific inflammatory mediator; produced by activated T-cells. Antiproliferative effects on transformed cells.
IL1A	Interleukin 1, Alpha	Cytokines / Chemokines / Growth Factors	Proinflammatory; constitutively and inducibly expressed in variety of cells. Generally cytosolic and released only during severe inflammatory disease
IL1B	Interleukin 1, Beta	Cytokines / Chemokines / Growth Factors	Proinflammatory; constitutively and inducibly expressed by many cell types, secreted
IL1RN	Interleukin 1 Receptor Antagonist	Cytokines / Chemokines / Growth Factors	IL1 receptor antagonist; Antiinflammatory; inhibits binding of IL-1 to IL-1 receptor by binding to receptor without stimulating IL-1-like activity
IL2	Interleukin 2	Cytokines / Chemokines / Growth Factors	T-cell growth factor, expressed by activated T-cells, regulates lymphocyte activation and differentiation; inhibits apoptosis, TH1 cytokine
IL4	Interleukin 4	Cytokines / Chemokines / Growth Factors	Antiinflammatory; TH2; suppresses proinflammatory cytokines, increases expression of IL-1RN, regulates lymphocyte activation
IL5	Interleukin 5	Cytokines / Chemokines / Growth Factors	Eosinophil stimulatory factor; stimulates late B cell differentiation to secretion of Ig
IL6	Interleukin 6	Cytokines / Chemokines / Growth Factors	AKA Interferon, Beta 2; Pro- and anti-inflammatory activity, TH2 cytokine, regulates hematopoiesis, activation of innate response, osteoclast

			development; elevated in sera of patients with metastatic cancer
IL10	Interleukin 10	Cytokines / Chemokines / Growth Factors	Antiinflammatory; TH <sub>2</sub> ; Suppresses production of proinflammatory cytokines
	Interleukin 12 (p40)	Cytokines / Chemokines / Growth Factors	Proinflammatory; mediator of innate immunity, TH <sub>1</sub> cytokine, requires co-stimulation with IL-18 to induce IFN- $\gamma$
IL13	Interleukin 13	Cytokines / Chemokines / Growth Factors	Inhibits inflammatory cytokine production
IL15	Interleukin 15	Cytokines / Chemokines / Growth Factors	Proinflammatory; mediates T-cell activation, inhibits apoptosis, synergizes with IL-2 to induce IFN- $\gamma$ and TNF- $\alpha$
IL18	Interleukin 18	Cytokines / Chemokines / Growth Factors	Proinflammatory, TH <sub>1</sub> , innate and acquired immunity, promotes apoptosis, requires co-stimulation with IL-1 or IL-2 to induce TH <sub>1</sub> cytokines in T- and NK-cells
IL18BP	IL-18 Binding Protein	Cytokines / Chemokines / Growth Factors	Implicated in inhibition of early TH <sub>1</sub> cytokine responses
TGFA	Transforming Growth Factor, Alpha	Transferase / Signal Transduction	Proinflammatory cytokine that is the primary mediator of immune response and regulation, Associated with TH <sub>1</sub> responses, mediates host response to bacterial stimuli, regulates cell growth & differentiation; Negative regulation of insulin action
TGFB1	Transforming Growth Factor, Beta 1	Cytokines / Chemokines / Growth Factors	AKA DPD1, CED; Pro- and antiinflammatory activity; Anti-apoptotic; cell-cell signaling, Can either inhibit or stimulate cell growth; Regulated by glucose in NIDDM individuals, overexpression (due to oxidative stress) promotes renal cell hypertrophy leading to diabetic nephropathy

TNFSF5	Tumor Necrosis Factor (Ligand) Superfamily, Member 5	Cytokines / Chemokines / Growth Factors	Ligand for CD40; Expressed on the surface of T-cells; Regulates B-cell function by engaging CD40 on the B-cell surface
TNFSF6	Tumor Necrosis Factor (Ligand) Superfamily, Member 6	Cytokines / Chemokines / Growth Factors	AKA FASL; Apoptosis antigen ligand 1 is the ligand for FAS antigen; Critical in triggering apoptosis of some types of cells such as lymphocytes; Defects in protein may be related to some cases of SLE
TNFSF13B	Tumor Necrosis Factor (Ligand) Superfamily, Member 13B	Cytokines / Chemokines / Growth Factors	B-cell activating factor, TNF family

Table 9. TNF / IL1 Inhibition Gene Expression Panel

HUGO Symbol	Name	Classification	Description
<u>CD14</u>	CD14 Antigen	Cell Marker	LPS receptor used as marker for monocytes
<u>GRO1</u>	GRO1 Oncogene	Cytokines / Chemokines / Growth factors	AKA SCYB1, Melanoma growth stimulating activity, Alpha; Chemotactic for neutrophils
<u>HMOX1</u>	Heme Oxygenase (Decycling) 1	Enzyme: Redox	Enzyme that cleaves heme to form biliverdin and CO; Endotoxin inducible
<u>ICAM1</u>	Intercellular Adhesion Molecule 1	Cell Adhesion: Matrix Protein	Endothelial cell surface molecule; Regulates cell adhesion and trafficking; Up-regulated during cytokine stimulation
<u>IL1B</u>	Interleukin 1, Beta	Cytokines / Chemokines / Growth factors	Pro-inflammatory; Constitutively and inducibly expressed by many cell types; Secreted
<u>IL1RN</u>	Interleukin 1 Receptor Antagonist	Cytokines / Chemokines / Growth factors	Anti-inflammatory; Inhibits binding of IL-1 to IL-1 receptor by binding to receptor without stimulating IL-1-like activity
<u>IL10</u>	Interleukin 10	Cytokines / Chemokines / Growth factors	Anti-inflammatory; TH <sub>2</sub> cytokine; Suppresses production of pro-inflammatory cytokines
<u>MMP9</u>	Matrix Metalloproteinase 9	Proteinase / Proteinase Inhibitor	AKA Gelatinase B; Degrades extracellular matrix molecules; Secreted by IL-8 stimulated neutrophils
<u>SERPINE1</u>	Serine (or Cysteine) Protease Inhibitor, Clade E (Ovalbumin), Member 1	Proteinase / Proteinase Inhibitor	AKA Plasminogen activator inhibitor-1, PAI-1; Regulator of fibrinolysis
<u>TGFB1</u>	Transforming Growth Factor, Beta 1	Cytokines / Chemokines / Growth factors	Pro- and anti-inflammatory activity; Anti-apoptotic; Cell-cell signaling; Can either inhibit or stimulate cell growth

<u>TIMP1</u>	Tissue Inhibitor of Metalloproteinase 1	Proteinase / Proteinase Inhibitor	Irreversibly binds and inhibits metalloproteinases such as collagenase
<u>TNFA</u>	Tumor Necrosis Factor, Alpha	Cytokines / Chemokines / Growth factors	Pro-inflammatory; TH <sub>1</sub> cytokine; Mediates host response to bacterial stimulus; Regulates cell growth & differentiation



Table 10. Chemokine Gene Expression Panel

Symbol	Name	Classification	Description
<u>CCR1</u>	chemokine (C-C motif) receptor 1	Chemokine receptor	A member of the beta chemokine receptor family (seven transmembrane protein). Binds SCYA3/MIP-1a, SCYA5/RANTES, MCP-3, HCC-1, 2, and 4, and MPIF-1. Plays role in dendritic cell migration to inflammation sites and recruitment of monocytes.
<u>CCR3</u>	chemokine (C-C motif) receptor 3	Chemokine receptor	C-C type chemokine receptor (Eotaxin receptor) binds to Eotaxin, Eotaxin-3, MCP-3, MCP-4, SCYA5/RANTES and mip-1 delta thereby mediating intracellular calcium flux. Alternative co-receptor with CD4 for HIV-1 infection. Involved in recruitment of eosinophils. Primarily a Th2 cell chemokine receptor.
<u>CCR5</u>	chemokine (C-C motif) receptor 5	Chemokine receptor	Member of the beta chemokine receptor family (seven transmembrane protein). Binds to SCYA3/MIP-1a and SCYA5/RANTES. Expressed by T cells and macrophages, and is an important co-receptor for macrophage-tropic virus, including HIV, to enter host cells. Plays a role in Th1 cell migration. Defective alleles of this gene have been associated with the HIV infection resistance.
<u>CX3CR1</u>	chemokine (C-X3-C) receptor 1	Chemokine receptor	CX3CR1 is an HIV coreceptor as well as a leukocyte chemotactic/adhesion receptor for fractalkine. Natural killer cells predominantly express CX3CR1 and respond to fractalkine in both migration and adhesion.

<u>CXCR4</u>	chemokine (C-X-C motif), receptor 4 (fusin)	Chemokine receptor	Receptor for the CXC chemokine SDF1. Acts as a co-receptor with CD4 for lymphocyte-tropic HIV-1 viruses. Plays role in B cell, Th2 cell and naïve T cell migration.
<u>GPR9</u>	G protein-coupled receptor 9	Chemokine receptor	CXC chemokine receptor binds to SCYB10/IP-10, SCYB9/MIG, SCYB11/I-TAC. Binding of chemokines to GPR9 results in integrin activation, cytoskeletal changes and chemotactic migration. Prominently expressed in in vitro cultured effector/memory T cells and plays a role in Th1 cell migration.
<u>GRO1</u>	GRO1 oncogene (melanoma growth stimulating activity, alpha)	Chemokine	AKA SCYB1; chemotactic for neutrophils. GRO1 is also a mitogenic polypeptide secreted by human melanoma cells.
<u>GRO2</u>	GRO2 oncogene (MIP-2)	Chemokine	AKA MIP2, SCYB2; Macrophage inflammatory protein produced by monocytes and neutrophils. Belongs to intercrine family alpha (CXC chemokine).
<u>IL8</u>	interleukin 8	Chemokine	Proinflammatory, major secondary inflammatory mediator, cell adhesion, signal transduction, cell-cell signaling, angiogenesis, synthesized by a wide variety of cell types
<u>PF4</u>	Platelet Factor 4 (SCYB4)	Chemokine	PF4 is released during platelet aggregation and is chemotactic for neutrophils and monocytes. PF4's major physiologic role appears to be neutralization of heparin-like molecules on the endothelial surface of blood vessels, thereby inhibiting local antithrombin III activity and promoting coagulation.
<u>SCYA2</u>	small inducible cytokine A2 (MCP1)	Chemokine	Recruits monocytes to areas of injury and infection. Stimulates IL-4 production; implicated in diseases

			involving monocyte, basophil infiltration of tissue (ie.g., psoriasis, rheumatoid arthritis, atherosclerosis).
<u>SCYA3</u>	small inducible cytokine A3 (MIP1a)	Chemokine	A "monokine" involved in the acute inflammatory state through the recruitment and activation of polymorphonuclear leukocytes. A major HIV-suppressive factor produced by CD8-positive T cells.
<u>SCYA5</u>	small inducible cytokine A5 (RANTES)	Chemokine	Binds to CCR1, CCR3, and CCR5 and is a chemoattractant for blood monocytes, memory t helper cells and eosinophils. A major HIV-suppressive factor produced by CD8-positive T cells.
<u>SCYB10</u>	small inducible cytokine subfamily B (Cys-X-Cys), member 10	Chemokine	A CXC subfamily chemokine. Binding of SCYB10 to receptor CXCR3/GPR9 results in stimulation of monocytes, natural killer and T-cell migration, and modulation of adhesion molecule expression. SCYB10 is Induced by IFN $\gamma$ and may be a key mediator in IFN $\gamma$ response.
<u>SDF1</u>	stromal cell-derived factor 1	Chemokine	Belongs to the CXC subfamily of the intercrine family, which activate leukocytes. SDF1 is the primary ligand for CXCR4, a coreceptor with CD4 for human immunodeficiency virus type 1 (HIV-1). SDF1 is a highly efficacious lymphocyte chemoattractant.

Table 11. Breast Cancer Gene Expression Panel

Symbol	Name	Classification	Description
<u>ACTB</u>	Actin, beta	Cell Structure	Actins are highly conserved proteins that are involved in cell motility, structure and integrity. ACTB is one of two non-muscle cytoskeletal actins. Site of action for cytochalasin B effects on cell motility.
<u>BCL2</u>	B-cell CLL/lymphoma 2	membrane protein	Interferes with the activation of caspases by preventing the release of cytochrome c, thus blocking apoptosis.
<u>CD19</u>	CD19 antigen	Cell Marker	AKA Leu 12; B cell growth factor
<u>CD34</u>	CD34 antigen	Cell Marker	AKA: hematopoietic progenitor cell antigen. Cell surface antigen selectively expressed on human hematopoietic progenitor cells. Endothelial marker.
<u>CD44</u>	CD44 antigen	Cell Marker	Cell surface receptor for hyaluronate. Probably involved in matrix adhesion, lymphocyte activation and lymph node homing.
<u>DC13</u>	DC13 protein		unknown function
<u>DSG1</u>	Desmoglein 1	membrane protein	Calcium-binding transmembrane glycoprotein involved in the interaction of plaque proteins and intermediate filaments mediating cell-cell adhesion. Interact with cadherins.
<u>EDR2</u>	Early Development Regulator 2		The specific function in human cells has not yet been determined. May be part of a complex that may regulate transcription during embryonic development.
<u>ERBB2</u>	v-erb-b2 erythroblastic leukemia viral oncogene homolog 2	Oncogene	Oncogene. Overexpression of ERBB2 confers Taxol resistance in breast cancers. Belongs to the EGF tyrosine kinase receptor family. Binds gp130 subunit of the IL6 receptor in an IL6 dependent manner. An essential

			component of IL-6 signalling through the MAP kinase pathway.
<u>ERBB3</u>	v-erb-b2 Erythroblastic Leukemia Viral Oncogene Homolog 3	Oncogene	Oncogene. Overexpressed in mammary tumors. Belongs to the EGF tyrosine kinase receptor family. Activated through neuregulin and ntak binding.
<u>ESR1</u>	Estrogen Receptor 1	Receptor / Transcription Factor	ESR1 is a ligand-activated transcription factor composed of several domains important for hormone binding, DNA binding, and activation of transcription.
<u>FGF18</u>	Fibroblast Growth Factor 18	Growth Factor	Involved in a variety of biological processes, including embryonic development, cell growth, morphogenesis, tissue repair, tumor growth, and invasion.
<u>FLT1</u>	Fms-related tyrosine kinase 1	Receptor	Receptor for VEGF; involved in vascular development and regulation of vascular permeability.
<u>FOS</u>	V-fos FBJ murine osteosarcoma viral oncogene homolog	Oncogene / Transcriptional Activator	Leucine zipper protein that forms the transcription factor AP-1 by dimerizing with JUN. Implicated in the processes of cell proliferation, differentiation, transformation, and apoptosis.
<u>GRO1</u>	GRO1 oncogene	Chemokine / Growth Factor / Oncogene	Proinflammatory; chemotactic for neutrophils. Growth regulator that modulates the expression of metalloproteinase activity.
<u>IFNG</u>	Interferon, gamma	Cytokine	Pro- and antiinflammatory activity; TH1 cytokine; nonspecific inflammatory mediator; produced by activated T-cells. Antiproliferative effects on transformed cells.
<u>IRF5</u>	Interferon regulatory factor 5	Transcription Factor	Regulates transcription of interferon genes through DNA sequence-specific binding. Diverse roles, include virus-mediated activation of interferon, and modulation of cell growth, differentiation, apoptosis, and immune system activity.
<u>KRT14</u>	Keratin 14	Cytoskeleton	Type I keratin, intermediate filament component; KRT14 is detected in the basal layer, with lower expression in more apical

			layers, and is not present in the stratum corneum. Together with KRT5 forms the cytoskeleton of epithelial cells.
<u>KRT19</u>	Keratin 19	Cytoskeleton	Type I epidermal keratin; may form intermediate filaments. Expressed often in epithelial cells in culture and in some carcinomas
<u>KRT5</u>	Keratin 5	Cytoskeleton	Coexpressed with KRT14 to form cytoskeleton of epithelial cells. KRT5 expression is a hallmark of mitotically active keratinocytes and is the primary structural component of the 10 nm intermediate filaments of the mitotic epidermal basal cells.
<u>MDM2</u>	Mdm2, transformed 3T3 cell double minute 2, p53 binding protein	Oncogene / Transcription Factor	Inhibits p53- and p73-mediated cell cycle arrest and apoptosis by binding its transcriptional activation domain, resulting in tumorigenesis. Permits the nuclear export of p53 and targets it for proteasome-mediated proteolysis.
<u>MMP9</u>	Matrix metalloproteinase 9	Proteinase / Proteinase Inhibitor	Degrades extracellular matrix by cleaving types IV and V collagen. Implicated in arthritis and metastasis.
<u>MP1</u>	Metalloprotease 1	Proteinase / Proteinase Inhibitor	Member of the pitrilysin family. A metalloendoprotease. Could play a broad role in general cellular regulation.
<u>N33</u>	Putative prostate cancer tumor suppressor	Tumor Suppressor	Integral membrane protein. Associated with homozygous deletion in metastatic prostate cancer.
<u>OXCT</u>	3-oxoacid CoA transferase	Transferase	OXCT catalyzes the reversible transfer of coenzyme A from succinyl-CoA to acetoacetate as the first step of ketolysis (ketone body utilization) in extrahepatic tissues.
<u>PCTK1</u>	PCTAIRE protein kinase 1		Belongs to the SER/THR family of protein kinases; CDC2/CDKX subfamily. May play a role in signal transduction cascades in terminally differentiated cells.
<u>SERPINB5</u>	Serine proteinase inhibitor, clade B, member 5	Proteinase / Proteinase Inhibitor / Tumor Suppressor	Protease Inhibitor; Tumor suppressor, especially for metastasis. Inhibits tumor invasion by inhibiting cell

			motility.
<u>SRP19</u>	Signal recognition particle 19kD		Responsible for signal-recognition-particle assembly. SRP mediates the targeting of proteins to the endoplasmic reticulum.
<u>STAT1</u>	Signal transducer and activator of transcription 1, 91kD	DNA-Binding Protein	Binds to the IFN-Stimulated Response Element (ISRE) and to the GAS element; specifically required for interferon signaling. STAT1 can be activated by IFN-alpha, IFN-gamma, EGF, PDGF and IL6. BRCA1-regulated genes overexpressed in breast tumorigenesis included STAT1 and JAK1.
<u>TGFB3</u>	Transforming growth factor, beta 3	Cell Signalling	Transmits signals through transmembrane serine/threonine kinases. Increased expression of TGFB3 may contribute to the growth of tumors.
<u>TLX3</u>	T-cell leukemia, homeobox 3	Transcription Factor	Member of the homeodomain family of DNA binding proteins. May be activated in T-ALL leukomogenesis.
<u>VWF</u>	Von Willebrand factor	Coagulation Factor	Multimeric plasma glycoprotein active in the blood coagulation system as an antihemophilic factor (VIIIc) carrier and platelet-vessel wall mediator. Secreted by endothelial cells.

Table 12. Infectious Disease Gene Expression Panel

Symbol	Name	Classification	Description
<u>C1QA</u>	Complement component 1, q subcomponent, alpha polypeptide	Proteinase / Proteinase Inhibitor	Serum complement system; forms C1 complex with the proenzymes c1r and c1s
<u>CASP1</u>	Caspase 1	proteinase	Activates IL1B; stimulates apoptosis
<u>CD14</u>	CD14 antigen	Cell Marker	LPS receptor used as marker for monocytes
<u>CSF2</u>	Granulocyte-monocyte colony stimulating factor	cytokines-chemokines-growth factors	AKA GM-CSF; Hematopoietic growth factor; stimulates growth and differentiation of hematopoietic precursor cells from various lineages, including granulocytes, macrophages, eosinophils, and erythrocytes
<u>EGR1</u>	Early growth response-1	cell signaling and activation	master inflammatory switch for ischemia-related responses including chemokine synthesis, adhesion molecules and macrophage differentiation
<u>F3</u>	F3	Enzyme / Redox	AKA thromboplastin, Coagulation Factor 3; cell surface glycoprotein responsible for coagulation catalysis
<u>GRO2</u>	GRO2 oncogene	cytokines-chemokines-growth factors	AKA MIP2, SCYB2; Macrophage inflammatory protein produced by monocytes and neutrophils
<u>HMOX1</u>	Heme oxygenase (decycling) 1	Enzyme / Redox	Endotoxin inducible
<u>HSPA1A</u>	Heat shock protein 70	Cell Signaling and activation	heat shock protein 70 kDa
<u>ICAM1</u>	Intercellular adhesion molecule 1	Cell Adhesion / Matrix Protein	Endothelial cell surface molecule; regulates cell adhesion and trafficking, upregulated during cytokine stimulation
<u>IFI16</u>	gamma interferon inducible protein 16	cell signaling and activation	Transcriptional repressor
<u>IFNG</u>	Interferon gamma	cytokines-chemokines-growth factors	Pro- and antiinflammatory activity, TH1 cytokine, nonspecific inflammatory mediator, produced by activated T-cells
<u>IL10</u>	Interleukin 10	cytokines-chemokines-growth factors	Antiinflammatory; TH2; suppresses production of proinflammatory cytokines



<u>IL12B</u>	Interleukin 12 p40	cytokines- chemokines- growth factors	Proinflammatory; mediator of innate immunity, TH1 cytokine, requires co-stimulation with IL-18 to induce IFN- $\gamma$
<u>IL13</u>	Interleukin 13	cytokines- chemokines- growth factors	Inhibits inflammatory cytokine production
<u>IL18</u>	Interleukin 18	cytokines- chemokines- growth factors	Proinflammatory, TH1, innate and aquired immunity, promotes apoptosis, requires co-stimulation with IL-1 or IL-2 to induce TH1 cytokines in T- and NK-cells
<u>IL18BP</u>	IL-18 Binding Protein	cytokines- chemokines- growth factors	Implicated in inhibition of early TH1 cytokine responses
<u>IL1A</u>	Interleukin 1, alpha	cytokines- chemokines- growth factors	Proinflammatory; constitutively and inducibly expressed in variety of cells. Generally cytosolic and released only during severe inflammatory disease
<u>IL1B</u>	Interleukin 1, beta	cytokines- chemokines- growth factors	Proinflammatory; constitutively and inducibly expressed by many cell types, secreted
<u>IL1R1</u>	interleukin 1 receptor, type I	receptor	AKA: CD12 or IL1R1RA
<u>IL1RN</u>	Interleukin 1 receptor antagonist	cytokines- chemokines- growth factors	IL1 receptor antagonist; Antiinflammatory; inhibits binding of IL-1 to IL-1 receptor by binding to receptor without stimulating IL-1-like activity
<u>IL2</u>	Interleukin 2	cytokines- chemokines- growth factors	T-cell growth factor, expressed by activated T-cells, regulates lymphocyte activation and differentiation; inhibits apoptosis, TH1 cytokine
<u>IL4</u>	Interleukin 4	cytokines- chemokines- growth factors	Antiinflammatory; TH2; suppresses proinflammatory cytokines, increases expression of IL-1RN, regulates lymphocyte activation
<u>IL6</u>	Interleukin 6 (interferon, beta 2)	cytokines- chemokines- growth factors	Pro- and antiinflammatory activity, TH2 cytokine, regulates hemotopoietic system and activation of innate response
<u>IL8</u>	Interleukin 8	cytokines- chemokines- growth factors	Proinflammatory, major secondary inflammatory mediator, cell adhesion, signal transduction, cell-cell signaling, angiogenesis, synthesized by a wide variety of cell types
<u>MMP3</u>	Matrix metalloproteinase 3	Proteinase / Proteinase Inhibitor	AKA stromelysin; degrades fibronectin, laminin and gelatin

<u>MMP9</u>	Matrix metalloproteinase 9	Proteinase / Proteinase Inhibitor	AKA gelatinase B; degrades extracellular matrix molecules, secreted by IL-8-stimulated neutrophils
<u>PLA2G7</u>	Phospholipase A2, group VII (platelet activating factor acetylhydrolase, plasma)	Enzyme / Redox	Platelet activating factor
<u>PLAU</u>	Plasminogen activator, urokinase	Proteinase / Proteinase Inhibitor	AKA uPA; cleaves plasminogen to plasmin (a protease responsible for nonspecific extracellular matrix degradation)
<u>SERPINE1</u>	Serine (or cysteine) protease inhibitor, clade B (ovalbumin), member 1	Proteinase / Proteinase Inhibitor	Plasminogen activator inhibitor-1 / PAI-1
<u>SOD2</u>	superoxide dismutase 2, mitochondrial	Oxidoreductase	Enzyme that scavenges and destroys free radicals within mitochondria
<u>TACI</u>	Tumor necrosis factor receptor superfamily, member 13b	cytokines-chemokines-growth factors	T cell activating factor and calcium cyclophilin modulator
<u>TIMP1</u>	tissue inhibitor of metalloproteinase 1	Proteinase / Proteinase Inhibitor	Irreversibly binds and inhibits metalloproteinases, such as collagenase
<u>TLR2</u>	toll-like receptor 2	cell signaling and activation	mediator of peptidoglycan and lipotechoic acid induced signalling
<u>TLR4</u>	toll-like receptor 4	cell signaling and activation	mediator of LPS induced signalling
<u>TNF</u>	Tumor necrosis factor, alpha	cytokines-chemokines-growth factors	Proinflammatory, TH1, mediates host response to bacterial stimulus, regulates cell growth & differentiation
<u>TNFSF13B</u>	Tumor necrosis factor (ligand) superfamily, member 13b	cytokines-chemokines-growth factors	B cell activating factor, TNF family
<u>TNFSF5</u>	Tumor necrosis factor (ligand) superfamily, member 5	cytokines-chemokines-growth factors	ligand for CD40; expressed on the surface of T cells. It regulates B cell function by engaging CD40 on the B cell surface
<u>TNFSF6</u>	Tumor necrosis factor (ligand) superfamily, member 6	cytokines-chemokines-growth factors	AKA FasL; Ligand for FAS antigen; transduces apoptotic signals into cells
<u>VEGF</u>	vascular endothelial growth factor	cytokines-chemokines-growth factors	Produced by monocytes
<u>IL5</u>	Interleukin 5	Cytokines-chemokines-growth factors	Eosinophil stimulatory factor; stimulates late B cell differentiation to secretion of Ig
<u>IFNA2</u>	Interferon alpha 2	Cytokines-chemokines-	interferon produced by macrophages with antiviral effects

		growth factors	
<u>TREM1</u>	TREM-1	Triggering Receptor Expressed on Myeloid Cells 1	Receptor / Cell Signaling and Activation
<u>SCYB10</u>	small inducible cytokine subfamily B (Cys-X-Cys), member 10	Chemokine	A CXC subfamily chemokine. Binding of SCYB10 to receptor CXCR3/GPR9 results in stimulation of monocytes, natural killer and T-cell migration, and modulation of adhesion molecule expression. SCYB10 is Induced by IFN $\gamma$ and may be a key mediator in IFN $\gamma$ response.
<u>CCR1</u>	Chemokine (C-C motif) receptor 1	Chemokine receptor	A member of the beta chemokine receptor family (seven transmembrane protein). Binds SCYA3/MIP-1a, SCYA5/RANTES, MCP-3, HCC-1, 2, and 4, and MPIF-1. Plays role in dendritic cell migration to inflammation sites and recruitment of monocytes.
<u>CCR3</u>	Chemokine (C-C motif) receptor 3	Chemokine receptor	C-C type chemokine receptor (Eotaxin receptor) binds to Eotaxin, Eotaxin-3, MCP-3, MCP-4, SCYA5/RANTES and mip-1 delta thereby mediating intracellular calcium flux. Alternative co-receptor with CD4 for HIV-1 infection. Involved in recruitment of eosinophils. Primarily a Th2 cell chemokine receptor.
<u>SCYA3</u>	Small inducible cytokine A3 (MIP1a)	Chemokine	A "monokine" involved in the acute inflammatory state through the recruitment and activation of polymorphonuclear leukocytes. A major HIV-suppressive factor produced by CD8-positive T cells.
<u>CX3CR1</u>	Chemokine (C-X3-C) receptor 1	Chemokine receptor	CX3CR1 is an HIV coreceptor as well as a leukocyte chemotactic/adhesion receptor for fractalkine. Natural killer cells predominantly express CX3CR1 and respond to fractalkine in both migration and adhesion.

What is claimed is:

1. A method, for evaluating a biological condition of a subject, based on a sample from the subject, comprising:
  - 5 deriving from the sample a profile data set, the profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables evaluation of the biological condition; and  
in deriving the profile data set, achieving such measure for each constituent under  
10 measurement conditions that are substantially repeatable.
2. A method of providing an index that is indicative of the state of a subject, as to a biological condition, based on a sample from the subject, the method comprising:
  - 15 deriving from the sample a profile data set, the profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables evaluation of the biological condition; and  
in deriving the profile data set, achieving such measure for each constituent under measurement conditions that are substantially repeatable; and  
20 applying values from the profile data set to an index function that provides a mapping from an instance of a profile data set into a single-valued measure of biological condition, so as to produce an index pertinent to the biological condition of the subject.
3. A method according to claim 1, further comprising in deriving the profile data set, achieving such measure for each constituent under measurement conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar.
- 25 4. A method according to claim 2, further comprising in deriving the profile data set, achieving such measure for each constituent under measurement conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar.
5. A method according to claims 2, further comprising providing with the index a normative value of the index function, determined with respect to a relevant population,  
30 so that the index may be interpreted in relation to the normative value.
6. A method according to claim 4, further comprising providing with the index a normative value of the index function, determined with respect to a relevant population, so that the index may be interpreted in relation to the normative value.

7. A method according to claim 5, wherein providing the normative value includes constructing the index function so that the normative value is approximately 1.
8. A method according to claim 6, wherein providing the normative value includes constructing the index function so that the normative value is approximately 0 and  
5 deviations in the index function from 0 are expressed in standard deviation units.
9. A method according to claim 5, wherein the relevant population has in common a property that is at least one of age group, gender, ethnicity, geographic location, diet, medical disorder, clinical indicator, medication, physical activity, body mass, and environmental exposure.
- 10 10. A method according to claim 6, wherein the relevant population is has in common a property that is at least one of age group, gender, ethnicity, geographic location, diet, medical disorder, clinical indicator, medication, physical activity, body mass, and environmental exposure.
11. A method according to any of claims 1 through 10, wherein efficiencies of  
15 amplification, expressed as a percent, for all constituents lie within a range of approximately 2 percent.
12. A method according to any of claims 1 through 10, wherein efficiencies of amplification, expressed as a percent, for all constituents lie within a range of approximately 1 percent.
- 20 13. A method according to any of claims 1 through 10, wherein measurement conditions are repeatable so that such measure for each constituent has a coefficient of variation, on repeated derivation of such measure from the sample, that is less than approximately 3 percent.
14. A method according to claim 11, wherein measurement conditions are repeatable  
25 so that such measure for each constituent has a coefficient of variation, on repeated derivation of such measure from the sample, that is less than approximately 3 percent.
15. A method according to claim 12, wherein measurement conditions are repeatable so that such measure for each constituent has a coefficient of variation, on repeated derivation of such measure from the sample, that is less than approximately 3 percent.
- 30 16. A method according to any of claims 1 through 10, wherein the panel includes at least three constituents.
17. A method according to any of claims 1 through 10, wherein the panel has fewer than approximately 500 constituents.

18. A method according to claim 1 through 10, wherein the biological condition being evaluated is with respect to a localized tissue of the subject and the sample is derived from tissue or fluid of a type distinct from that of the localized tissue.
19. A method according to any of claims 1 through 10, wherein the biological  
5 condition is inflammation and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Inflammation Gene Expression Panel of Table 1.
20. A method according to any of claims 1 through 10, wherein the biological  
10 condition is diabetes and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Diabetes Gene Expression Panel of Table 2.
21. A method according to any of claims 1 through 10, wherein the biological  
15 condition is prostate health or disease and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Prostate Gene Expression Panel of Table 3.
22. A method according to any of claims 1 through 10, wherein the biological  
condition is manifested in skin and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Skin Response Gene Expression Panel of Table 4.
- 20 23. A method according to any of claims 1 through 10, wherein the biological  
condition is liver metabolism and disease and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Liver Metabolism and Disease Gene Expression Panel of Table 5.
24. A method according to any of claims 1 through 10, wherein the biological  
25 condition is vascular and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Endothelial Gene Expression Panel of Table 6.
25. A method according to any of claims 1 through 10, wherein the biological  
30 condition is abnormal cell development and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Cell Health and Apoptosis Gene Expression Panel of Table 7.
26. A method according to any of claims 1 through 10, wherein the biological  
condition is inflammation and the panel of constituents includes at least two, and

optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Cytokine Gene Expression Panel of Table 8.

27. A method according to any of claims 1 through 10, wherein the biological condition is inflammation and the panel of constituents includes at least two, and  
5 optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the TNF/IL1 Inhibition Gene Expression Panel of Table 9.

28. A method according to any of claims 1 through 10, wherein the biological condition is inflammation and the panel of constituents includes at least two, and  
10 optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Chemokine Gene Expression Panel of Table 10.

29. A method according to any of claims 1 through 10, wherein the biological condition is cancer and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Breast Cancer Gene Expression Panel of Table 11.

15 30. A method according to any of claims 1 through 10, wherein the biological condition is infectious disease and the panel of constituents includes at least two, and optionally at least three, four, five, six, seven, eight, nine or ten, of the constituents of the Infectious Disease Gene Expression Panel of Table 12.

20 31. A method of providing an index that is indicative of the biological state of a subject based on a sample from the subject, the method comprising:

deriving from the sample a first profile data set, the first profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents, the panel including at least two of the constituents of the Inflammation Gene Expression Panel of Table 1;

25 wherein, in deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions; and

30 applying values from the first profile data set to an index function that provides a mapping from an instance of a profile data set into a single-valued measure of biological condition, so as to produce an index pertinent to the biological condition of the sample or the subject.

32. A method according to claim 31, wherein the panel includes at least three of the constituents in the Inflammation Gene Expression Panel.

33. A method according to claim 31, wherein the panel includes at least four of the constituents in the Inflammation Gene Expression Panel.
34. A method according to claim 31, wherein the panel includes at least five of the constituents in the Inflammation Gene Expression Panel.
- 5 35. A method according to claim 31, wherein the panel includes at least six of the constituents in the Inflammation Gene Expression Panel.
36. A method according to claim 31, wherein the panel includes at least 10 of the constituents in the Inflammation Gene Expression Panel.
37. A method according to claim 31, wherein the biological condition is an  
10 inflammatory condition.
38. A method according to claim 31, wherein
- (i) the mapping by the index function is further based on an instance of a relevant baseline profile data set; and
- (ii) applying values from the first profile data set further includes applying values  
15 from a corresponding baseline profile data set from the same subject or from a population of subjects or samples with a similar or different biological condition.
39. A method according to claim 31, wherein the index function is constructed to deviate from a normative value generally upwardly in an instance of an increase in expression of a constituent whose increase is associated with an increase of inflammation  
20 and also in an instance of a decrease in expression of a constituent whose decrease is associated with an increase of inflammation.
40. A method according to claim 39, wherein the index function is constructed to weigh the expression value of a constituent in the panel generally in accordance with the extent to which its expression level is determined to be correlated with extent of  
25 inflammation.
41. A method according to claim 39, wherein the index function is constructed to take into account clinical insight into inflammation biology.
42. A method according to claim 39, wherein the index function is constructed to take into account experimentally derived data.
- 30 43. A method according to claim 39, wherein the index function is constructed to take into account relationships derived from computer analysis of profile data sets in a data base associating profile data sets with clinical and demographic data.



44. A method according to claim 31, wherein the panel includes at least one constituent that is associated with a specific inflammatory disease.
45. A method according to claim 31, wherein (i) the mapping by the index function is also based on an instance of at least one of demographic data and clinical data and (ii) applying values from the first profile data set also includes applying a set of values associated with at least one of demographic data and clinical data.
46. A method according to claim 31, wherein a portion of deriving the first profile data set is performed at a first location and applying the values from the first profile data set is performed at a second location, and data associated with performing the portion of deriving the first profile data set are communicated to the second location over a network to enable, at the second location, applying the values from the first profile data set.
47. A method according to claim 31, wherein the index function is a linear sum of terms, each term being a contribution function of a member of the profile data set.
48. A method according to claim 47, wherein the contribution function is a weighted power of the member.
49. A method according to claim 48, wherein the power is integral, so that the index function is a linear polynomial.
50. A method according to claim 49, wherein the profile data set includes at least three members corresponding to constituents selected from the group consisting of IL1A, IL1B, TNF, IFNG and IL10.
51. A method according to claim 49, wherein the profile data set includes at least four members corresponding to constituents selected from the group consisting of IL1A, IL1B, TNF, IFNG and IL10.
52. A method according to claim 51, wherein the index function is approximately proportional to  $1/4\{IL1A\} + 1/4\{IL1B\} + 1/4\{TNF\} + 1/4\{IFNG\} - 1/\{IL10\}$  and braces around a constituent designate measurement of such constituent.
53. A method of analyzing complex data associated with a sample from a subject for information pertinent to inflammation, the method comprising:

deriving a Gene Expression Profile for the sample, the Gene Expression Profile being based on a Signature Panel for Inflammation; and

using the Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index for the sample.

- 5 54. A method of monitoring the biological condition of a subject, the method comprising:

deriving a Gene Expression Profile for each of a series of samples over time from the subject, the Gene Expression Profile being based on a Signature Panel for Inflammation; and

- 10 for each of the series of samples, using the corresponding Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index.

55. A method of determining at least one of (i) an effective dose of an agent to be administered to a subject and (ii) a schedule for administration of an agent to a subject, the method comprising:

- 15 deriving a Gene Expression Profile for a sample from the subject, the Gene Expression Profile being based on a Signature Panel for Inflammation;

using the Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index for the sample; and

- 20 using the Gene Expression Profile Inflammatory Index as an indicator in establishing at least one of the effective dose and the schedule.

56. A method of guiding a decision to continue or modify therapy for a biological condition of a subject, the method comprising:

deriving a Gene Expression Profile for a sample from the subject, the Gene Expression Profile being based on a Signature Panel for Inflammation; and

- 25 using the Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index for the sample.

57. A method of predicting change in biological condition of a subject as a result of exposure to an agent, the method comprising:

- 30 deriving a first Gene Expression Profile for a first sample from the subject in the absence of the agent, the first Gene Expression Profile being based on a Signature Panel for Inflammation;

deriving a second Gene Expression Profile for a second sample from the subject in the presence of the agent, the second Gene Expression Profile being based on the same Signature Panel; and

5 using the first and second Gene Expression Profiles to determine correspondingly a first Gene Expression Profile Inflammatory Index and a second Gene Expression Profile Inflammatory Index.

58. A method according to claim 57, wherein the agent is a compound.

59. A method according to claim 58, wherein the compound is therapeutic.

60. A method of evaluating a property of an agent, the property being at least  
10 one of purity, potency, quality, efficacy or safety, the method comprising:

deriving a first Gene Expression Profile from a sample reflecting exposure to the agent of (i) the sample, or (ii) a population of cells from which the sample is derived, or (iii) a subject from which the sample is derived;

15 using the Gene Expression Profile to determine a Gene Expression Profile Inflammatory Index; and

using the Gene Expression Profile Inflammatory Index in determining the property.

61. A method, for evaluating a biological condition of a subject, based on a sample from the subject, comprising:

20 deriving from the sample a first profile data set, the first profile dataset including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables measurement of the biological condition; and

25 producing a calibrated profile data set for the panel, wherein each member of the calibrated profile data set is a function of a corresponding member of the first profile data set and a corresponding member of a baseline profile data set for the panel, wherein each member of the baseline data set is a normative measure, determined with respect to a relevant population of subjects, of the amount of one of the constituents in the panel, the calibrated profile data set providing a measure of the biological condition of the subject.

30 62. A method, for evaluating a biological condition of a subject, based on a sample from the subject, comprising:

applying the first sample or a portion thereof to a defined population of indicator cells;

obtaining from the indicator cells a second sample containing at least one of RNAs or proteins;

deriving from the second sample a first profile data set, the first profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables measurement of the biological condition; and

producing a calibrated profile data set for the panel, wherein each member of the calibrated profile data set is a function of a corresponding member of the first profile data set and a corresponding member of a baseline profile data set for the panel, wherein each member of the baseline data set is a normative measure, determined with respect to a relevant population of subjects, of the amount of one of the constituents in the panel, the calibrated profile data set providing a measure of the biological condition of the subject.

63. A method, for evaluating a biological condition affected by an agent, the method comprising:

obtaining, from a target population of cells to which the agent has been administered, a sample having at least one of RNAs and proteins;

deriving from the sample a first profile data set, the first profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables measurement of the biological condition; and

producing a calibrated profile data set for the panel, wherein each member of the calibrated profile data set is a function of a corresponding member of the first profile data set and a corresponding member of a baseline profile data set for the panel, wherein each member of the baseline data set is a normative measure, determined with respect to a relevant population of subjects, of the amount of one of the constituents in the panel, the calibrated profile data set providing a measure of the biological condition as affected by the agent.

64. A method according to any of claims 61 through 63, wherein the relevant population is a population of healthy subjects.

65. A method according to any of claims 61 through 63, wherein the relevant population is has in common a property that is at least one of age group, gender, ethnicity, geographic location, diet, medical disorder, clinical indicator, medication, physical activity, body mass, and environmental exposure.

66. A method, for evaluating a biological condition of a subject, based on a sample from the subject, comprising:

deriving from the sample a first profile data set, the first profile dataset including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents enables measurement of the biological condition;

wherein, in deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions.

67. A method according to claim 66, wherein the panel includes at least two, and optionally, at least three, at least four, at least five, or at least six of the constituents of the Inflammation Gene Expression Panel of Table 1.

68. A method according to any of claims 61 through 63, the panel including at least two of the constituents of the Inflammation Gene Expression Panel of Table 1 and wherein, in deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions.

69. A method according to any of claims 61 through 63, the panel including at least three of the constituents of the Inflammation Gene Expression Panel of Table 1 and wherein, in deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions.

70. A method according to any of claims 61 through 63, the panel including at least four of the constituents of the Inflammation Gene Expression Panel of Table 1 and wherein, in deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions.

71. A method according to any of claims 61 through 63, the panel including at least five of the constituents of the Inflammation Gene Expression Panel of Table

1 and wherein, in deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially repeatable conditions.

5 72. A method according to any of claims 61 through 63, the panel including at least six of the constituents of the Inflammation Gene Expression Panel of Table 1 and wherein, in deriving the first profile data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially  
10 repeatable conditions.

73. A method, for evaluating the effect on a biological condition by a first agent in relation to the effect by a second agent, the method comprising:

obtaining, from first and second target populations of cells to which the first and second agents have been respectively administered, first and second samples respectively,  
15 each sample having at least one of RNAs and proteins;

deriving from the first sample a first profile data set and from the second sample a second profile data set, the profile data sets each including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents selected so that measurement of the constituents  
20 enables measurement of the biological condition; and

producing for the panel a first calibrated profile data set and a second profile data set, wherein (i) each member of the first calibrated profile data set is a function of a corresponding member of the first profile data set and a corresponding member of a baseline profile data set for the panel, wherein each member of the baseline data set is a  
25 normative measure, determined with respect to a relevant population of subjects, of the amount of one of the constituents in the panel, and (ii) each member of the second calibrated profile data set is a function of a corresponding member of the second profile data set and a corresponding member of the baseline profile data set, the calibrated profile data sets providing a measure of the effect by the first agent on the biological condition in  
30 relation to the effect by the second agent

wherein, in deriving the first and second profile data sets, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under substantially

repeatable conditions.

74. A method according to claim 73, wherein the first agent is a first drug and the second agent is a second drug.

75. A method according to claim 73, wherein the first agent is a drug and the  
5 second agent is a complex mixture.

76. A method according to claim 74, wherein the first agent is a drug and the second agent is a nutraceutical.

77. A method of providing an index that is indicative of the inflammatory state of a subject based on a sample from the subject, the method comprising:

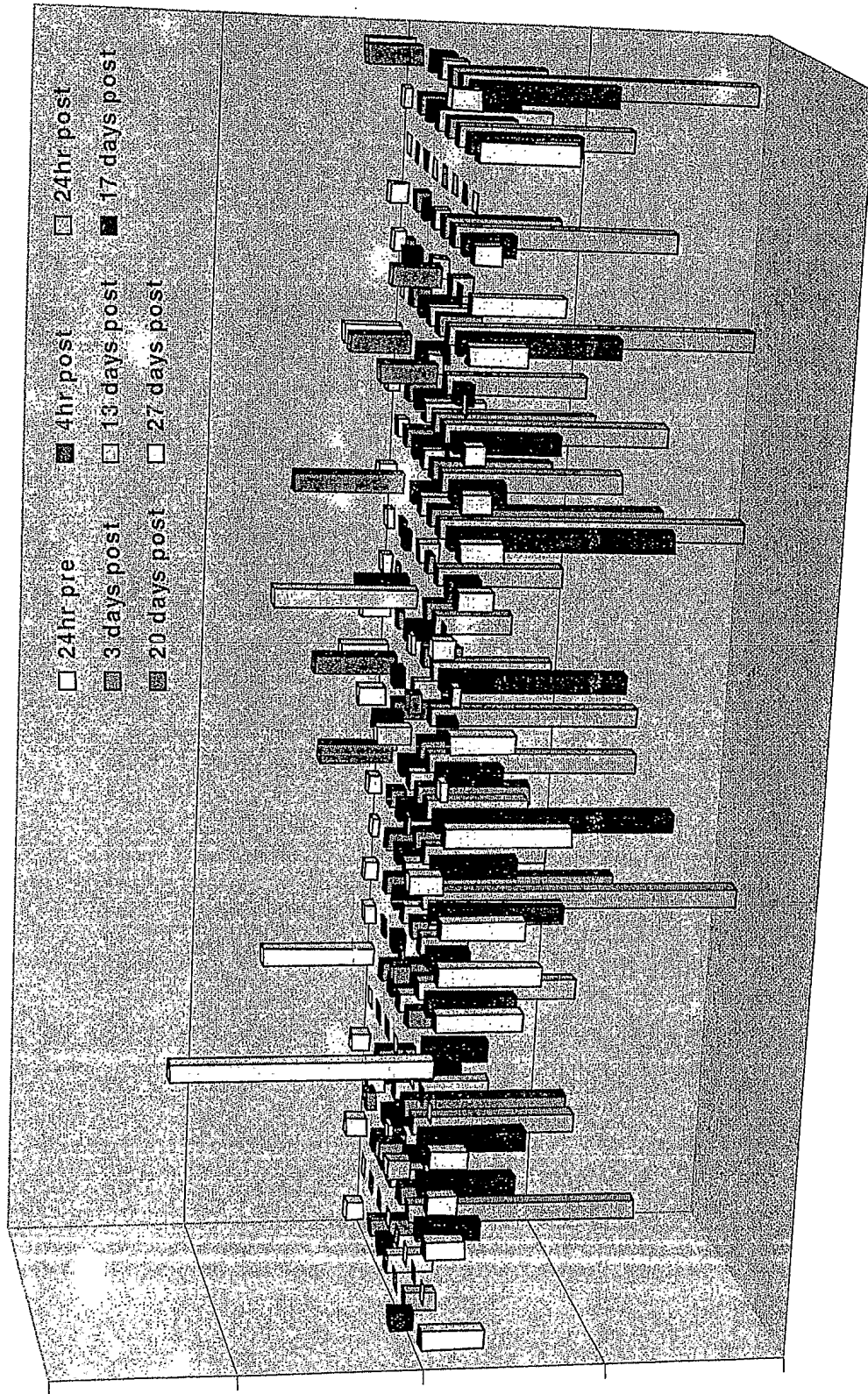
10 deriving from the sample a first profile data set, the first profile data set including a plurality of members, each member being a quantitative measure of the amount of a distinct RNA or protein constituent in a panel of constituents, the panel including at least two of the constituents of the Inflammation Gene Expression Panel of Table 1; and

applying values from the first profile data set to an index function that provides a  
15 mapping from an instance of a profile data set into a single-valued measure of biological condition, so as to produce an index pertinent to the biological condition of the sample or the subject;

wherein the index function also uses data from a baseline profile data set for the panel, wherein each member of the baseline data set is a normative measure, determined  
20 with respect to a relevant population of subjects, of the amount of one of the constituents in the panel; and

wherein, in deriving the first profile data set and the baseline data set, such measure is performed for each constituent both under conditions wherein specificity and efficiencies of amplification for all constituents are substantially similar and under  
25 substantially repeatable conditions.

Fig. 1A



24 of 48 Assayed Inflammation Genes



Figure 1B  
 $((1/IL1A) + (1/IL1B) + (1/TNF) + (1/IFNG))/4 - 1/(IL10)$

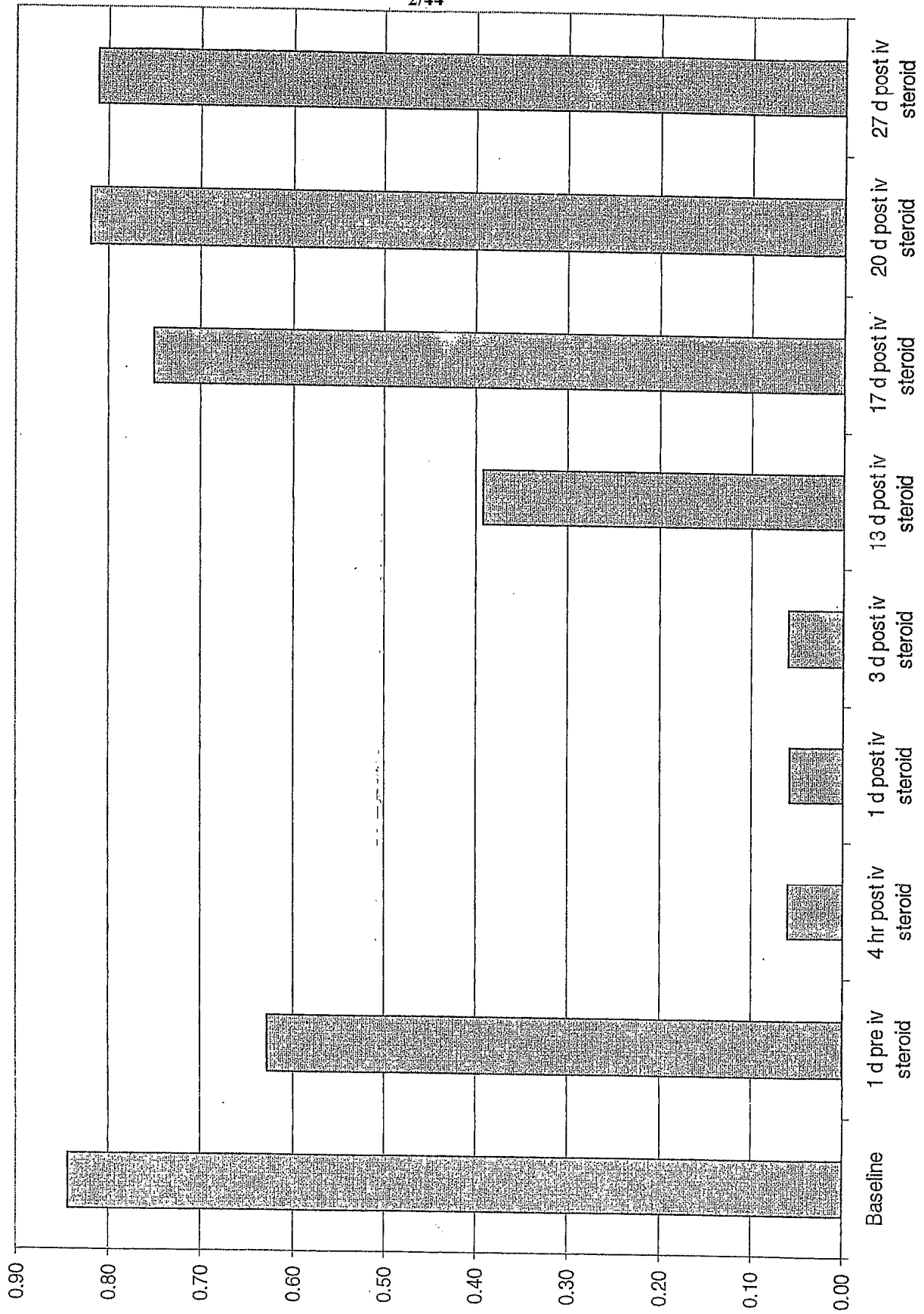


Fig. 2

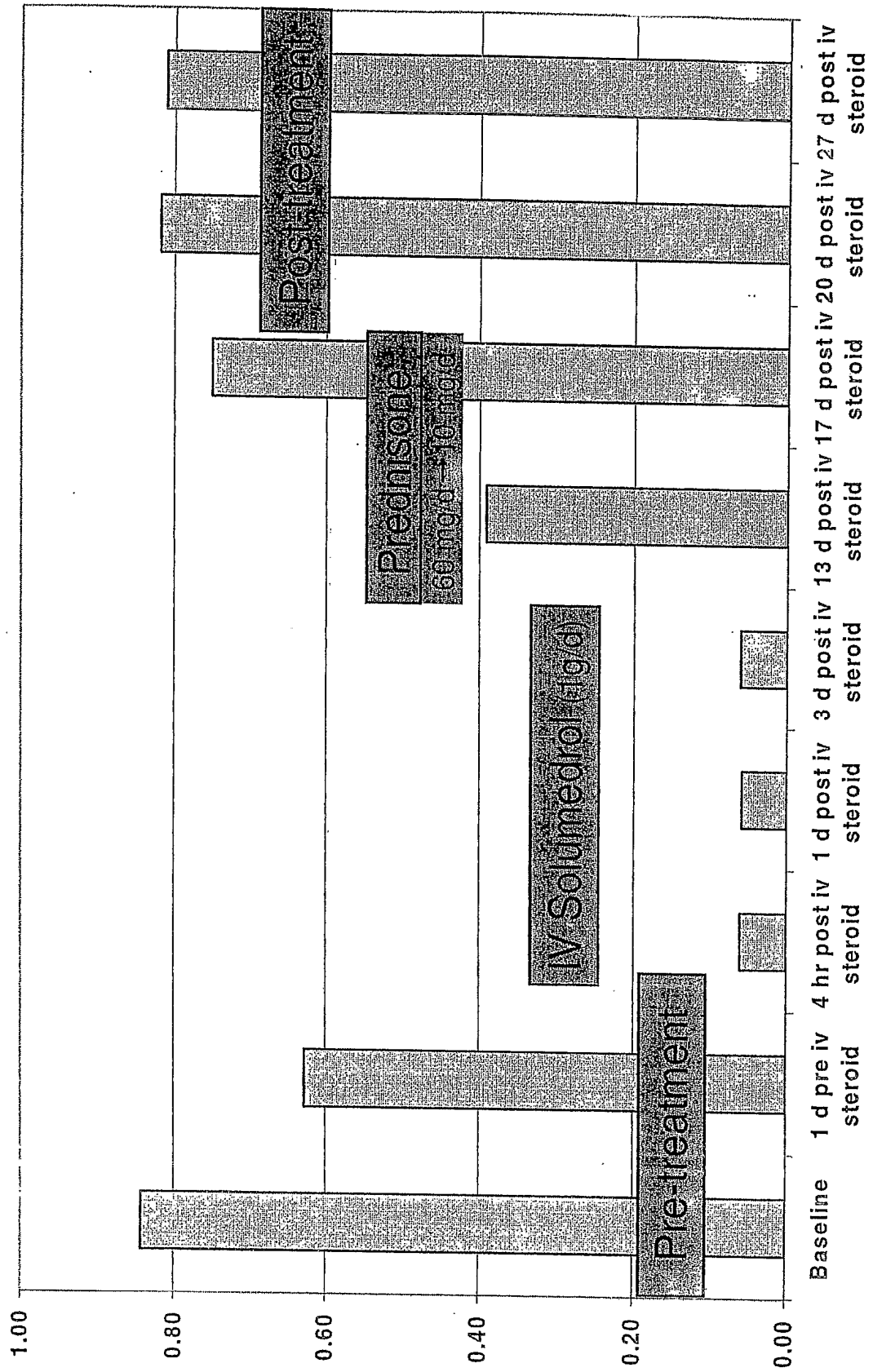


Figure 3  
 $((1/IL1A) + (1/IL1B) + (1/TNF) + (1/IFNG))/4 - 1/(IL10)$

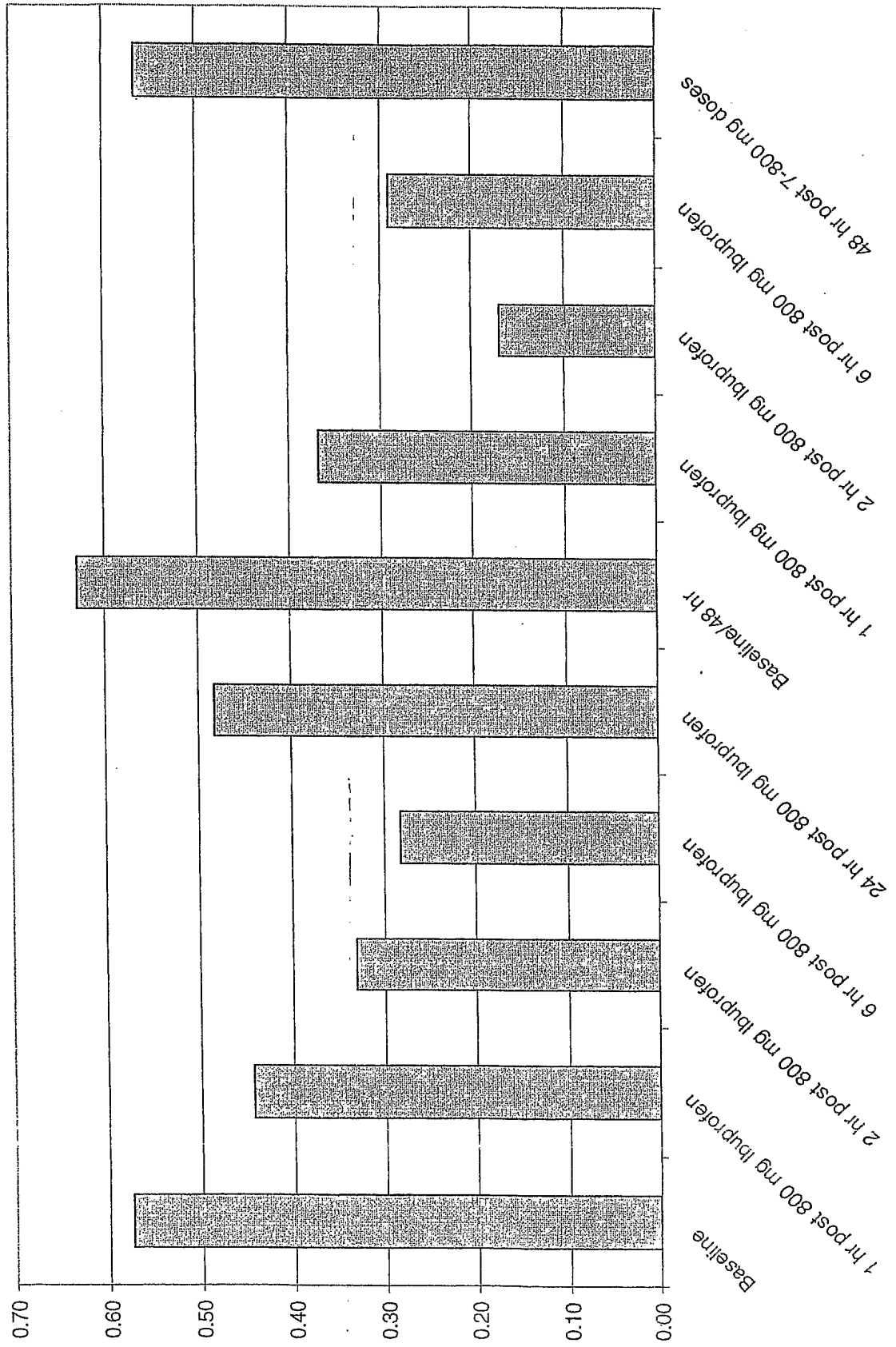


Figure 4  
 $((1/IL1A) + (1/IL1B) + (1/IFNG) + (1/TNF))/4 - 1/(IL10)$

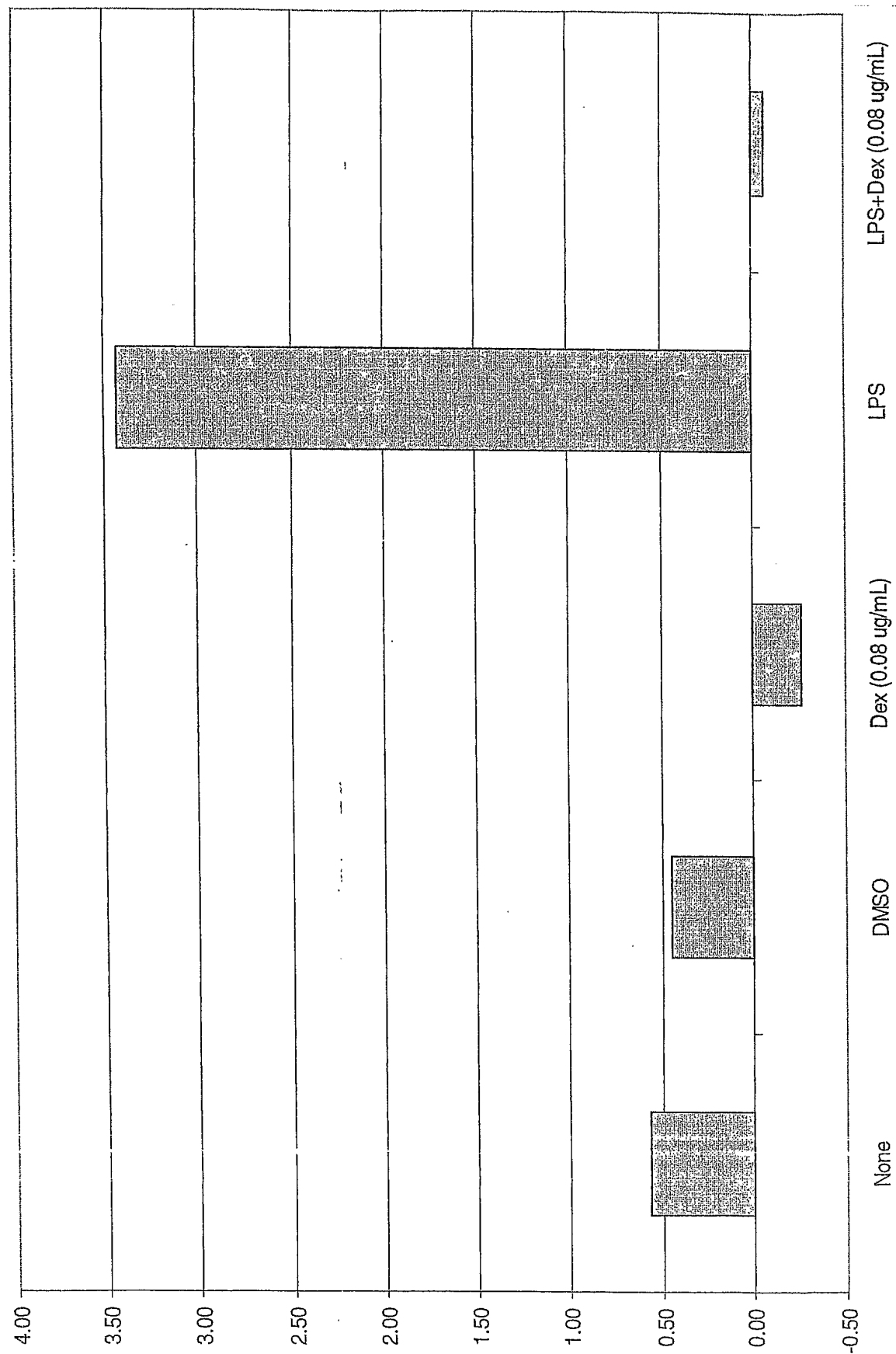


Fig. 5

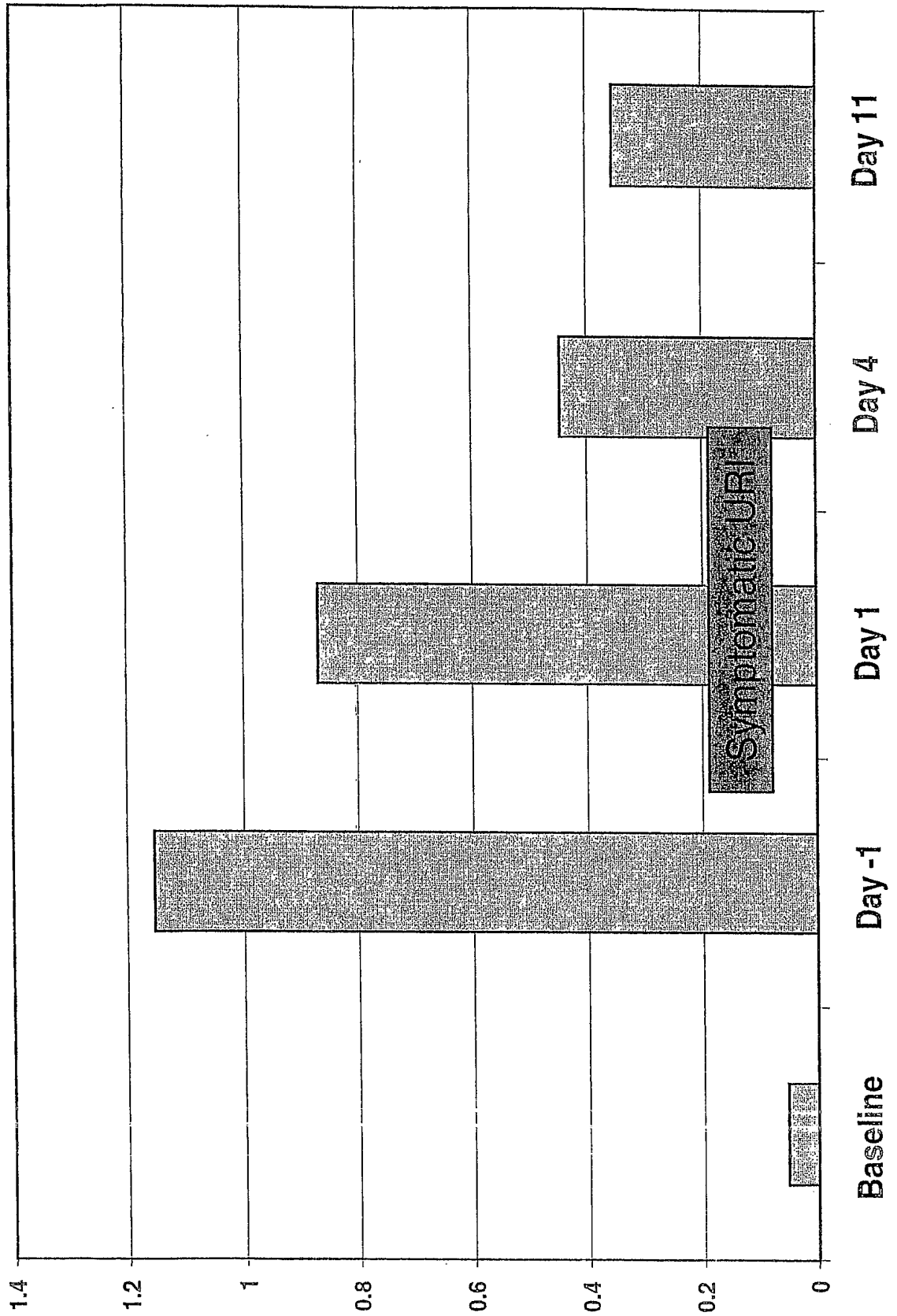


Fig. 6

Bonfils (n=17) DCt Avg. v. Source Long. (n=16) DCt Avg. INF.48A

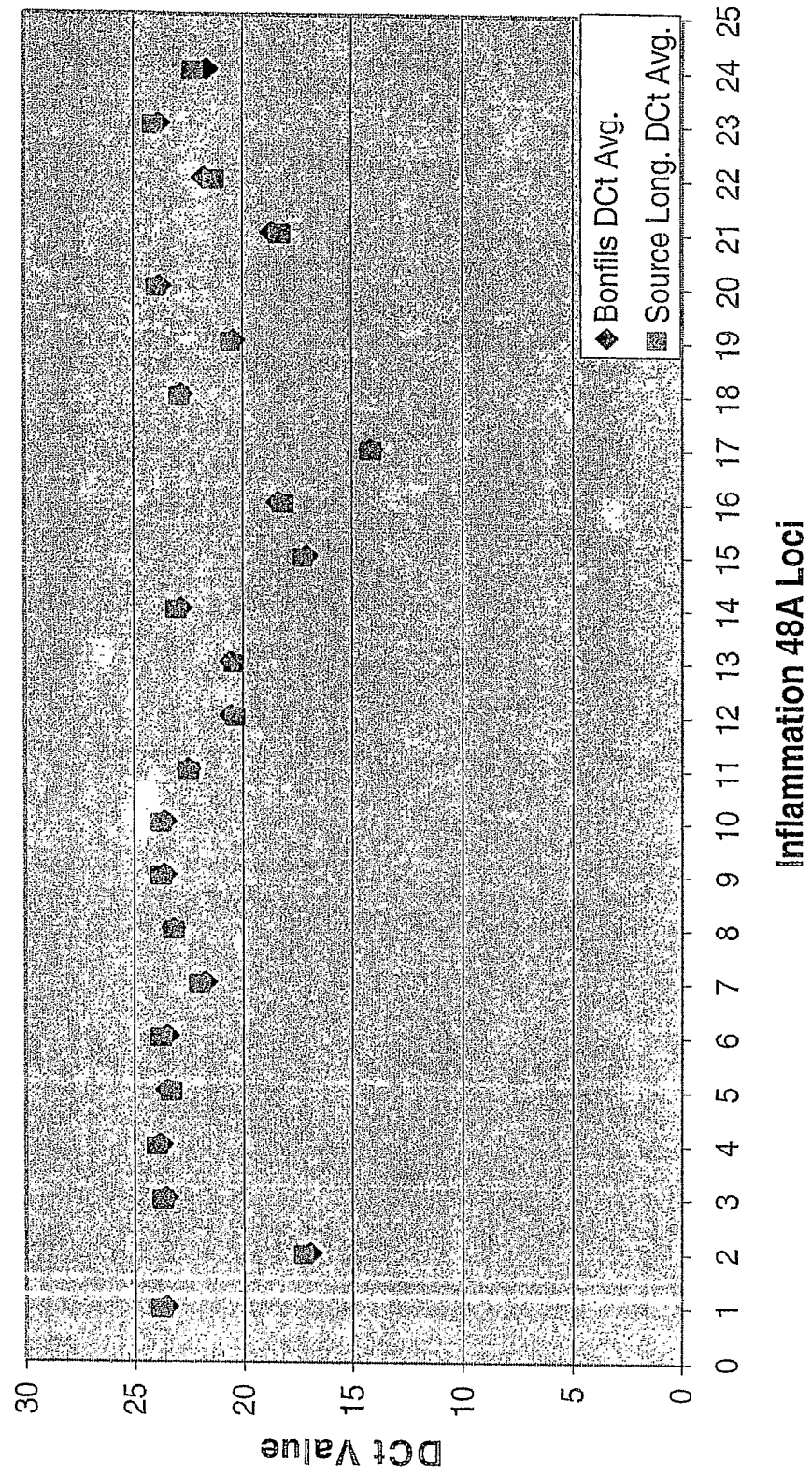


Fig. 7

Bonfils (n=17) DCt Avg. v. Source Long. (n=16) DCt Avg. INF.48B

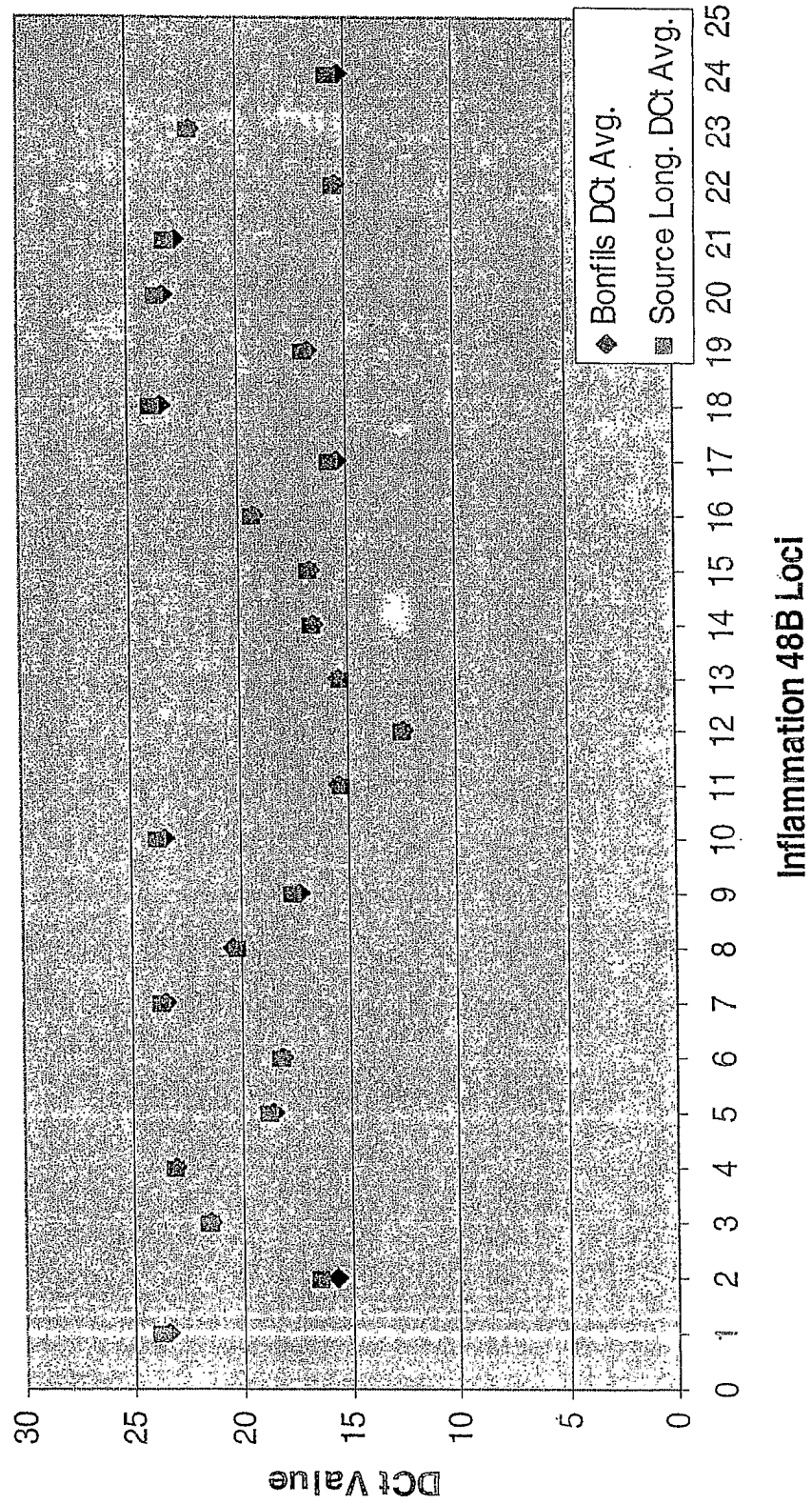
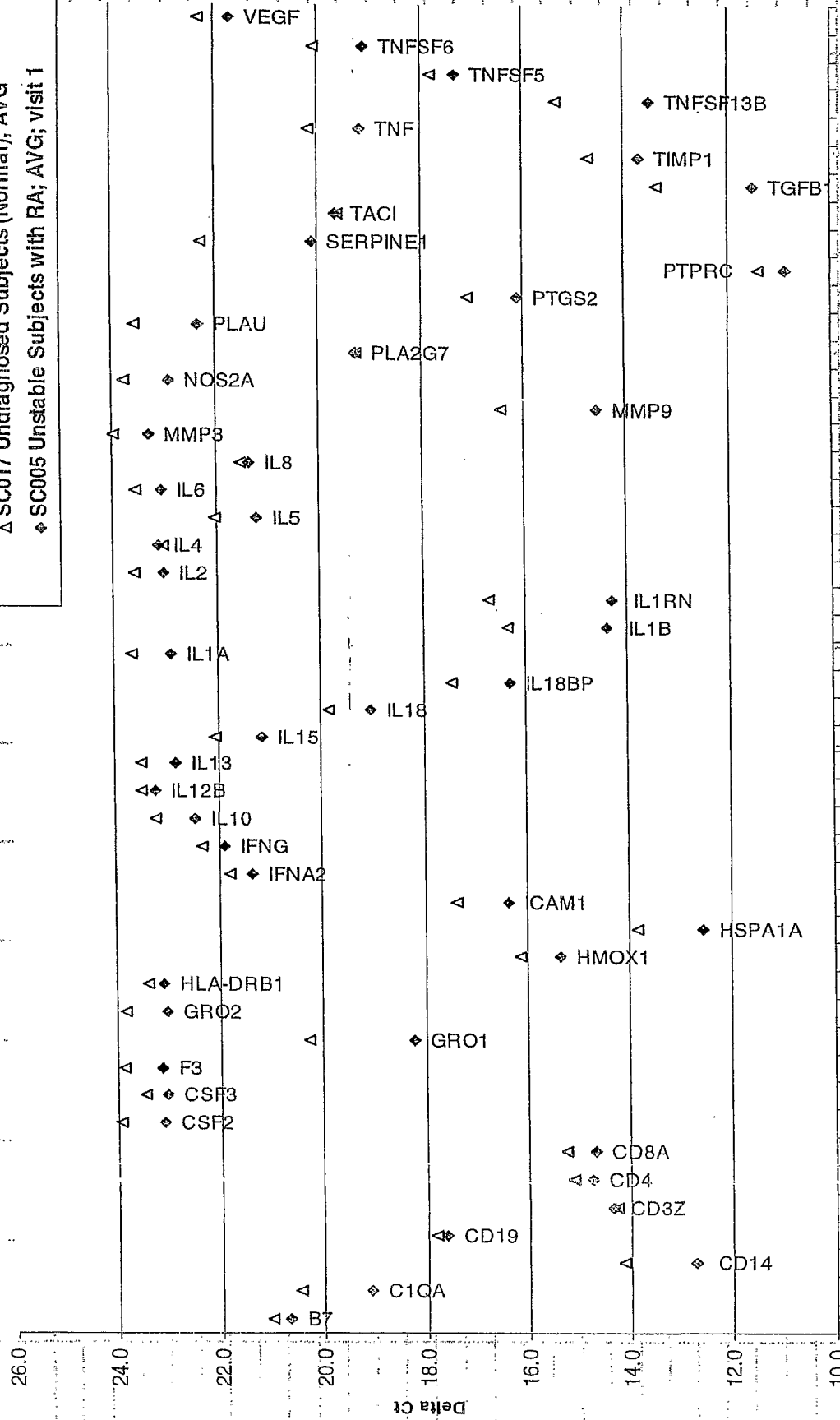


Fig. 8

## Gene Expression in Whole Blood

for SC005 (unstable RA subjects); AVG (n=4) and SC017 (Undiagnosed Normal); AVG (n=24)

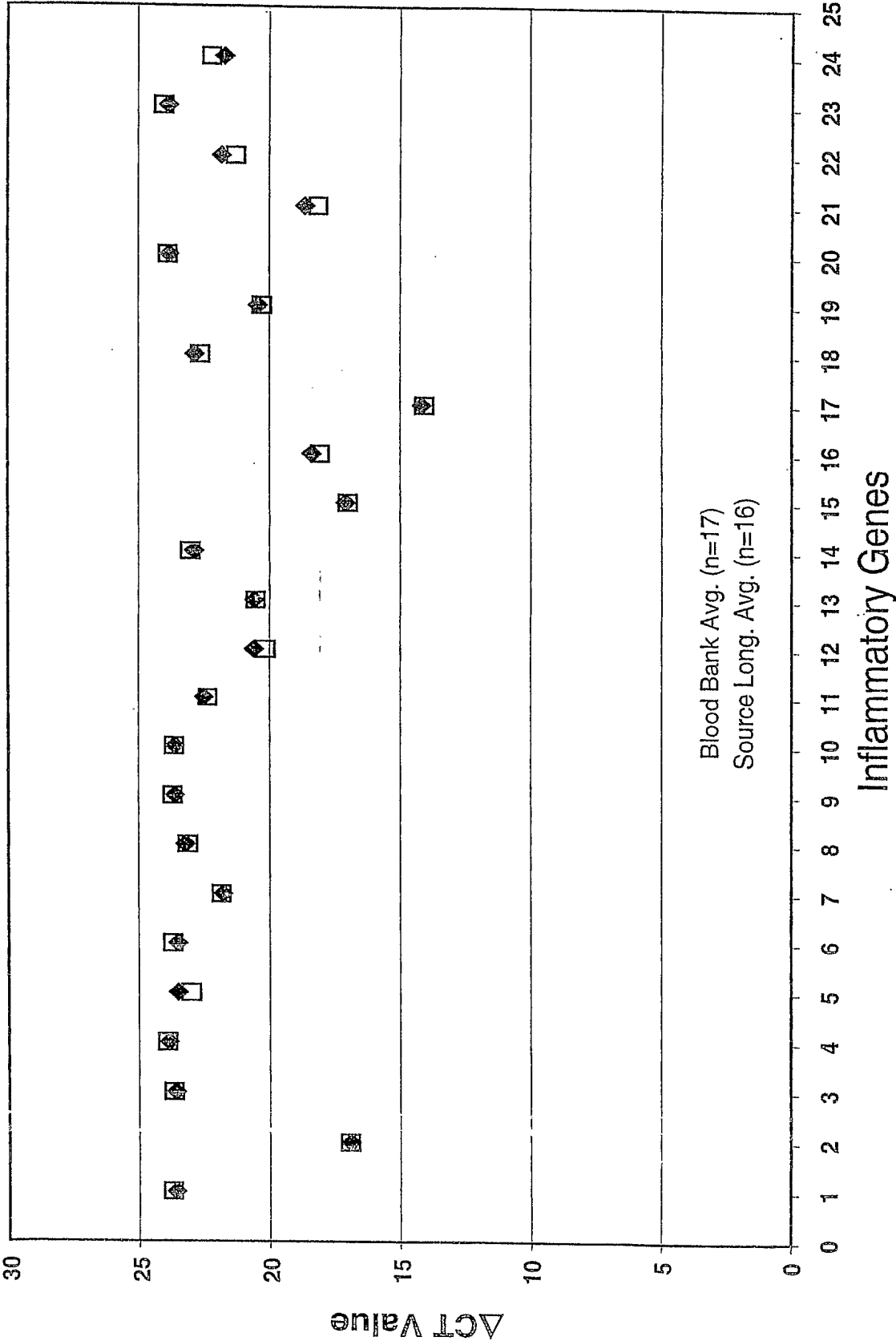
▲ SC017 Undiagnosed Subjects (Normal); AVG  
 ◆ SC005 Unstable Subjects with RA; AVG; visit 1



Target Genes from Inflammation-Gene Expression Panel



Fig. 9



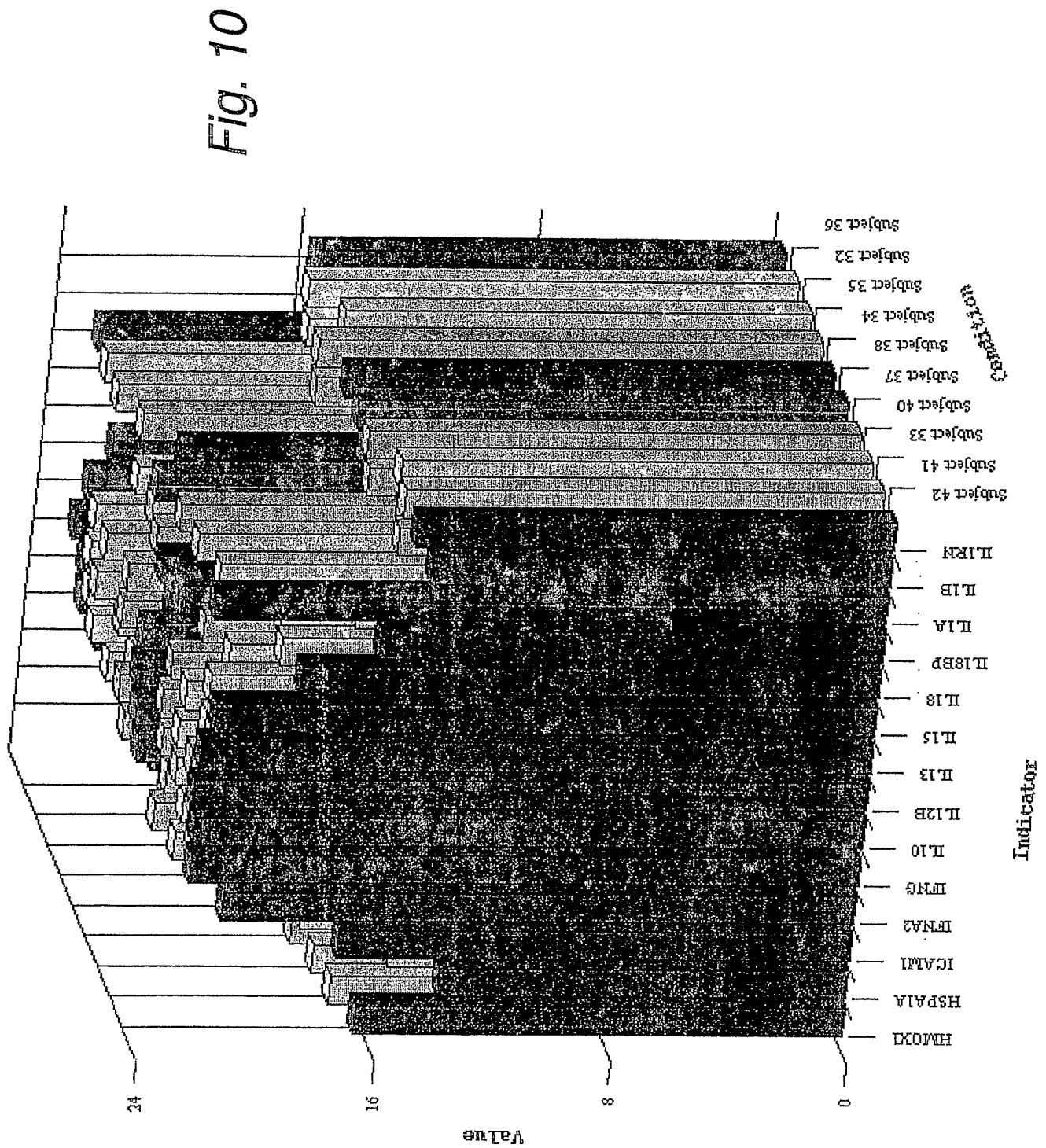


Fig. 11

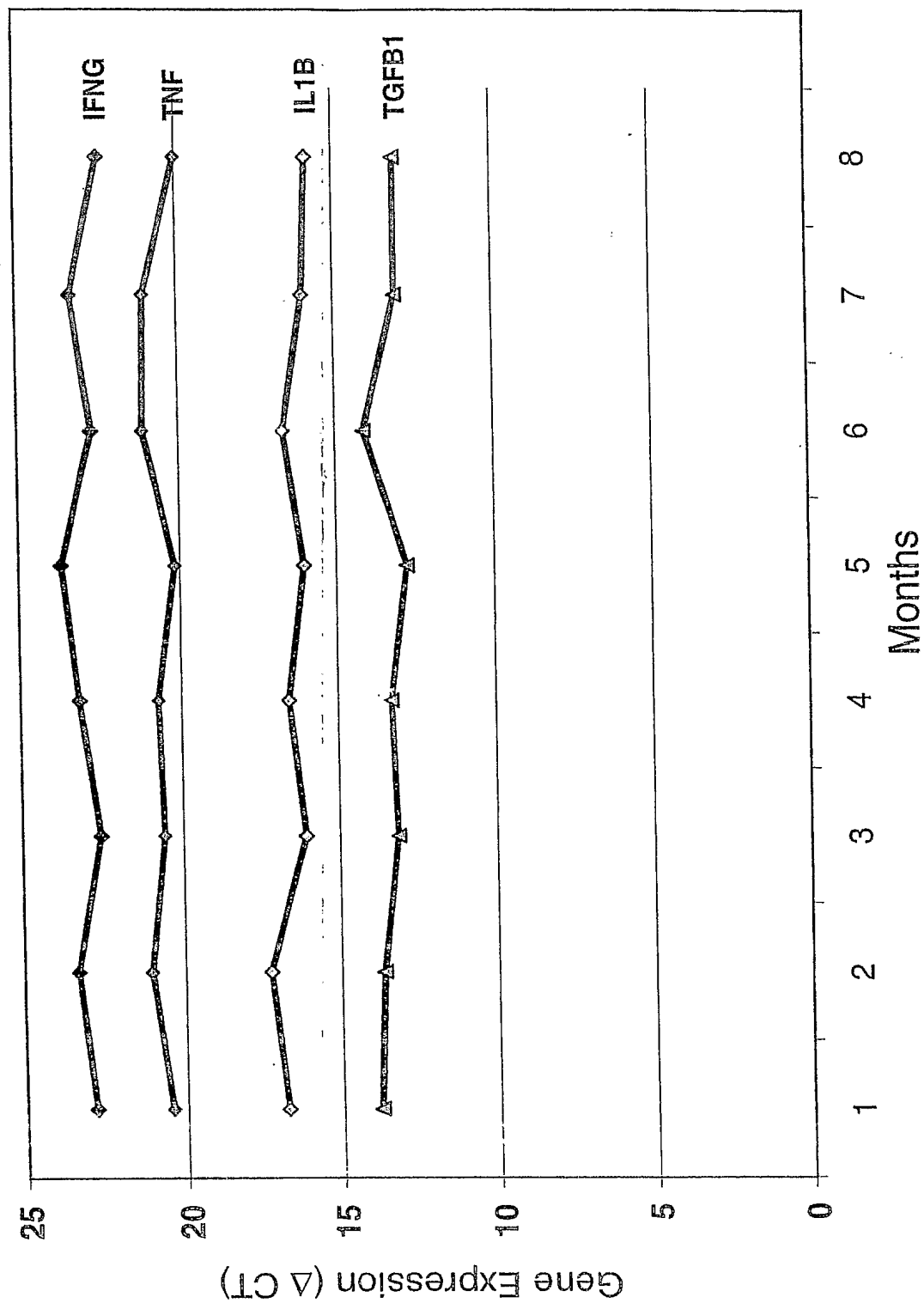


Fig. 12

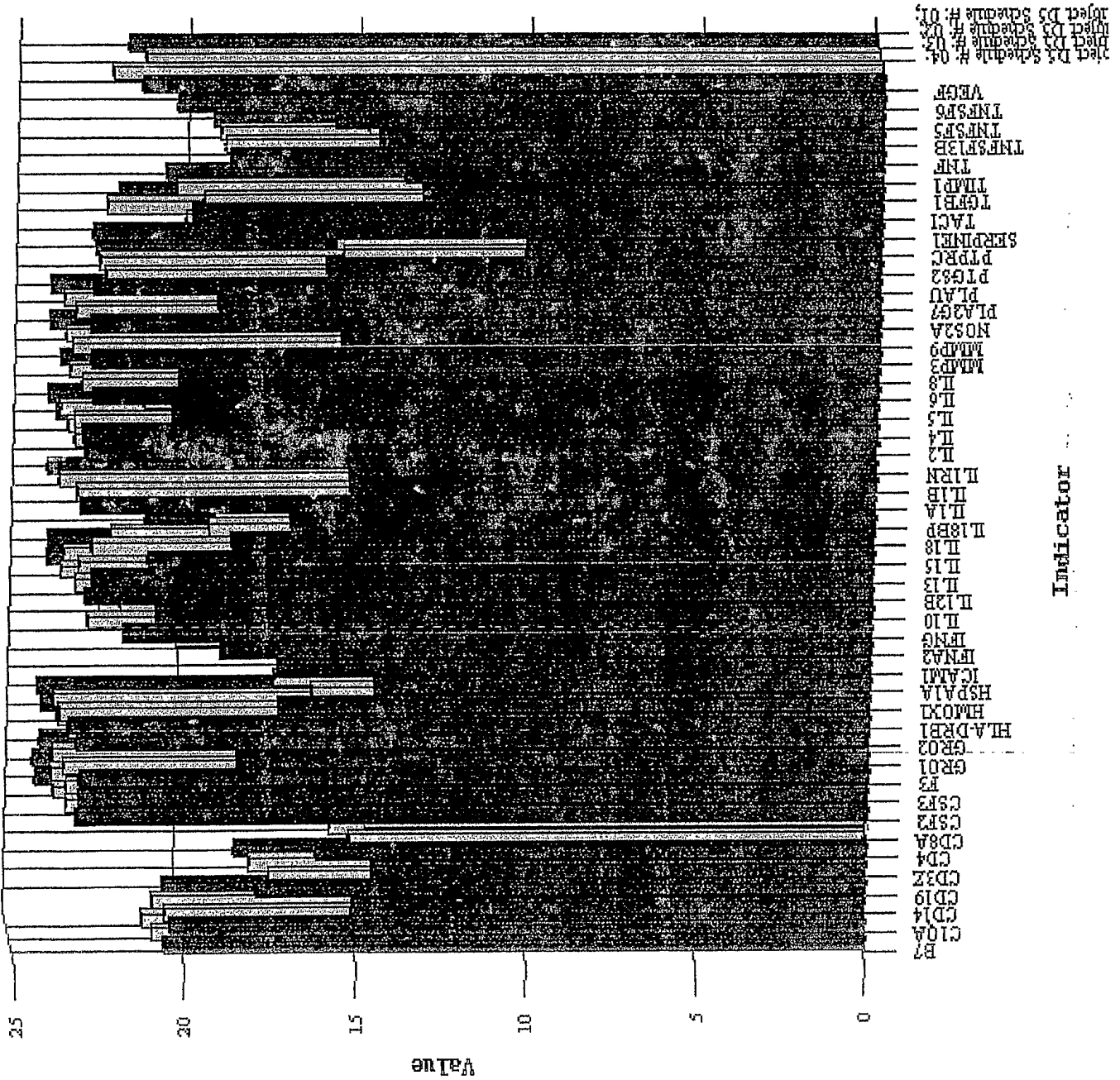


Fig. 13

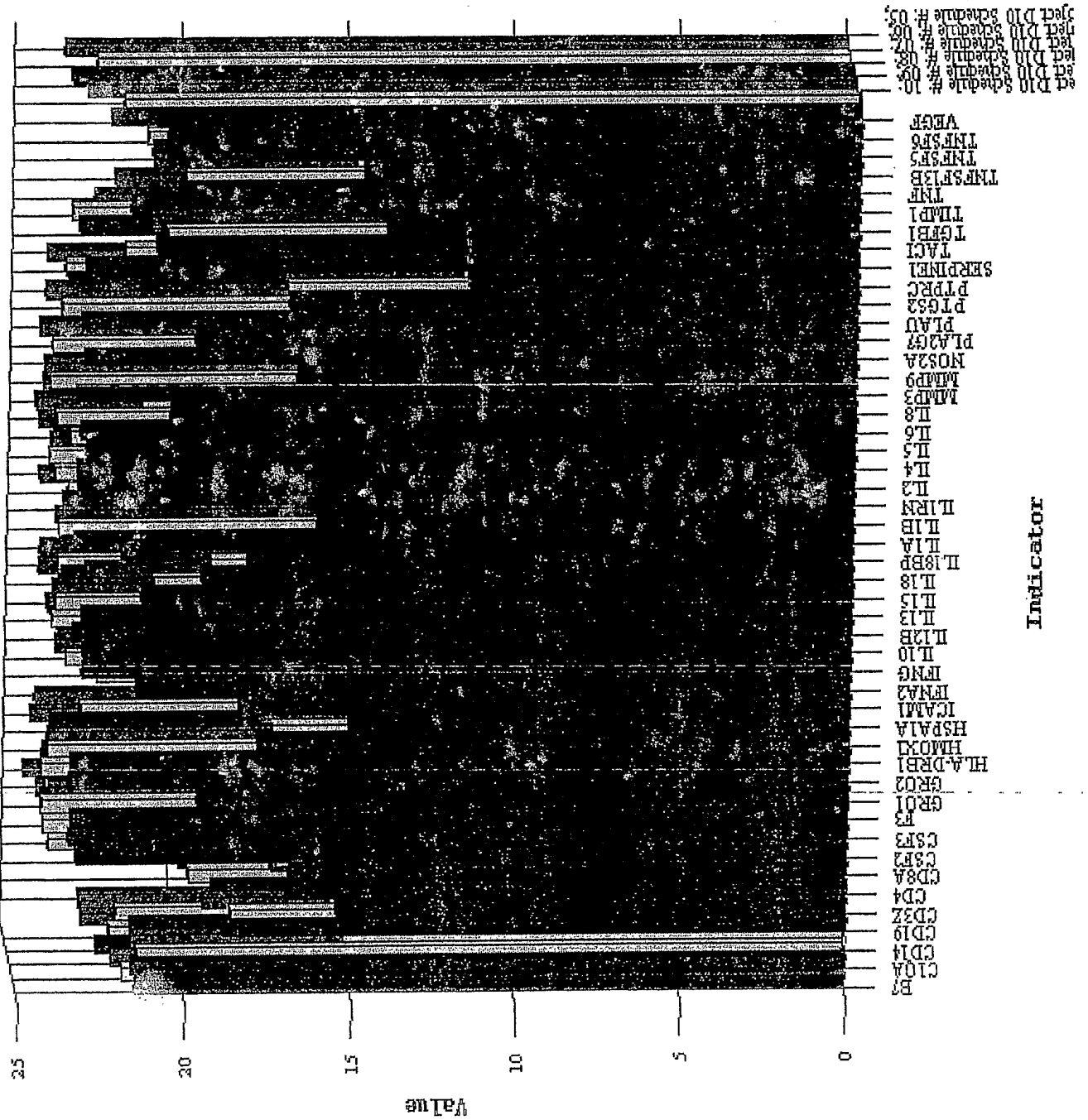


Fig. 14

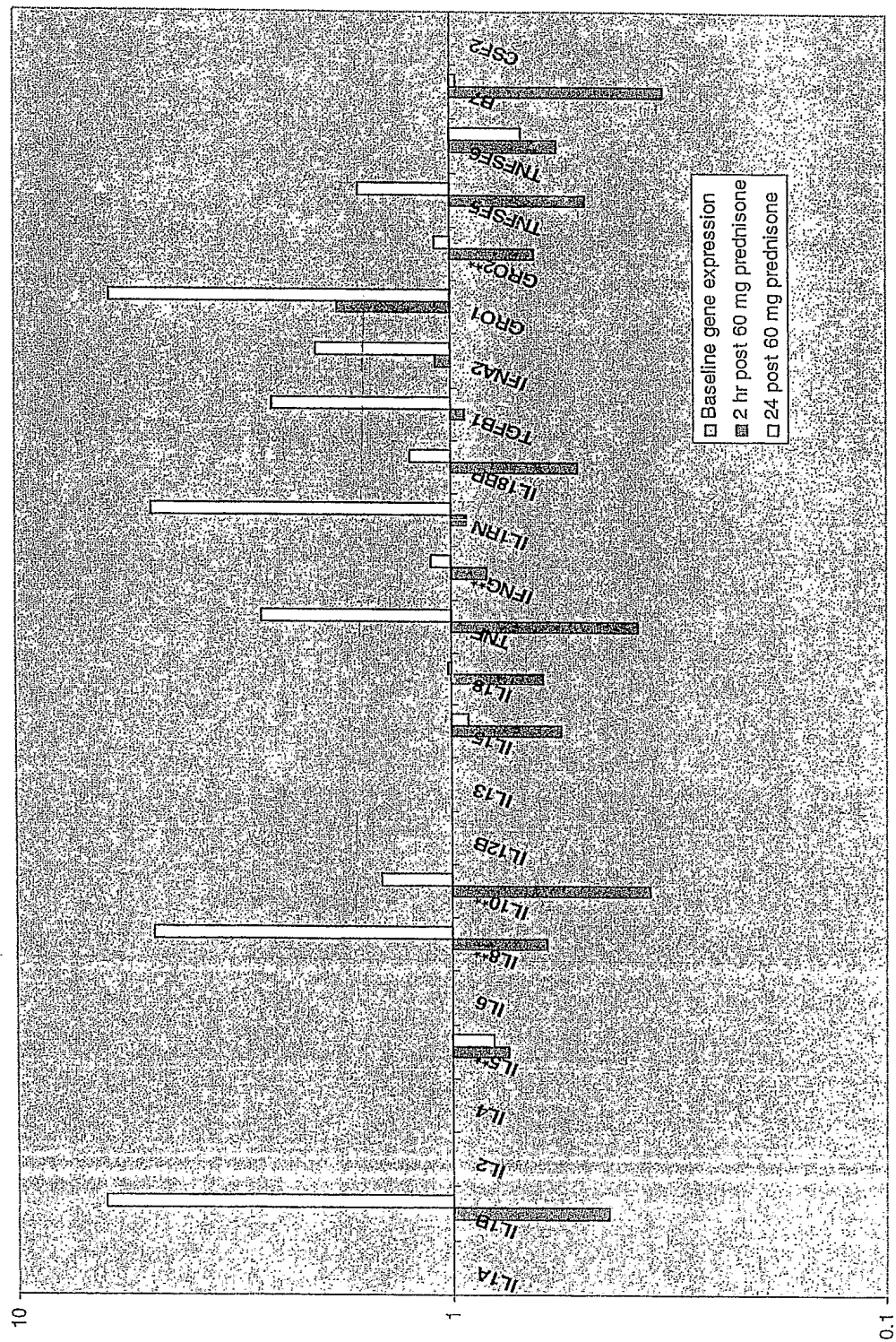


Fig. 15

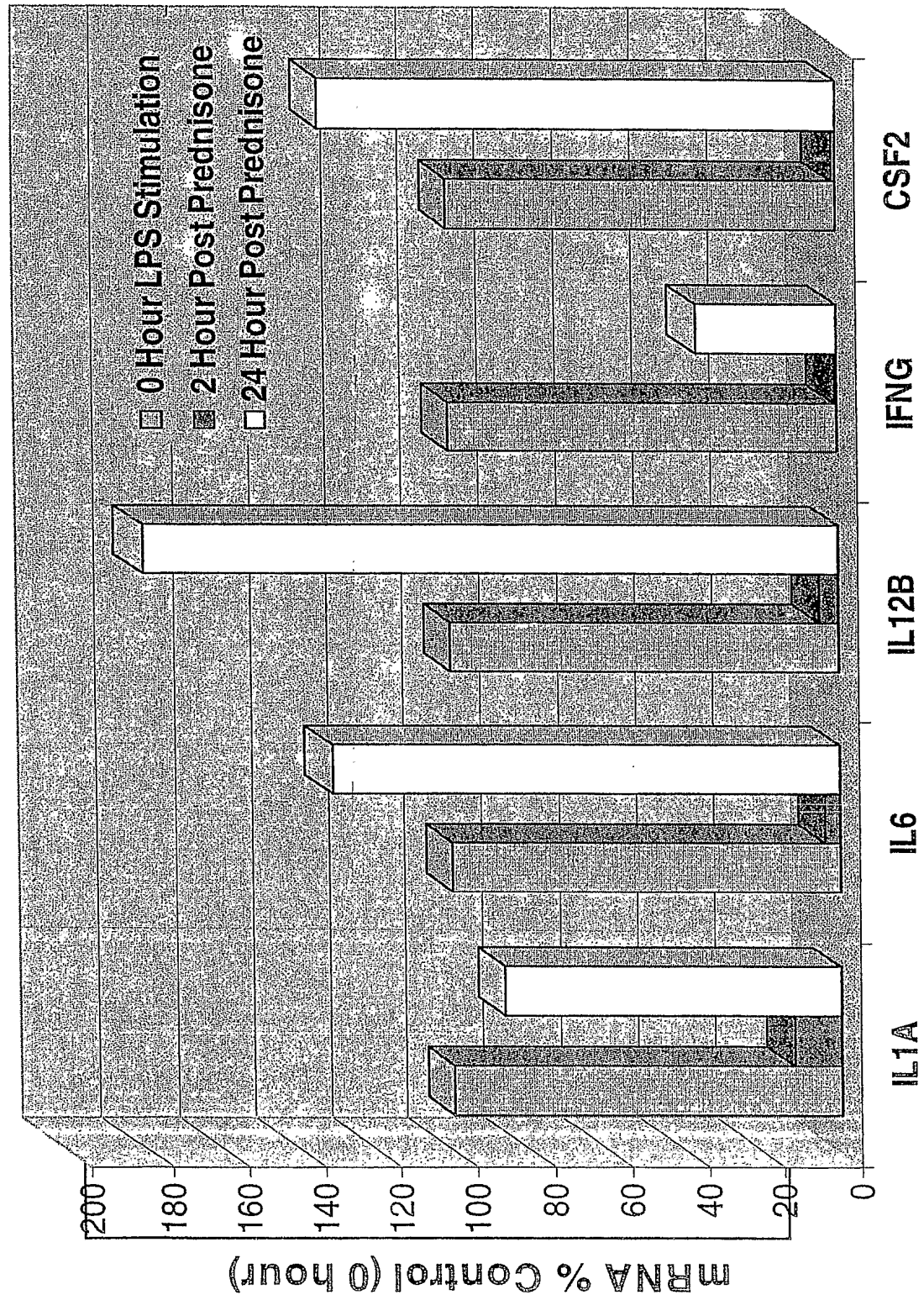




Fig. 16

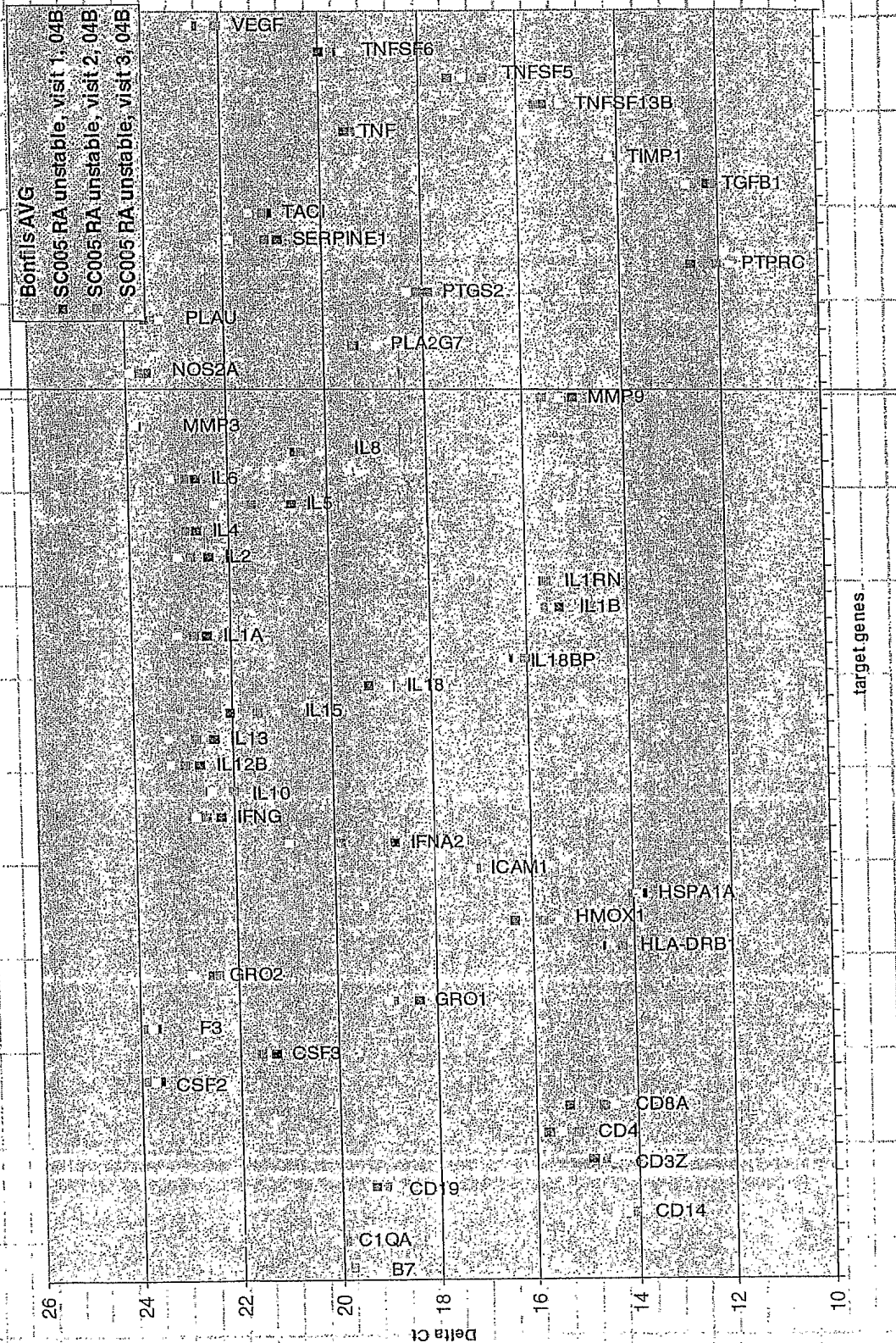




Fig. 17A

Gene Expression In Healthy Subjects Is Consistent

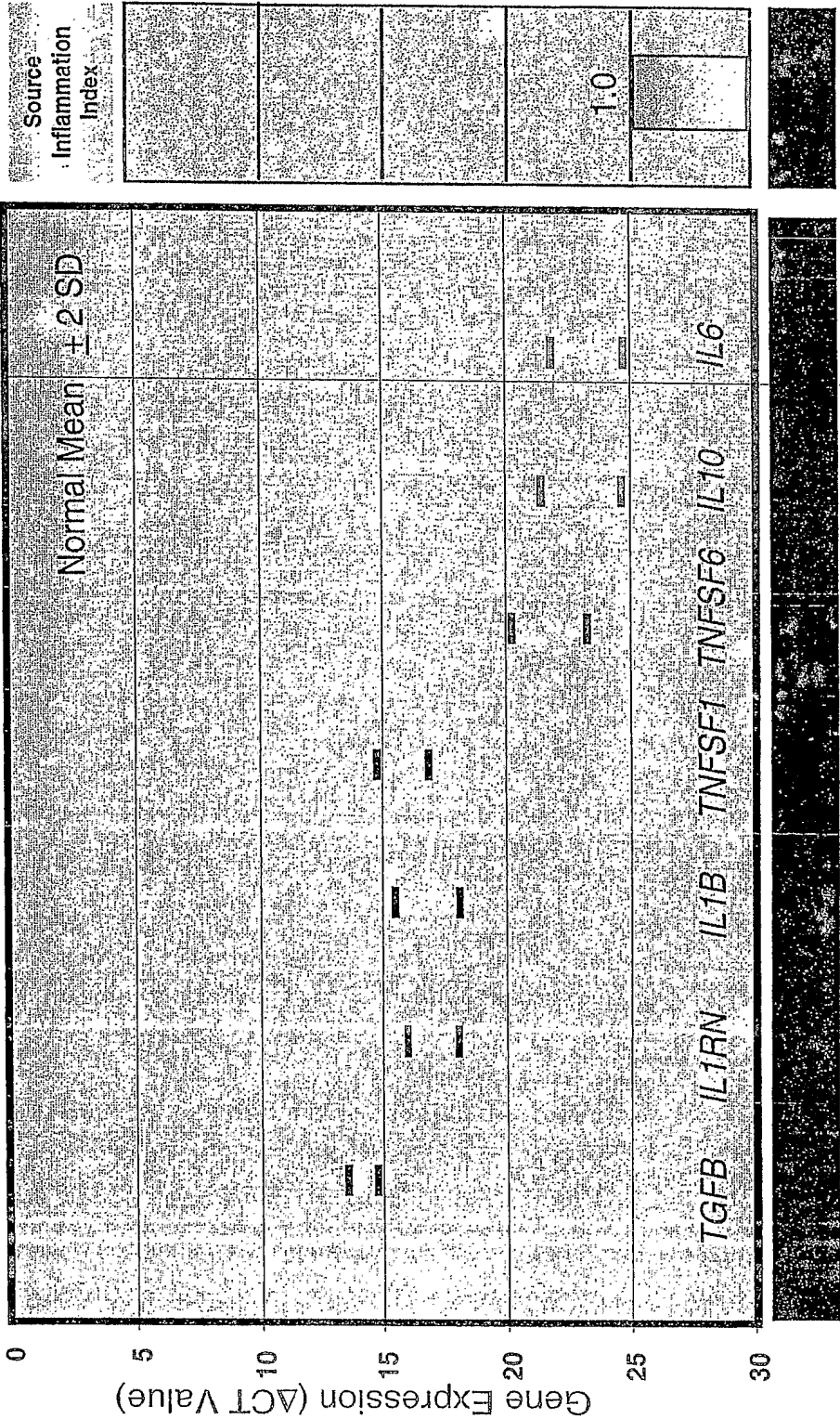


Fig. 17B

X-1-IR-105  
Mean =  $0.2966 \pm 2.9829$   
Median = 0.3477

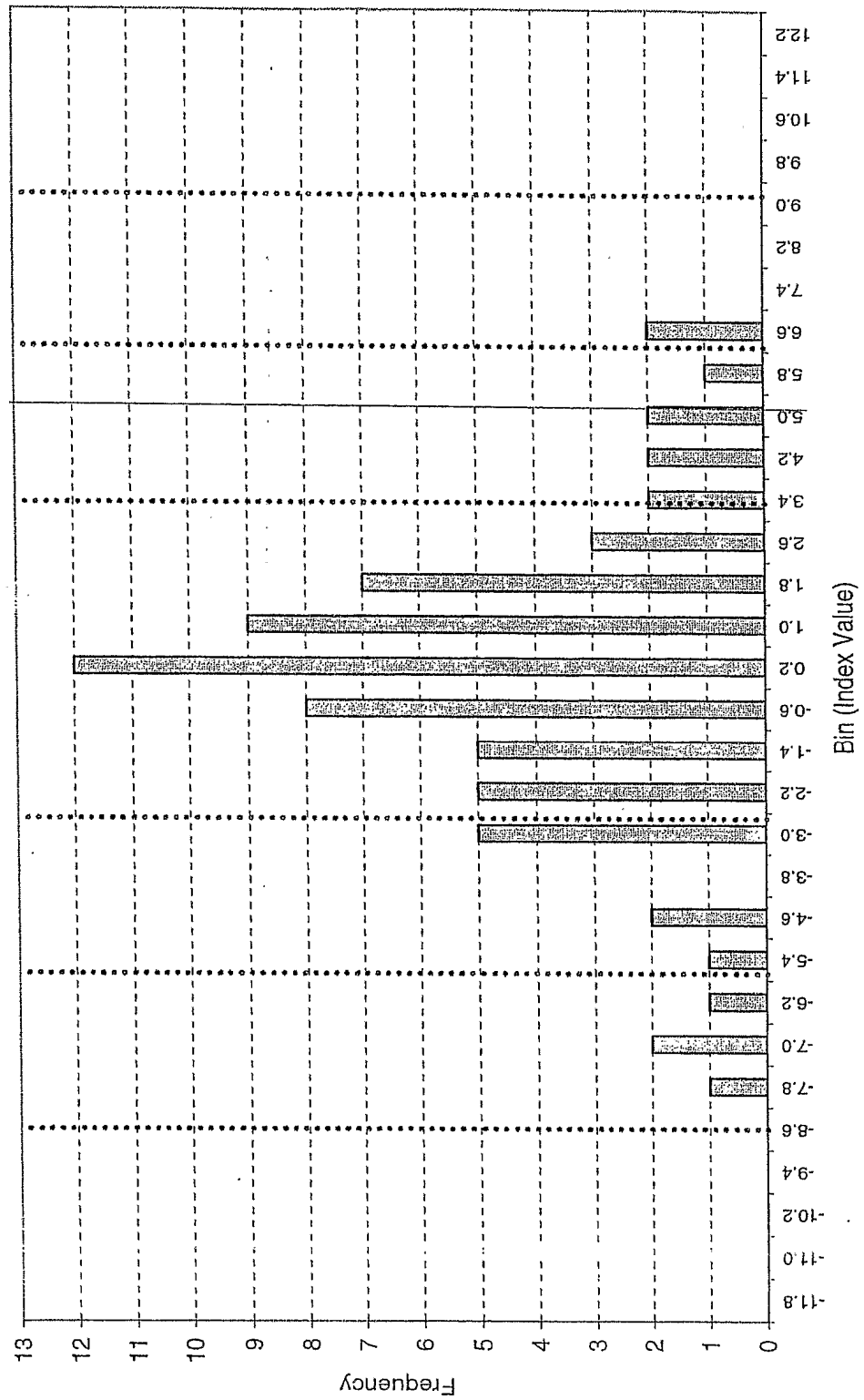


Fig. 17C  
Algorithm IR-105; where 0 = median

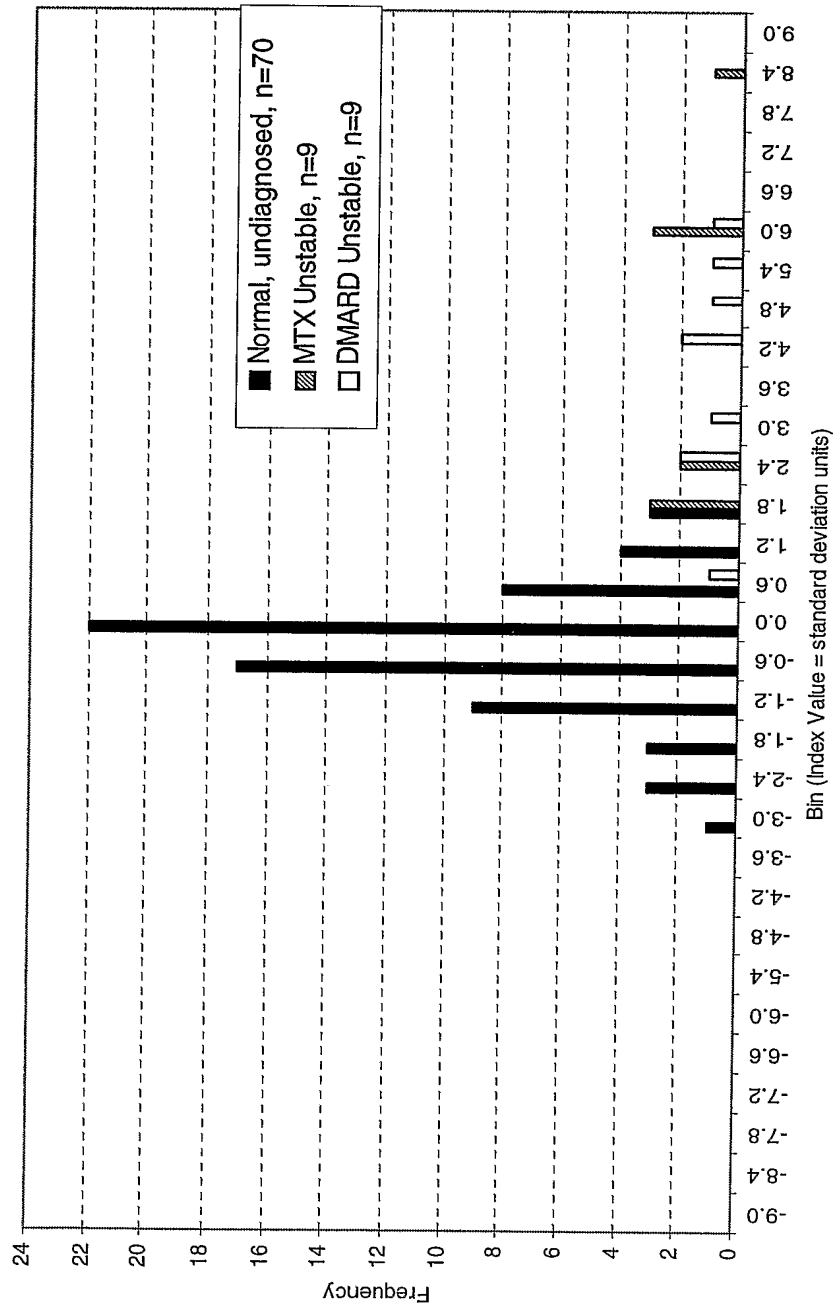


Fig. 18

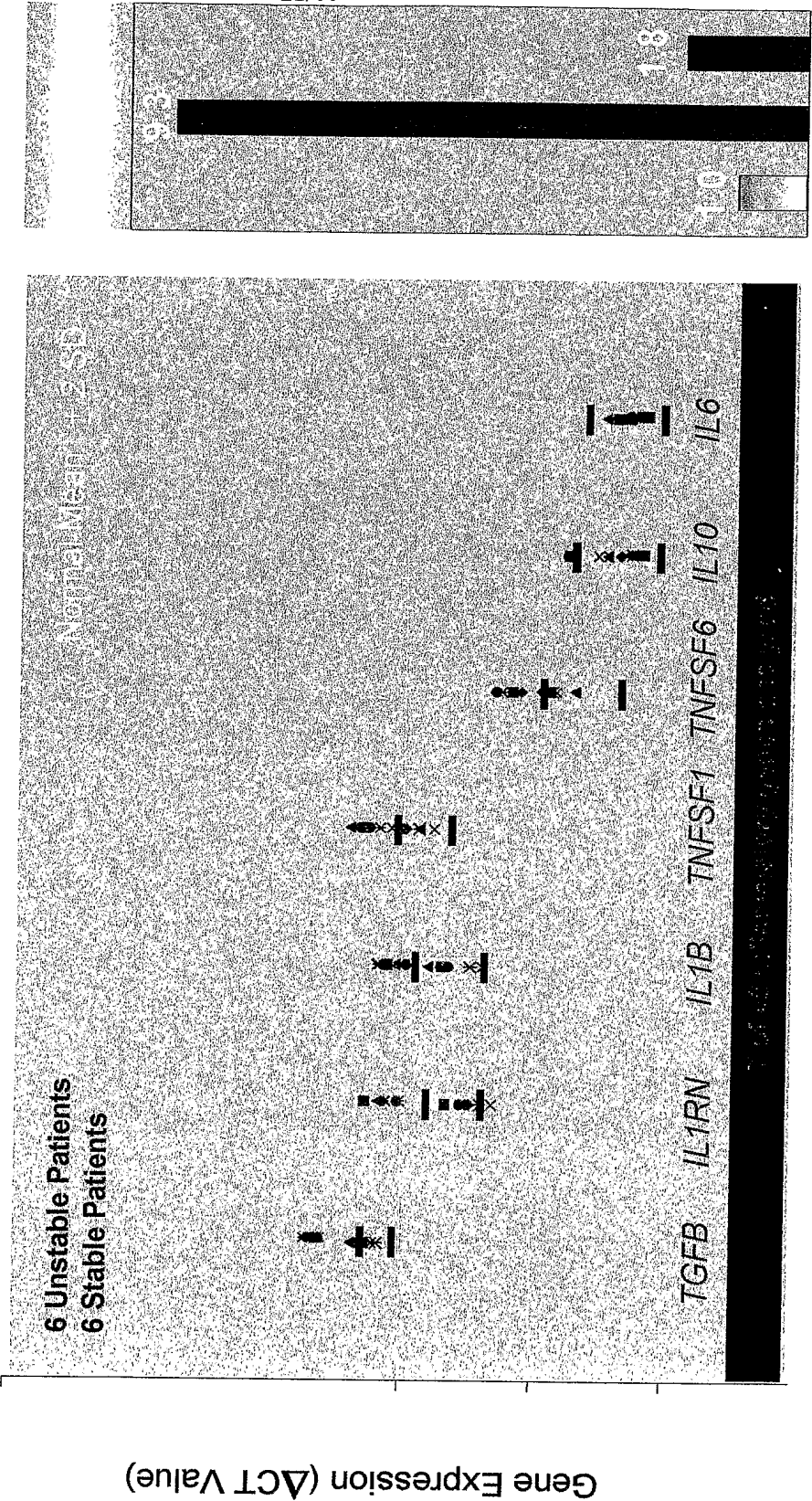


Fig. 19

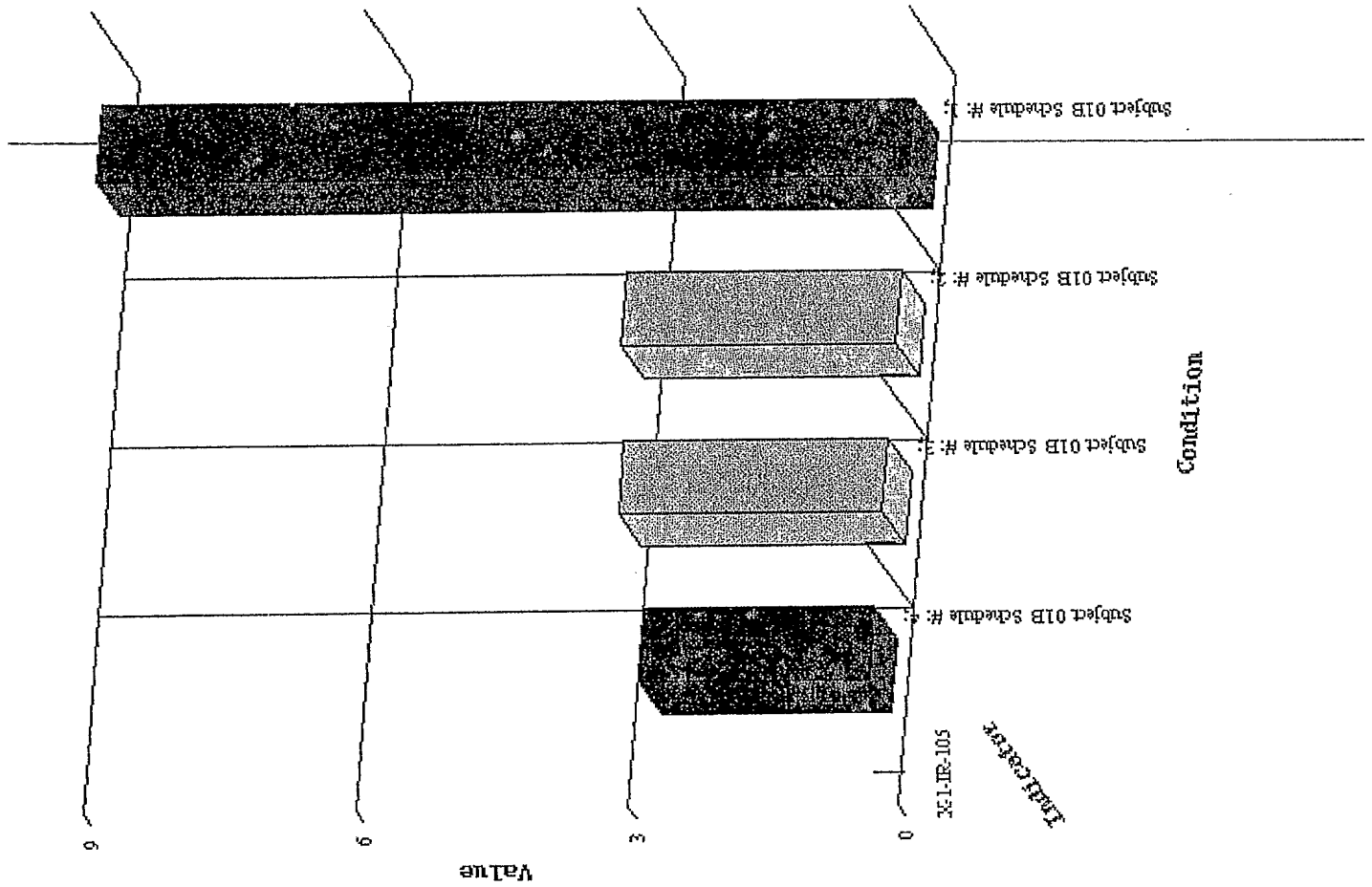


Fig. 20

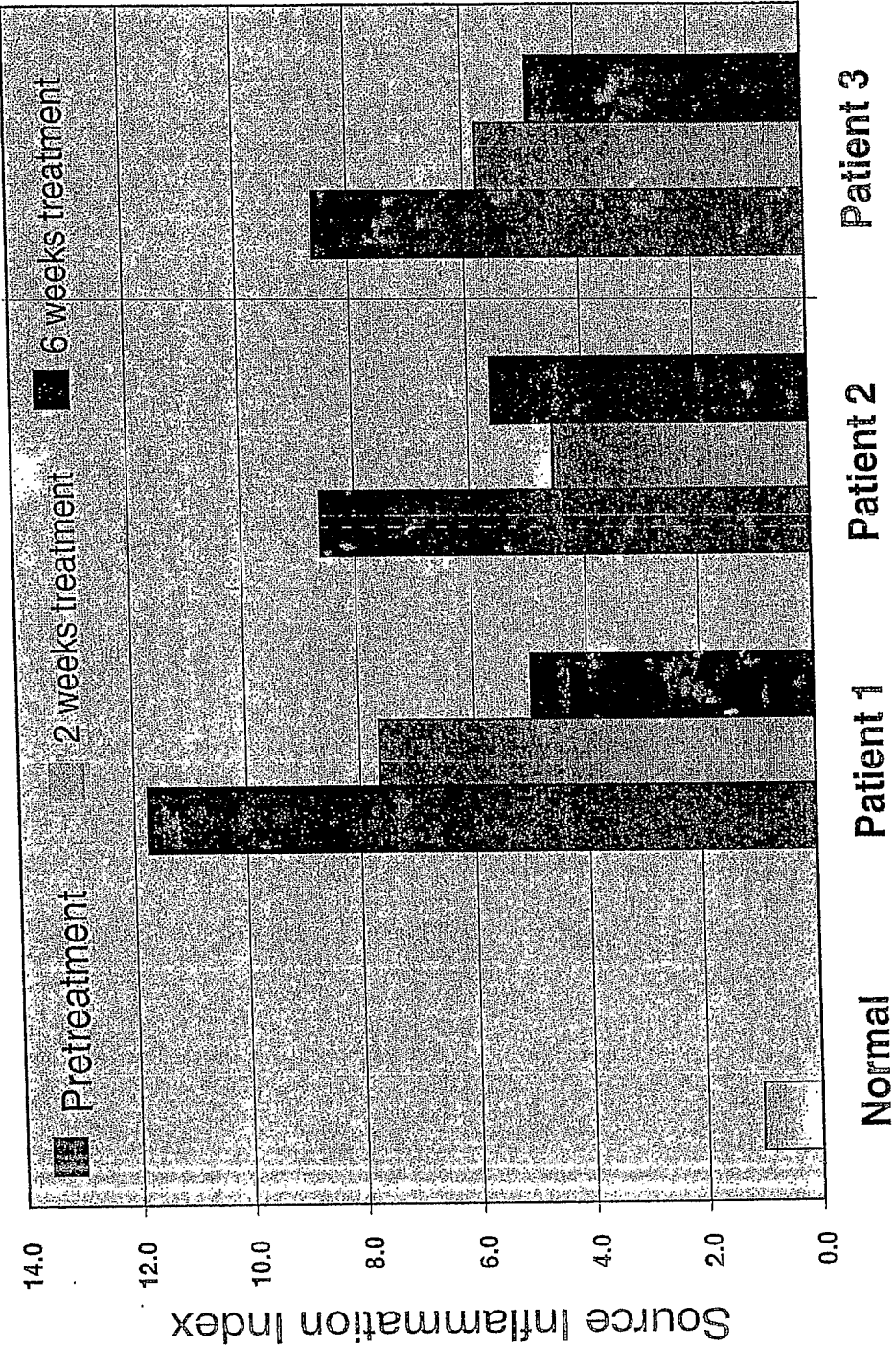


Fig. 21

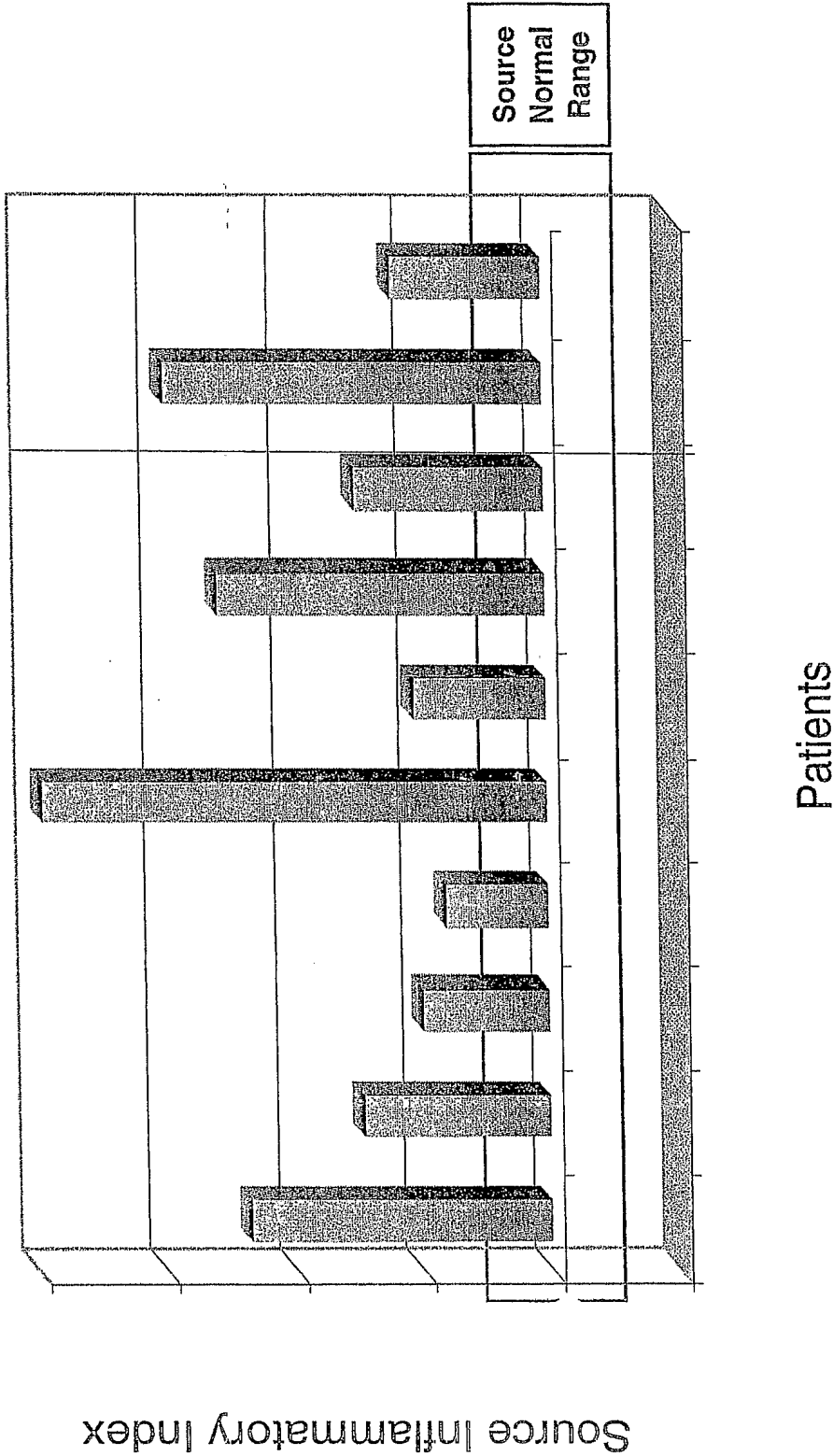


Fig. 22

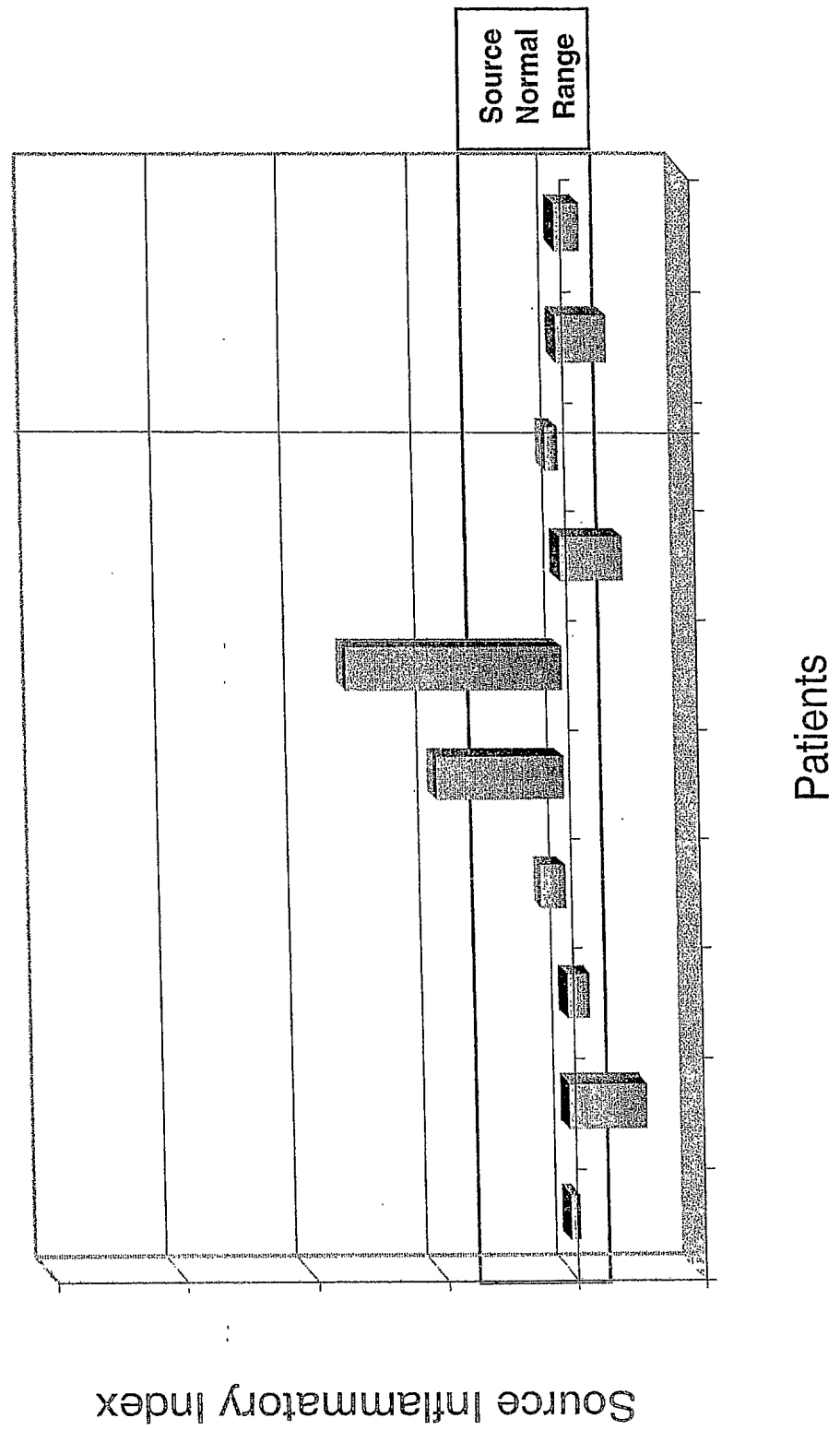




Fig. 23

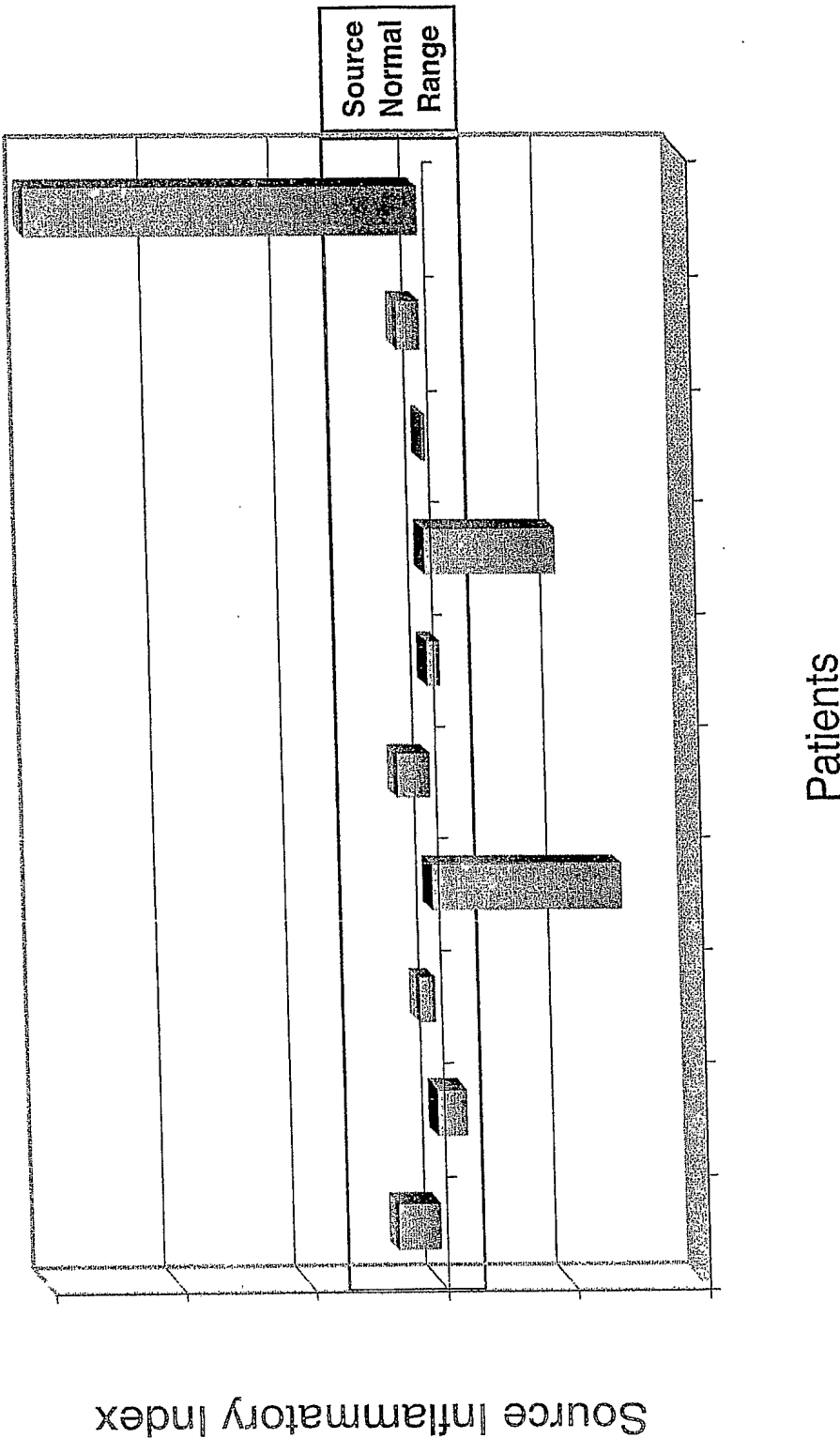


Fig. 24

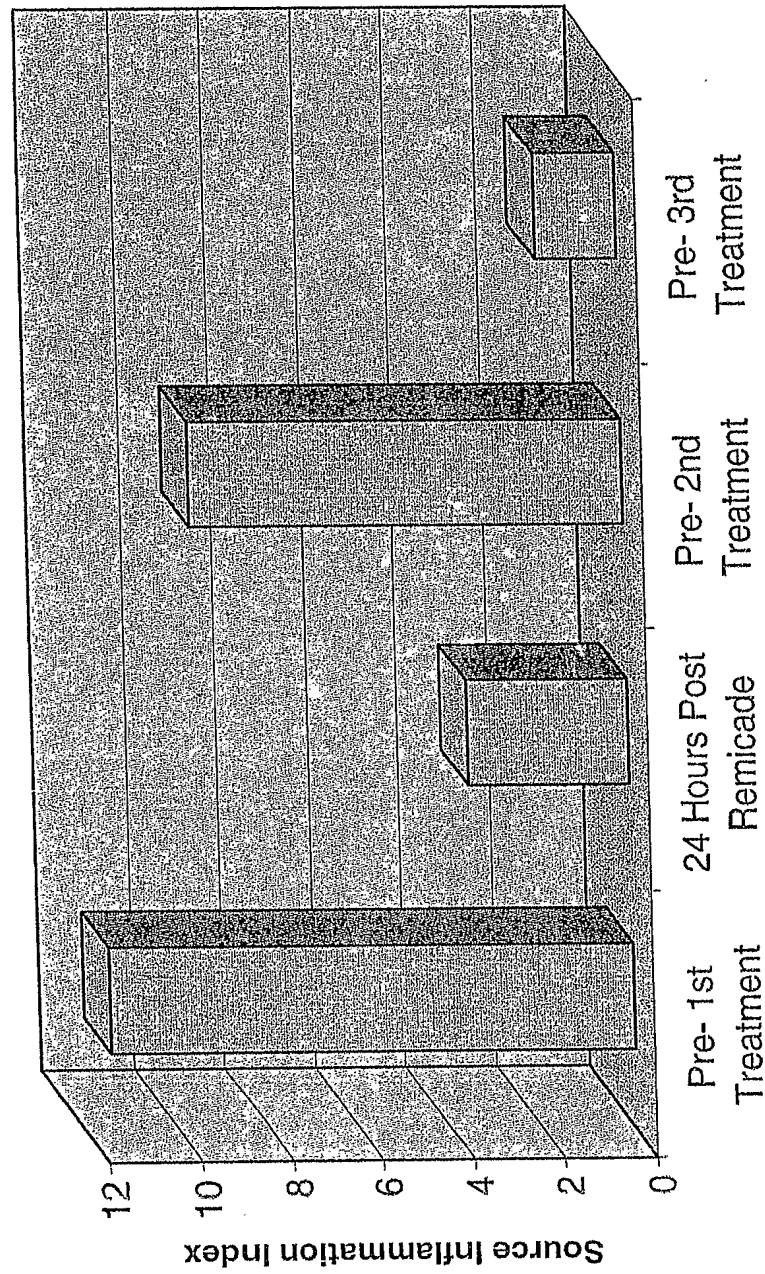


Fig. 25

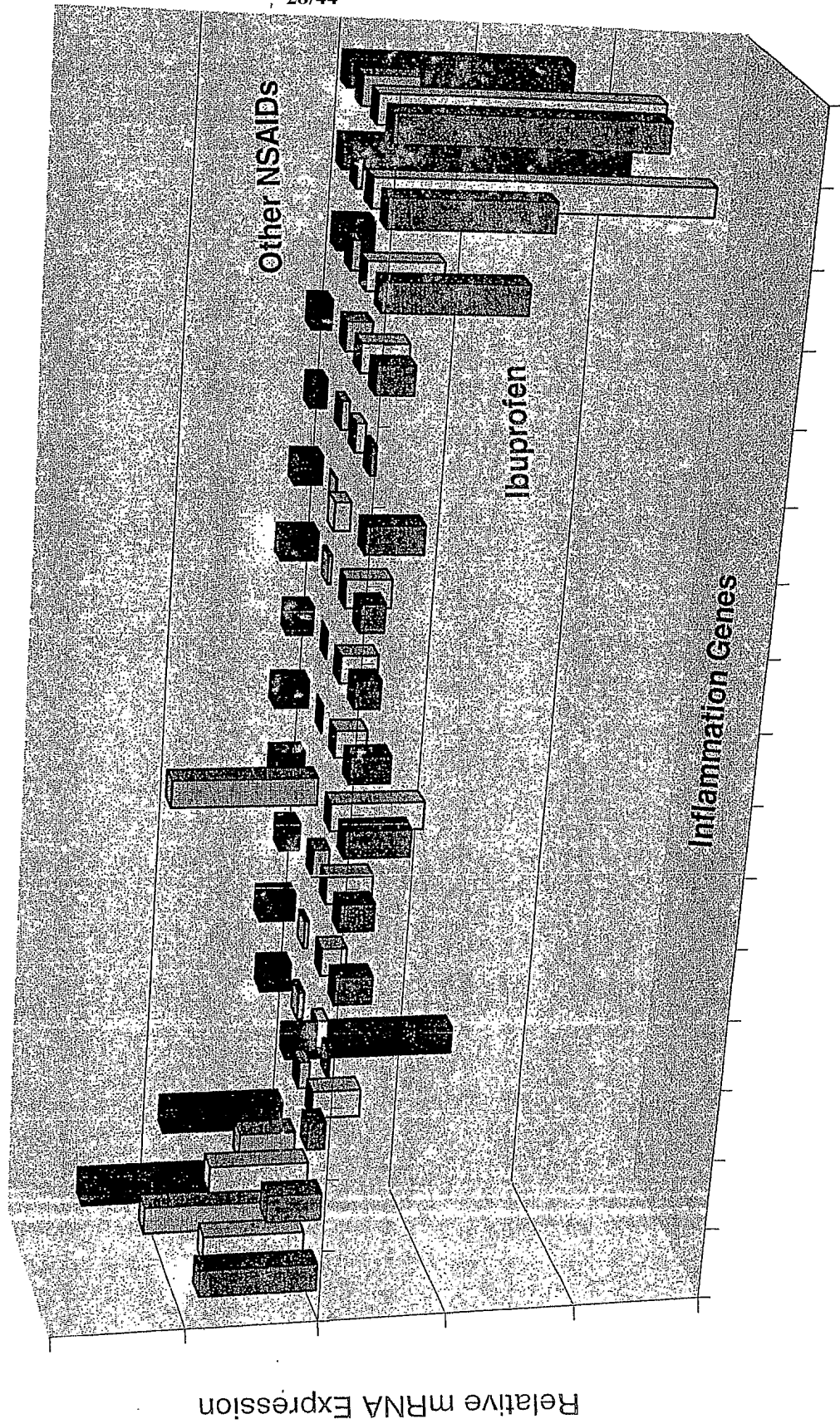


Fig. 26

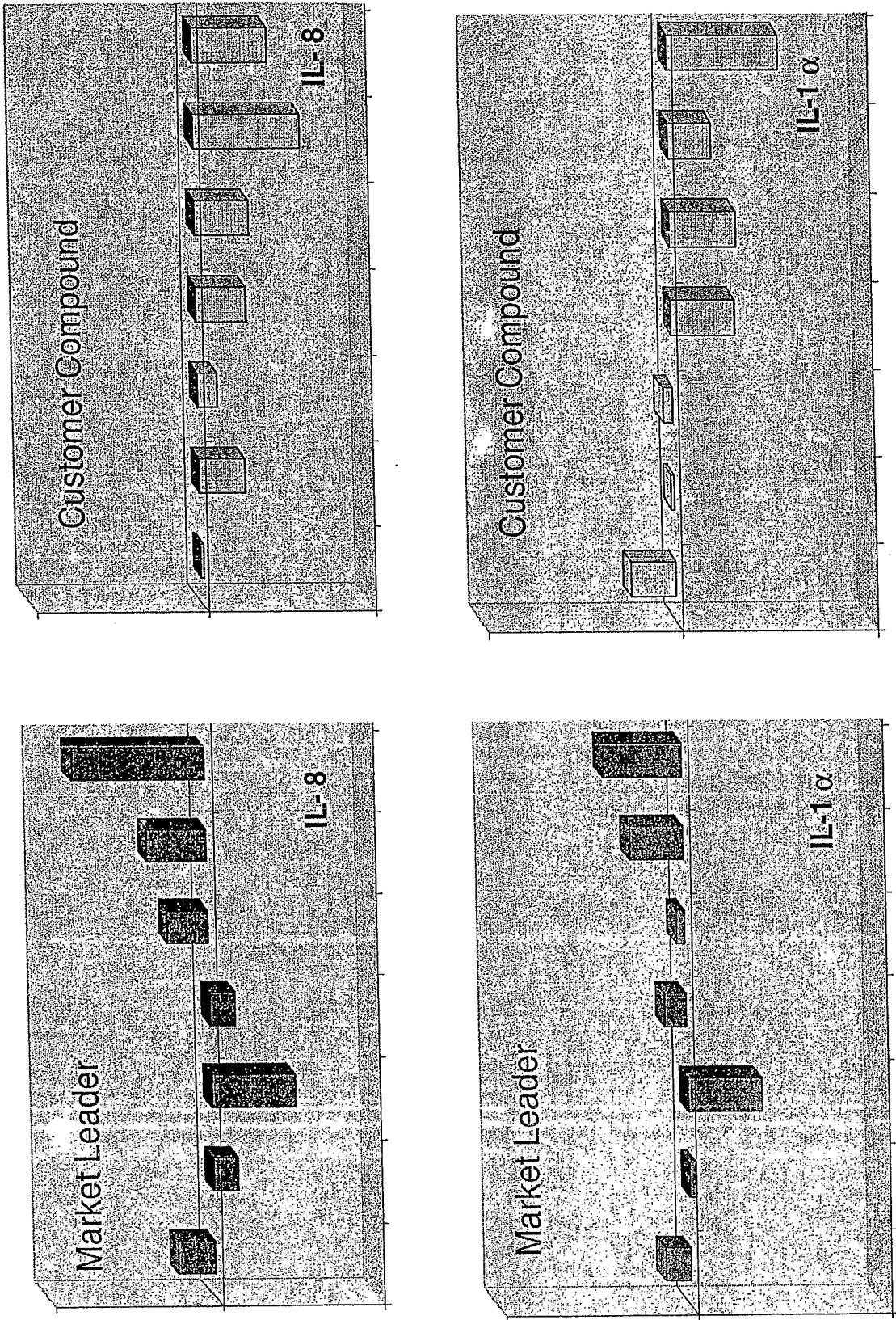


Fig. 27

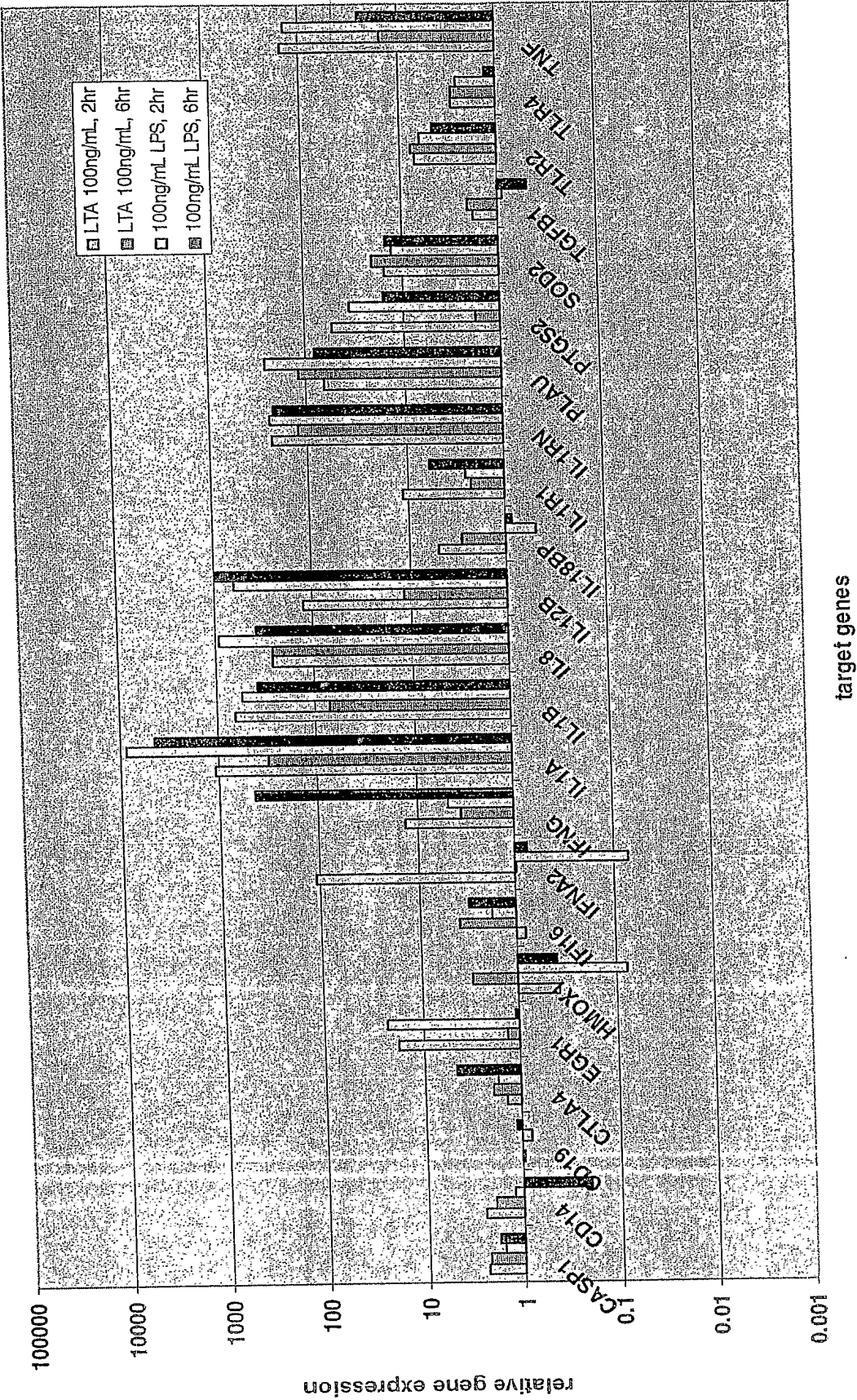




Fig. 28

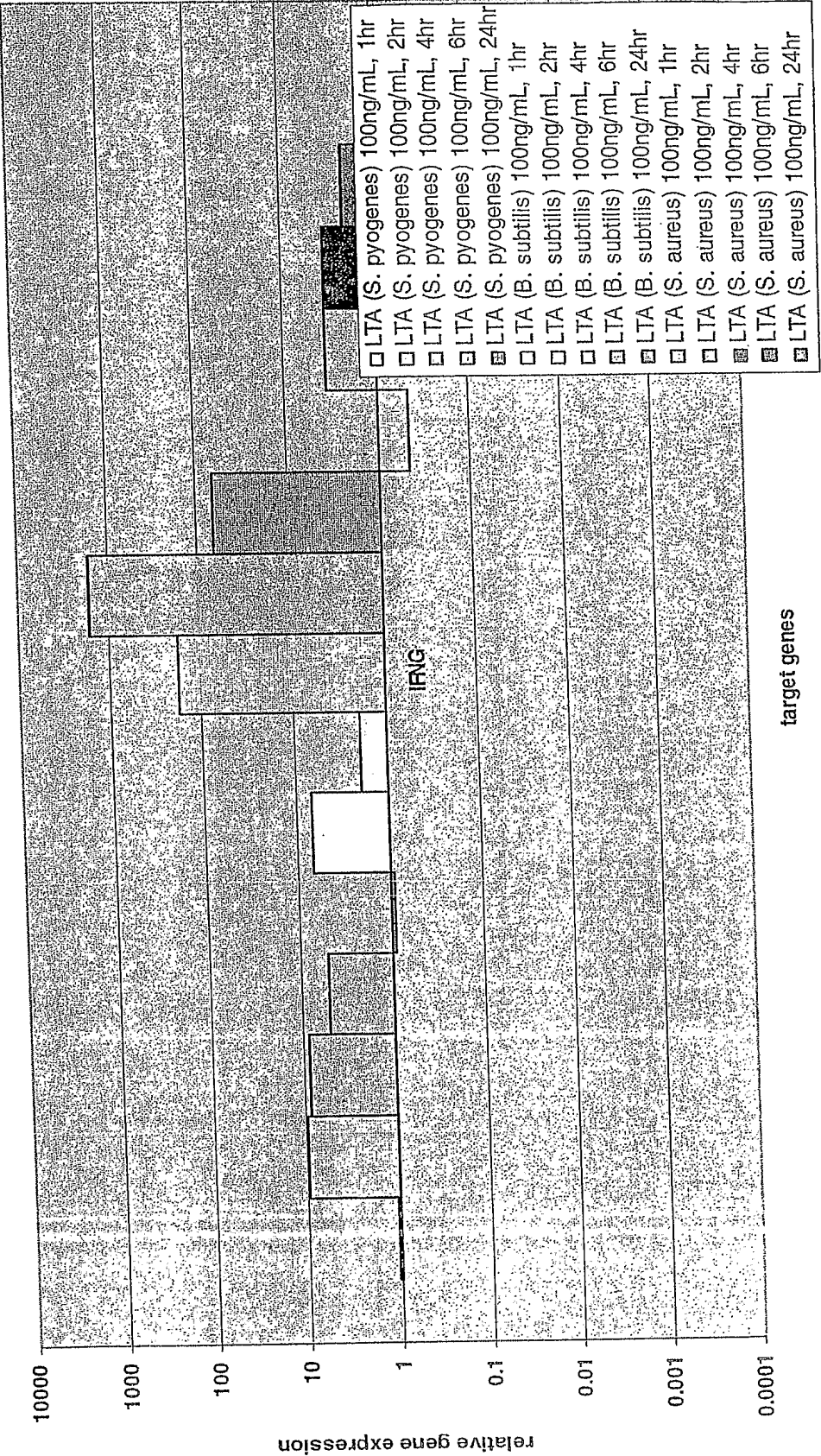


Fig. 29

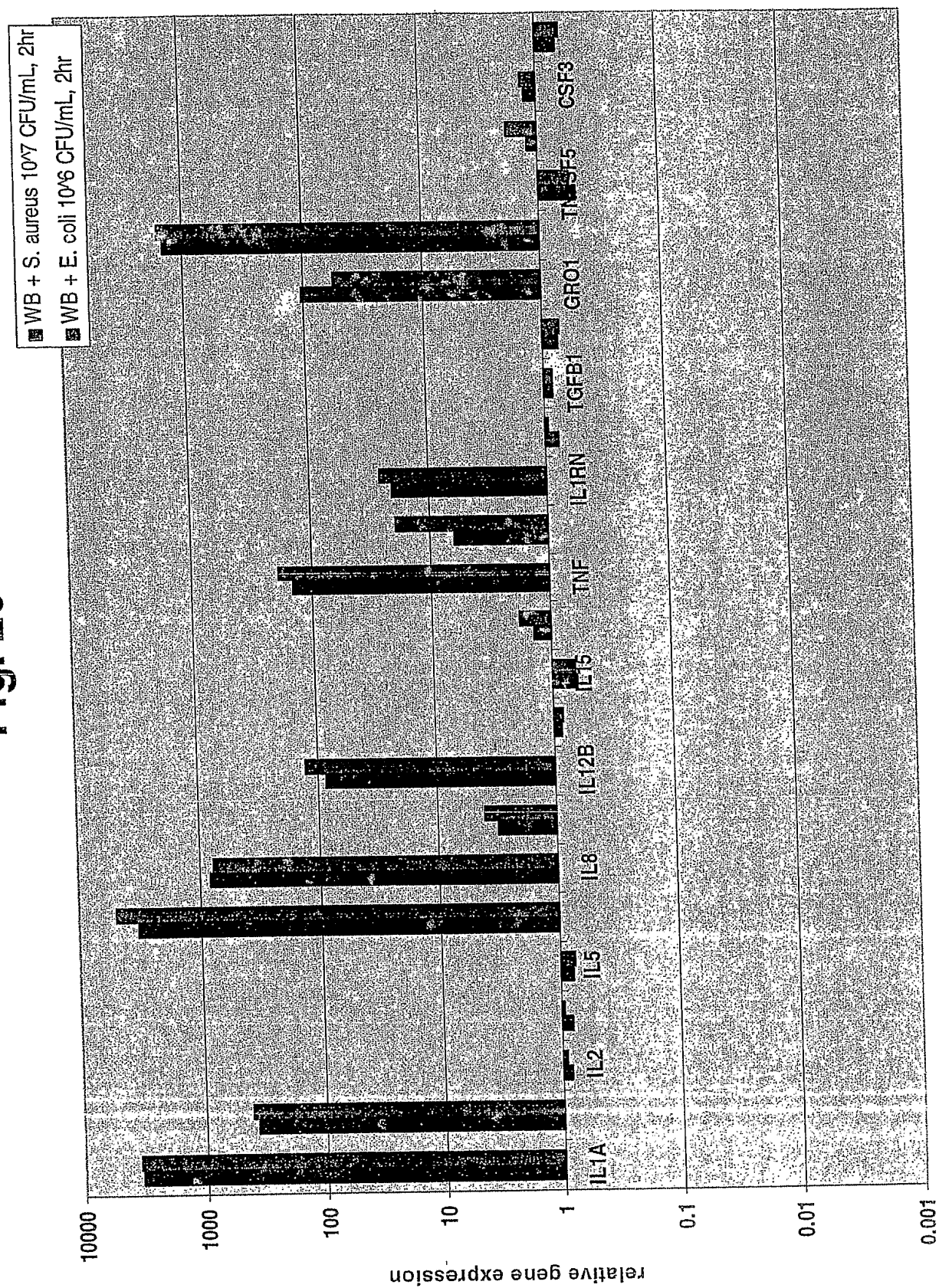


Fig. 30

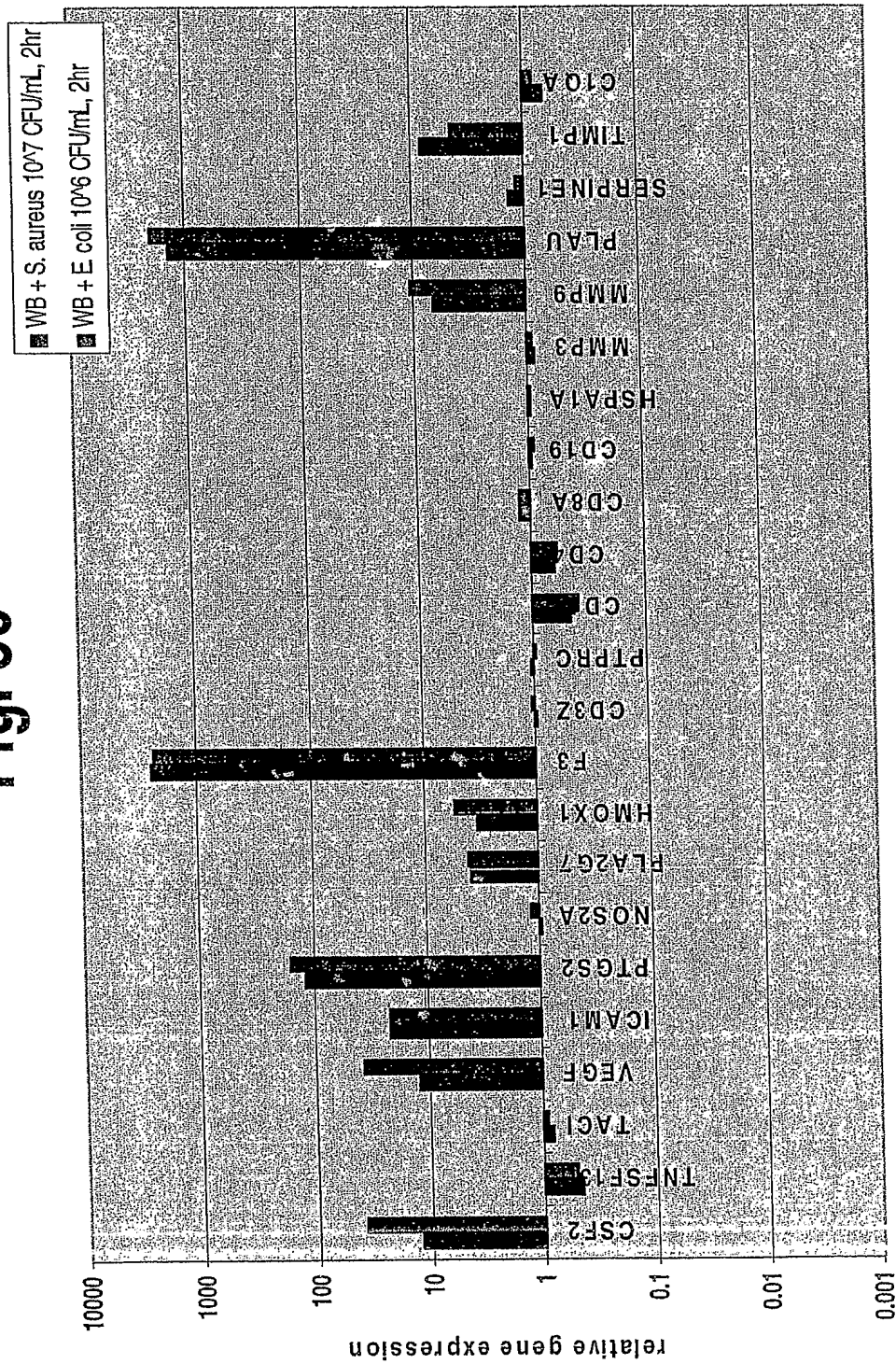




Fig. 31

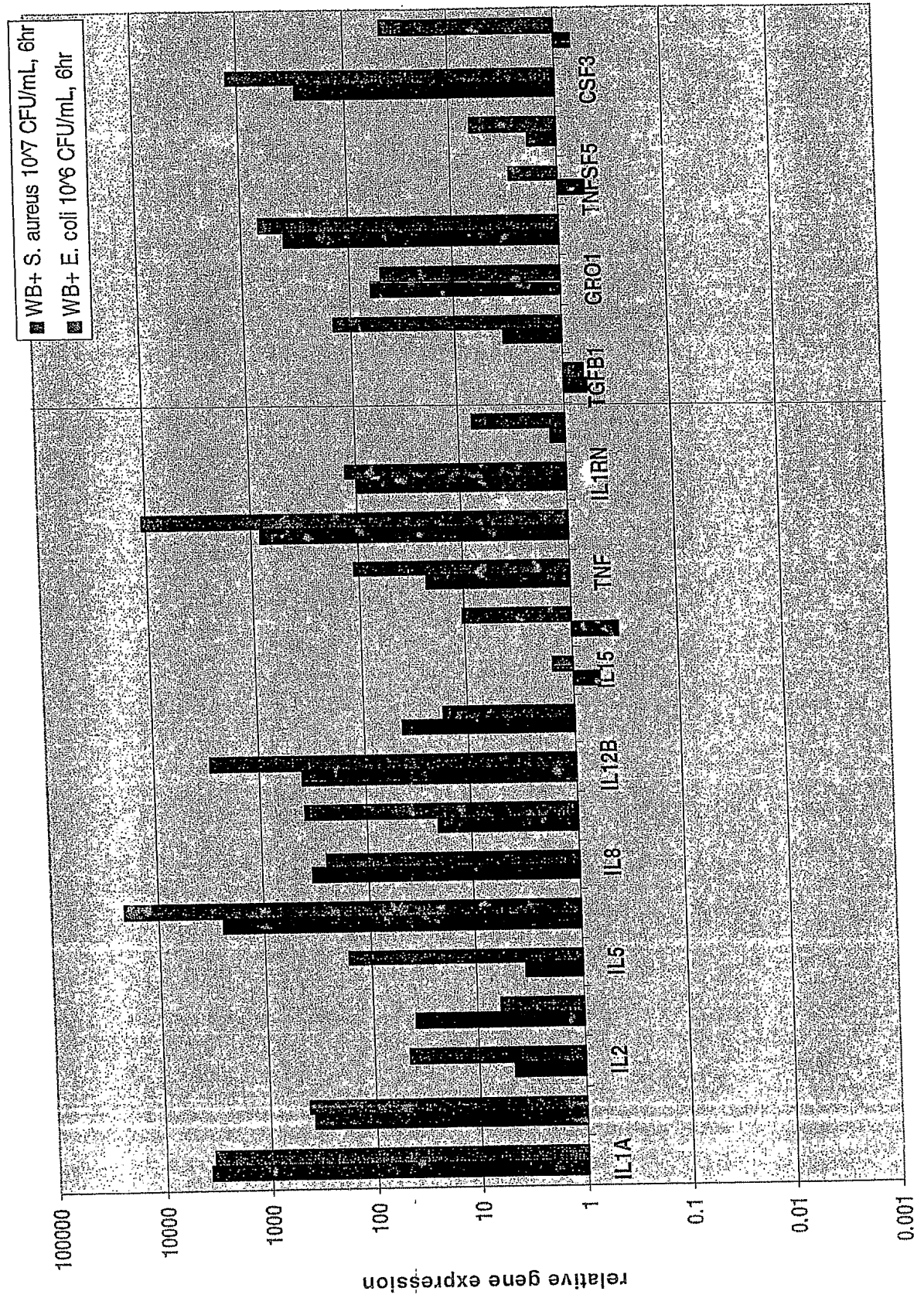


Fig. 32

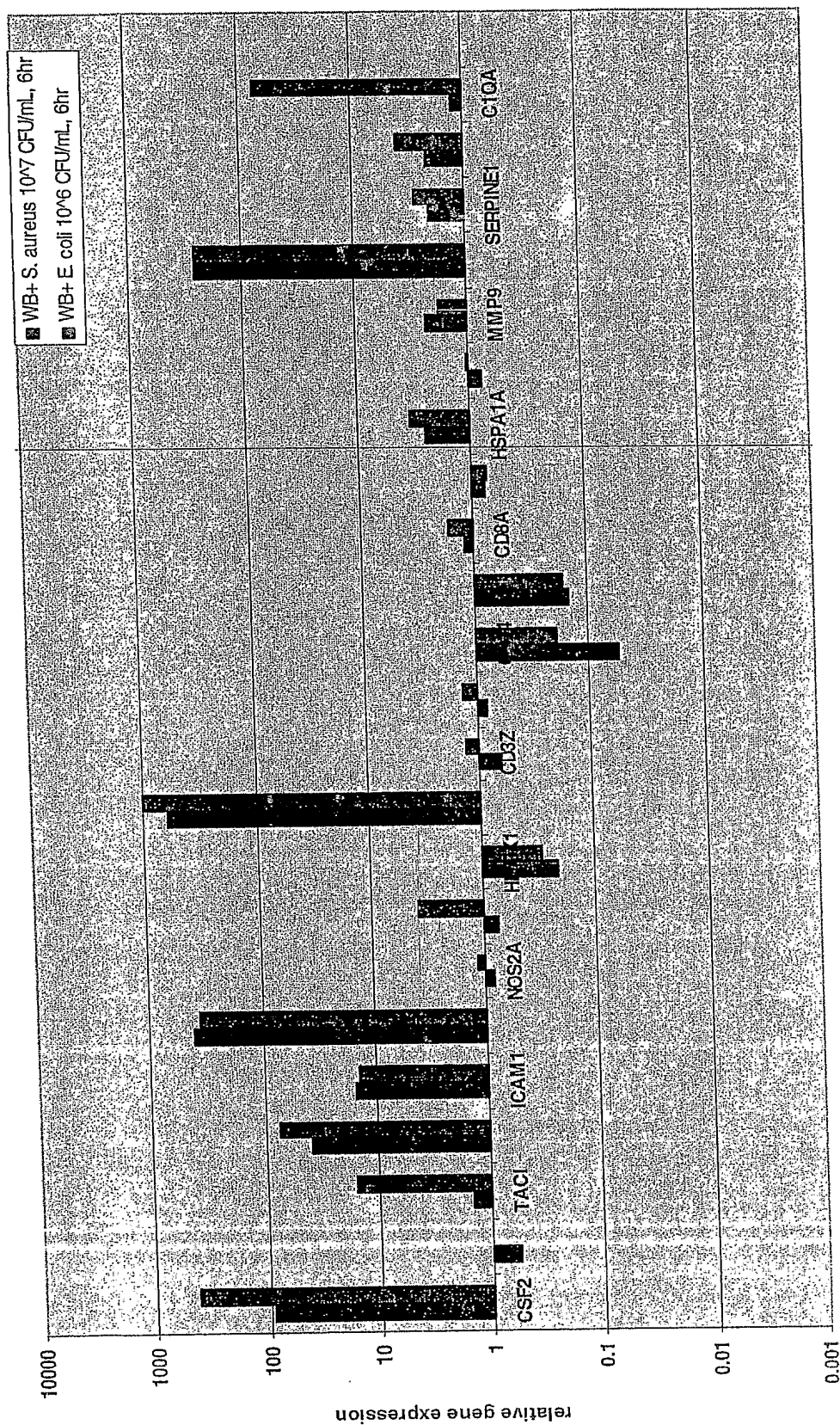


Fig. 33

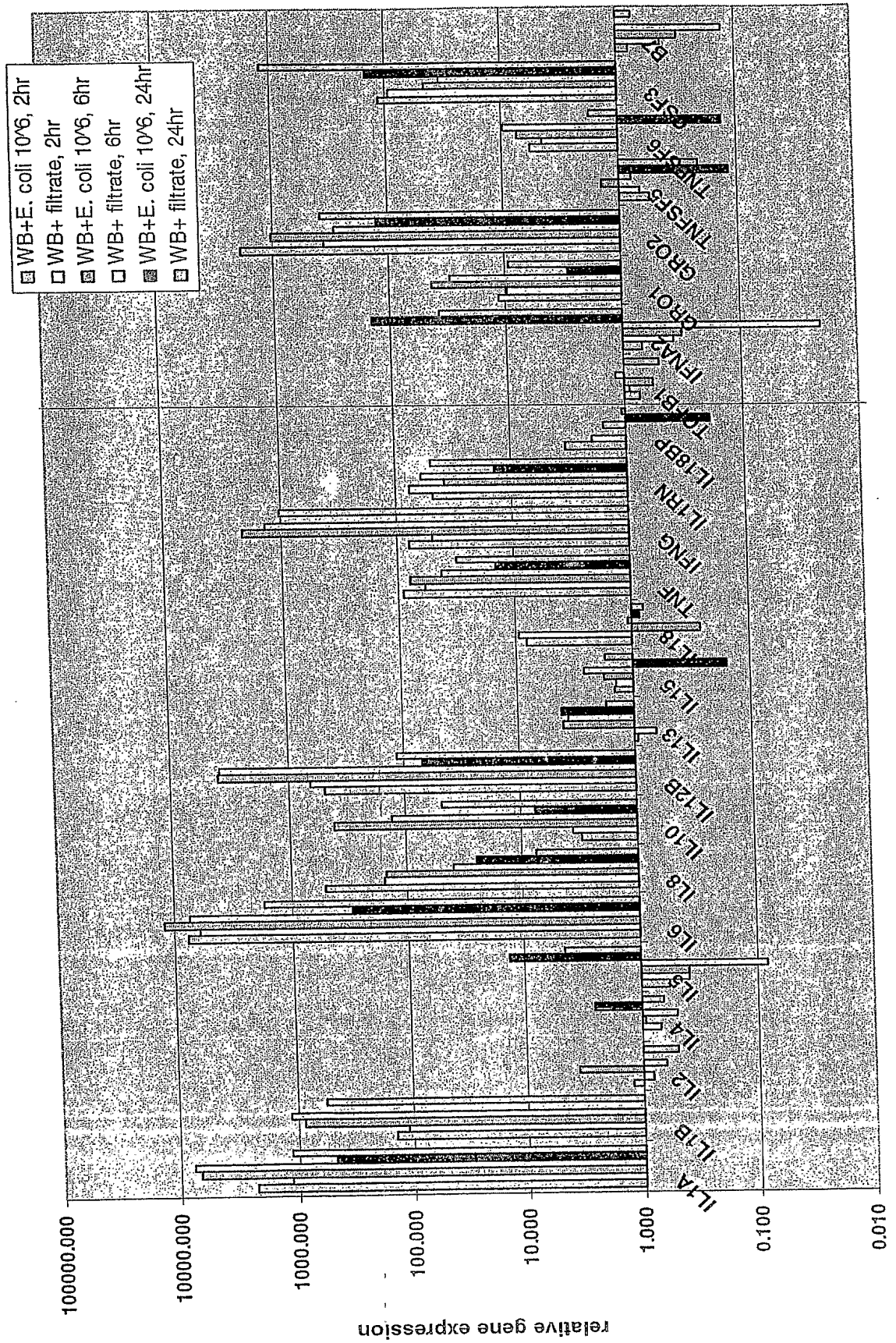




Fig. 34

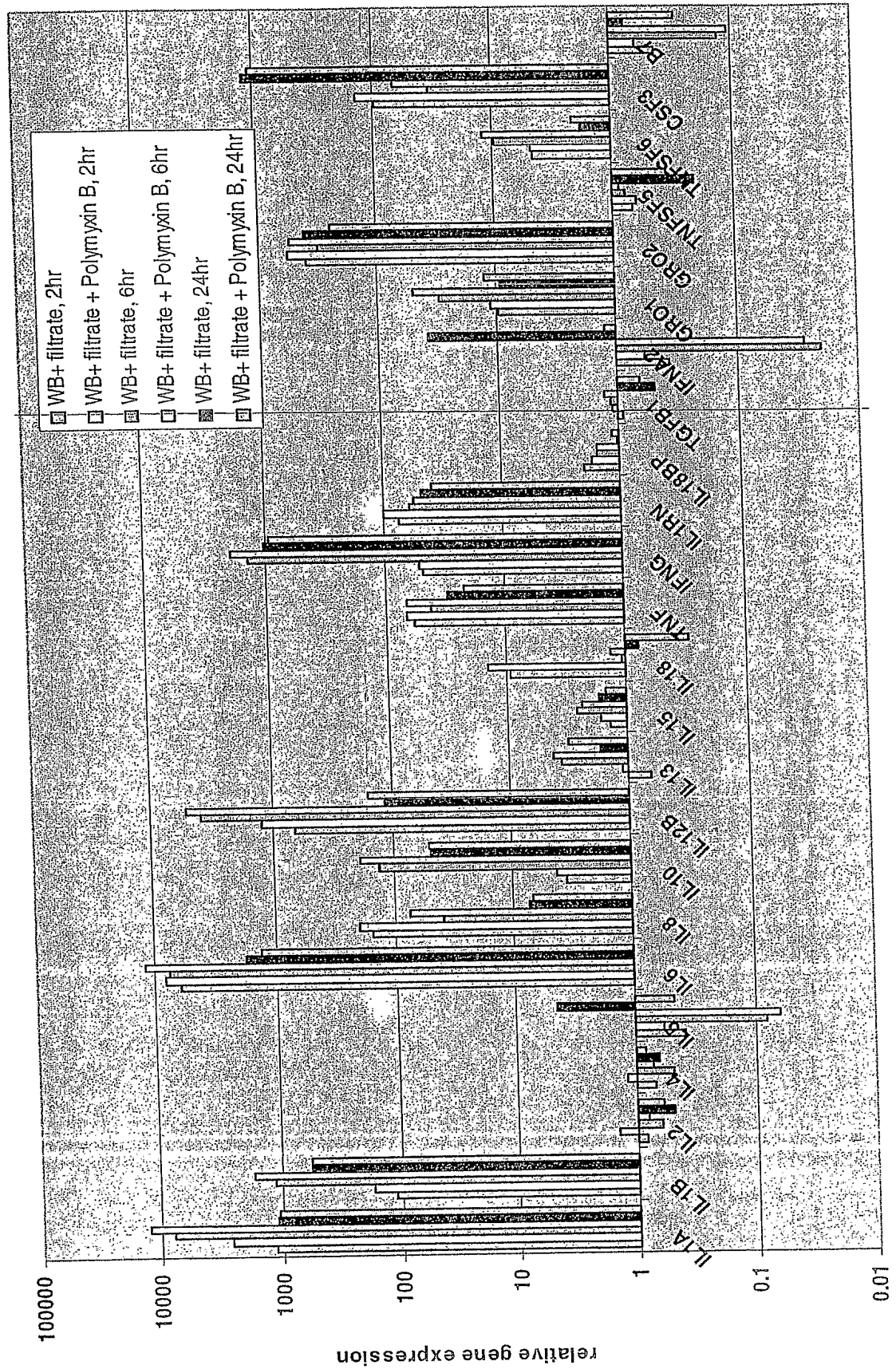


Fig. 35

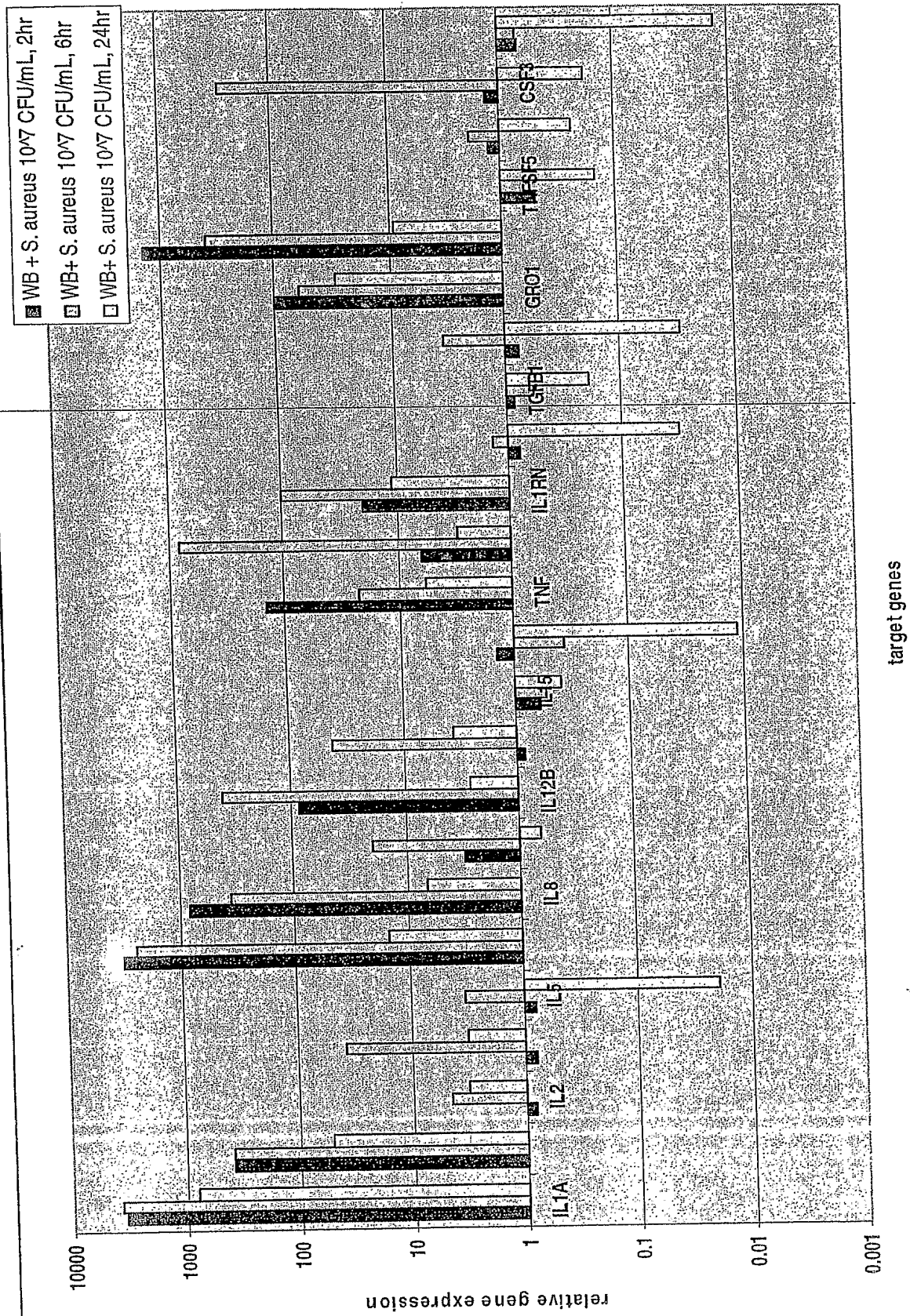


Fig. 36

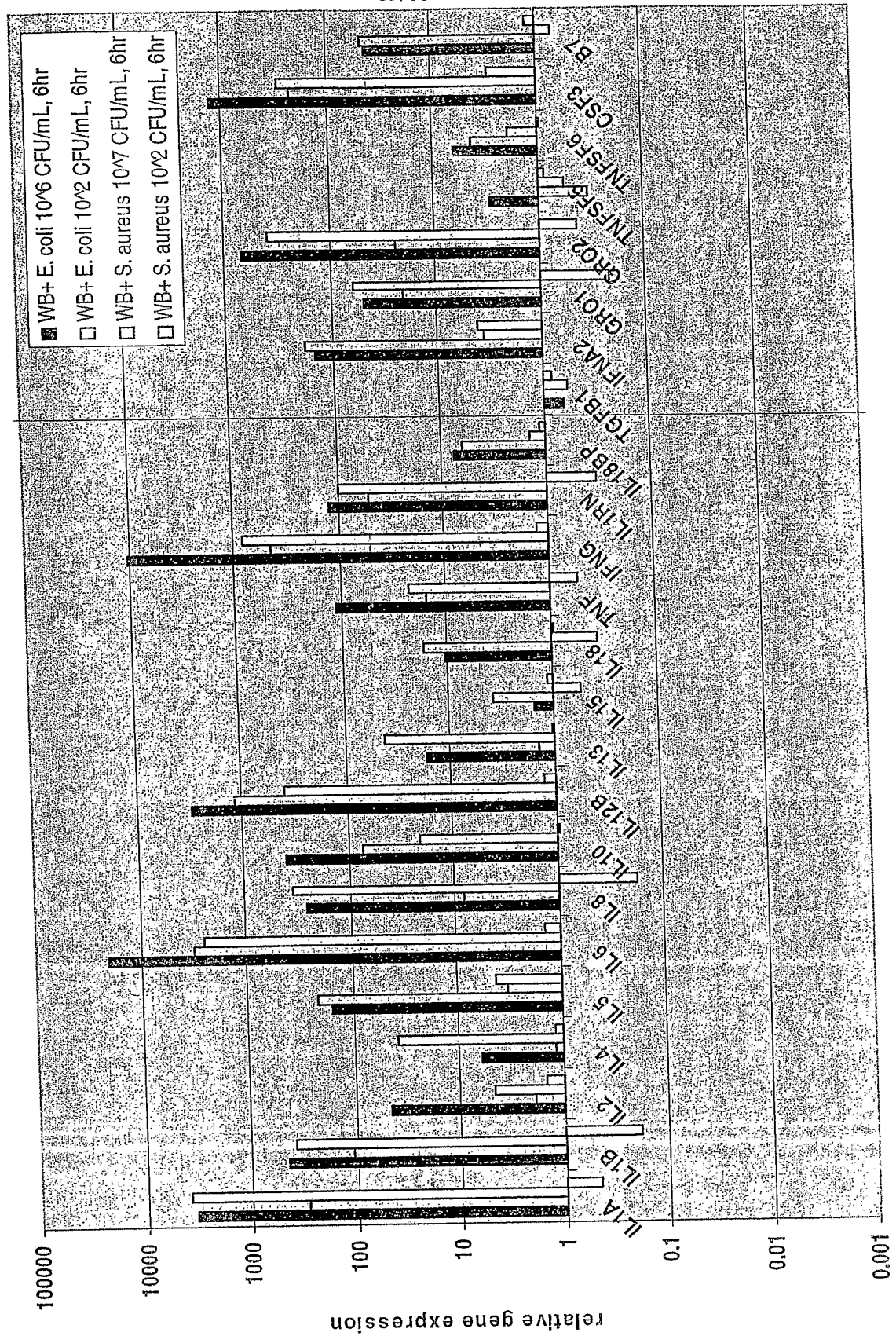
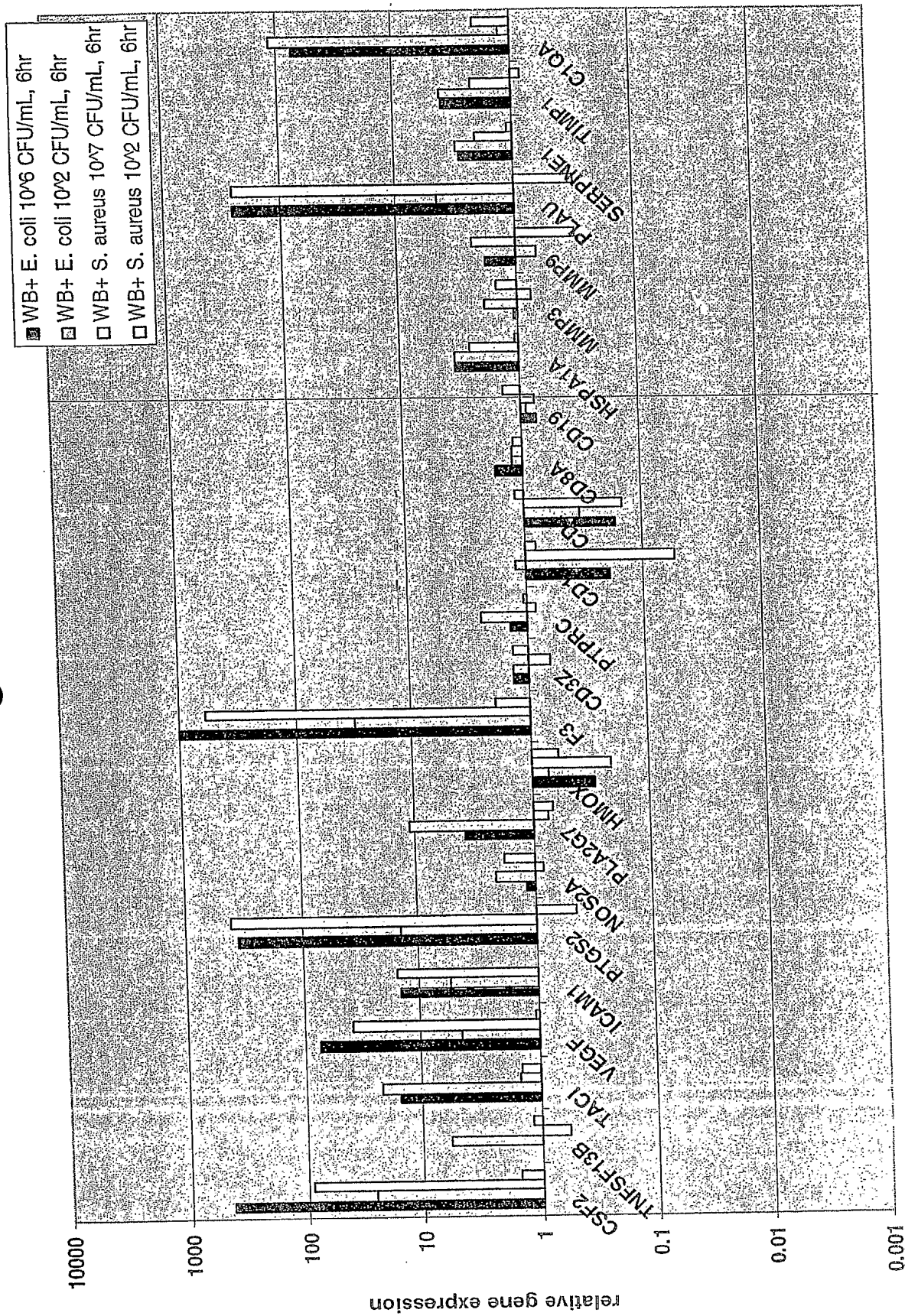




Fig. 37



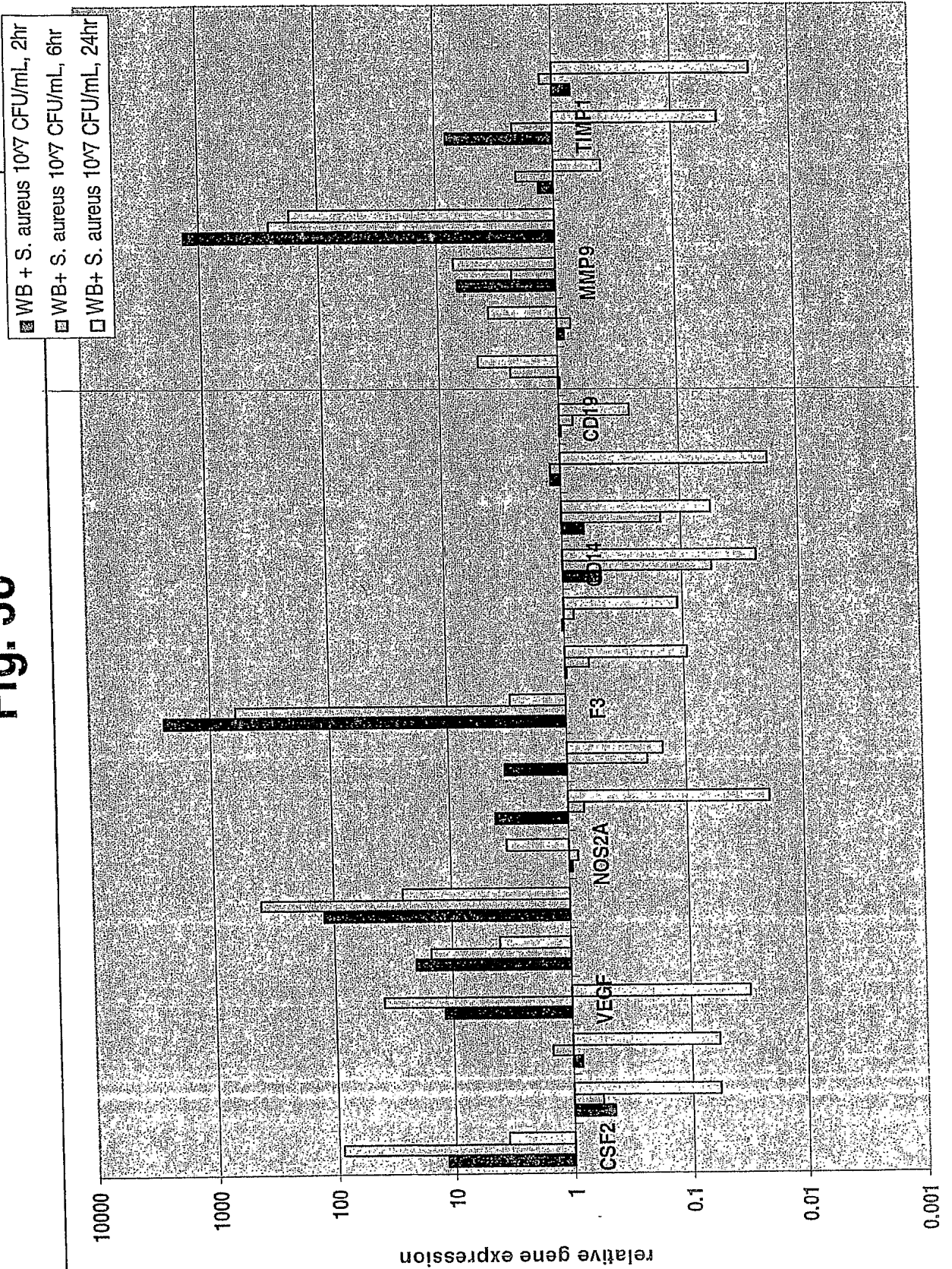
**Fig. 38**



Fig. 39

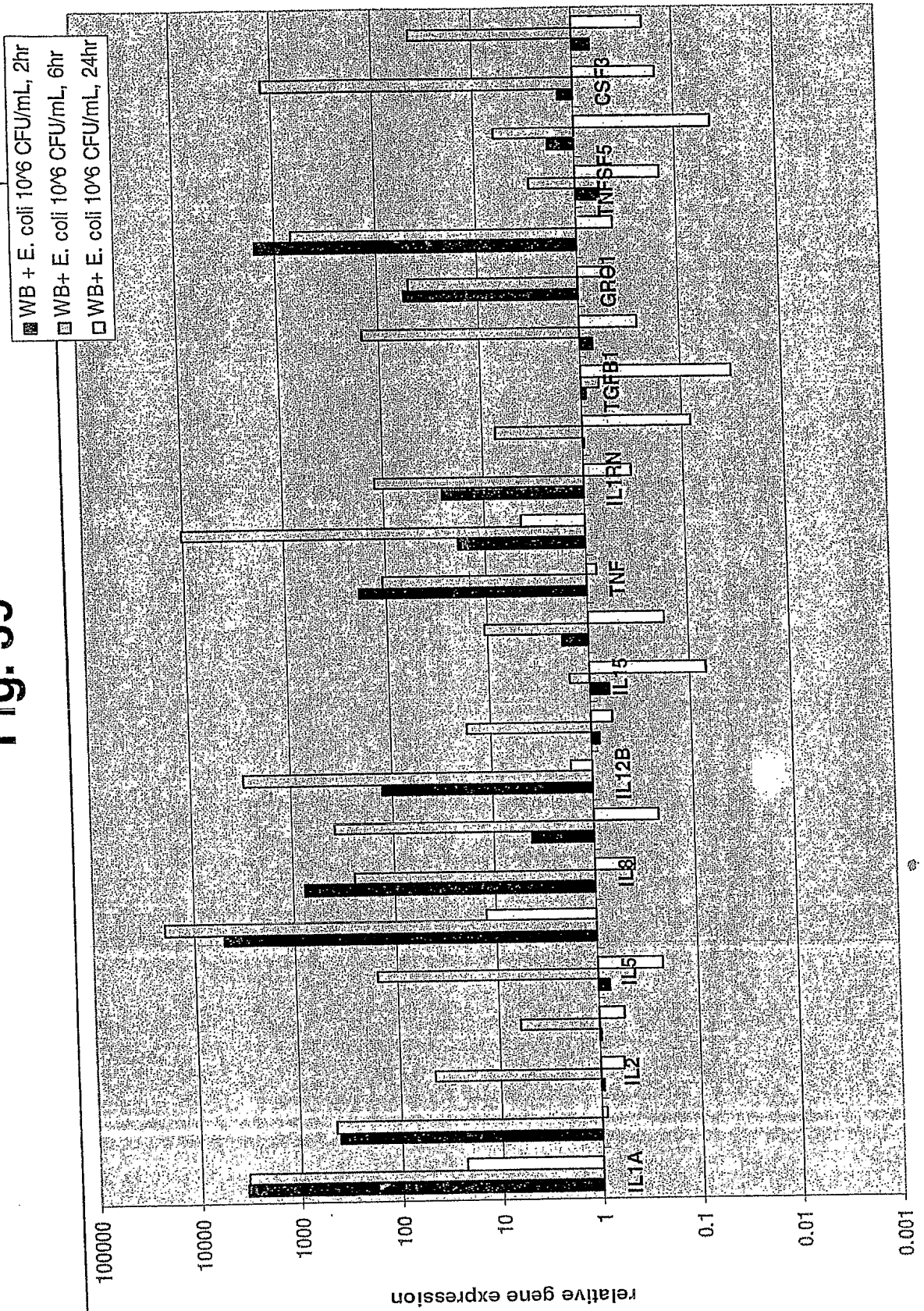
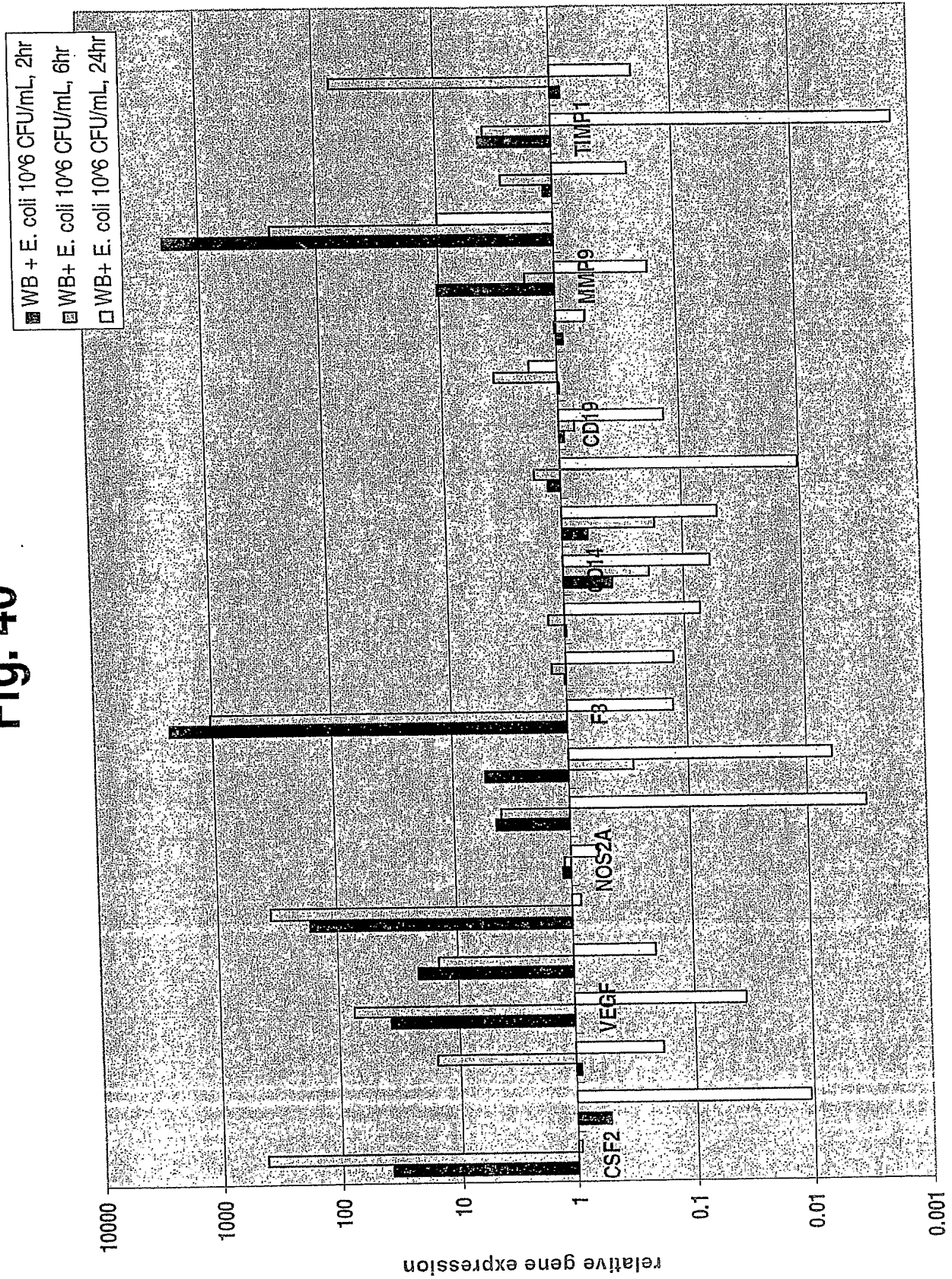
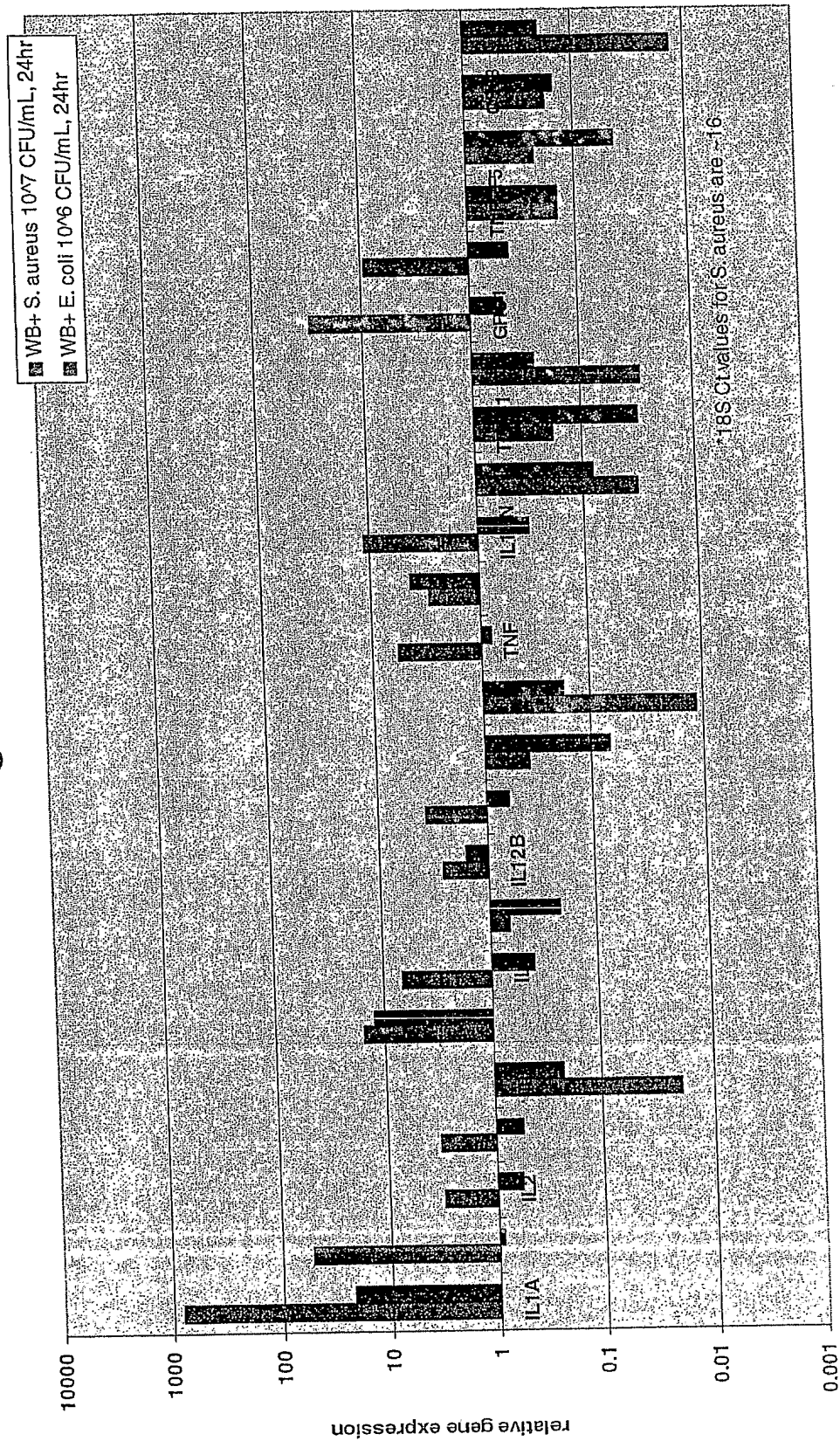


Fig. 40



**Fig. 41**



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/36084

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : C12Q 1/00

US CL : 435/4

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/4, 6, 7.1, 287.1; 702/19, 23

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
Please See Continuation Sheet**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2001/0018182 A1 (FRIEND et al.) 30 August 2001 (30.08.2001), see especially	1, 3, 16-18, 66
---	Summary of the Invention and Detailed Description.	-----
Y		11-15, 19, 67
X	IMAI et al. High levels of expression of collagenase-3 (MMP-13) in pathological	1, 18, 66
---	conditions associated with a foreign-body reaction. The Journal of Bone and Joint	-----
Y	Surgery. July 1998, Volume 80-B, Number 4, pages 701-710, see especially Materials	19, 67
	and Methods.	
X	HUMBERT et al. Relationship between IL-4 and IL-5 mRNA Expression and Disease	1, 18, 66
---	Severity in Atopic Asthma. American Journal of Critical Care Medicine. 1997, Volume	-----
Y	156, pages 704-708, see especially Abstract, Methods, Results, and Discussion.	3, 19, 67
X	ONO et al. Cytokine Gene Expression After Myocardial Infarction in Rat Hearts.	1, 18, 66
---	Circulation. 14 July 1998, Volume 98, pages 149-156, see especially Abstract and	-----
Y	Methods.	19, 67



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:		"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E"	earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

14 March 2003 (14.03.2003)

Date of mailing of the international search report

03 APR 2003

Name and mailing address of the ISA/US

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Authorized officer

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Telephone No. 703-308-0196

## INTERNATIONAL SEARCH REPORT

## C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	SKEDINGER et al. Eosinophil activity reflects clinical status in patients with asthma before and during a prednisolone course. <i>Annals of Allergy, Asthma, and Immunology</i> . September 1995, Volume 75, Number 3, pages 250-255, see especially Abstract and Materials and Methods.	1, 18, 66 ----- 19, 67
X --- Y	BUCHWALD et al. Markers of Inflammation and Immune Activation in Chronic Fatigue and Chronic Fatigue Syndrome. <i>The Journal of Rheumatology</i> . 1997, Volume 24, Number 2, pages 372-376, see especially Abstract.	1, 18, 66 ----- 19, 67
A	US 5,696,130 A (JONES et al.) 09 December 1997 (09.12.1997), see especially Column 22 and Example 358.	1, 3, 18
Y	ASTHANA et al. Differential effects of IFN-gamma kidney cell expression of MHC class II molecules, kidney cell associated molecules and their stimulatory capacity in mixed lymphocyte kidney cell culture. <i>Transplant Immunology</i> . 1993, Volume 1, pages 282-293, see especially Abstract and Material, methods and experimental design.	1, 3, 66, 67

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/36084

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claim Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claim Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claim Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:  
Please See Continuation Sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1, 3, 11-19, 66-67 (including Species A1 and A2)

**Remark on Protest** ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.



## INTERNATIONAL SEARCH REPORT

PCT/US02/36084

### BOX II. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I (claims 1-54, 66-67, and 77), drawn to a method for evaluating a biological condition of a subject. If this Group is elected, then two of the below summarized specie election requirements are also required.

Group II (claim 55), drawn to a method of determining dose and/or schedule of administration of agent to a subject.

Group III (claim 56), drawn to a method of method of guiding a decision to continue or modify therapy.

Group IV (claims 57-59), drawn to a method of predicting change in a biological condition of a subject.

Group V (claim 60), drawn to a method of evaluating a property of the agent.

Group VI (claims 61-62, 64-65, and 68-72), drawn to a method of evaluating a biological condition of a subject via production of calibrated profile data sets.

Group VII (claims 63, 64-65, and 68-72), drawn to a method of evaluating a biological condition affected by an agent.

Group VIII (claims 73-76), drawn to a method for evaluating the effect on a biological condition by a first agent in relation to effect by a second agent.

The inventions as listed as Groups I-VIII do not relate to a single inventive concept under PCT Rule 13.1 because, they contain claims directed to different methods which utilize different reactants and methodology steps, create different products and/or different results which do not share the same technical feature as stated under PCT Rule 13.2 and thus support this lack of unity.

Thus, in summary, the inventions listed as Groups I-VIII are not so linked under PCT 13.1.

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In order for more than one species to be examined, the appropriate additional examination fees must be paid. The species are as follows:

The claims in Group I include a first set of species directed to methods involving not involving indices (Specie A1, including claims 1, 3, 11-30, and 66-67) and involving indices (Specie B1, including claims 2, 4-54, and 77). The claims in Group I also include a second set of species directed to biological conditions which are inflammation with the Inflammation Gene Expression Panel of Table 1 (Specie A2), diabetes (Specie B2), prostate health or disease (Specie C2), manifested in skin (Specie D2), liver metabolism and disease (Specie E2), vascular (Specie F2), abnormal cell development (Specie G2), inflammation with the Cytokine Gene Expression Panel of Table 8 (Specie H2), inflammation with the TNF/IL1 Inhibition Gene Expression Panel of Table 9 (Specie I2), inflammation with the Chemokine Gene Expression Panel of Table 10 (Specie J2), cancer (Specie K2), and infectious disease (Specie L2).

Species from the first set are directed to method not involving and involving indices which are different special technical features as the methodology steps differ. Species from the second set involve biological conditions that relate to different states of ill health with different genes expressing their presence or absence which makes them all different special technical features.

The invention of Group I, Specie A1(no index), and Specie A2 (inflammation with the Inflammation Gene Expression Panel of Table 1) will automatically be searched. For any additional invention within Group I or in Groups II-VIII to be searched, Applicants must pay an additional fee. Each additional invention search costs \$210. If Applicants chose to elect any additional Species (from both first and second sets) from the first Group, an additional fee of \$210.00 is required. As there are 2 (Specie A) \* 12 (Specie B) combinations possible, there are a total of 24 possible inventions within Group I.

## INTERNATIONAL SEARCH REPORT

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Thus, the cost of all inventions to be searched within Groups I-VIII (including the 24 inventions within Group I with the first one being free) are  $(23 + 7) * \$210.00 = \$6,300$ .

### **Continuation of B. FIELDS SEARCHED Item 3:**

WEST, PUBMED, BIOSIS, CAPLUS, SCISEARCH, MEDLINE, EMBASE searching terms: biological condition, profile, RNA, protein, panel, constituents, data set, method, specificity, efficiency, amplification, coefficient of variation, tissue, inflammation, gene expression